

Community Development Block Grant (CDBG)
Coronavirus Aid, Relief, and Economic Security Act



Albany County

Project 1: City of Albany South End Homeownership Project

October 15, 2021

Table of Contents

| | |
|--|--------|
| Project Contact Form (A)..... | 3-4 |
| Project 1 Proposal (B-F)..... | 5-18 |
| Project 1 Cost Estimates..... | 19-21 |
| Project 1 Duplication of Benefits..... | 22 |
| Project 1 Applicant Disclosure..... | 23-25 |
| Section 3 Plan..... | 26- 34 |
| Fair Housing Statement..... | 35 |
| Public Hearing Materials | |
| Public Hearing Notice..... | 36-37 |
| Affidavit of Publication..... | 38-40 |
| Evidence of Public Posting..... | 41-42 |
| Hearing Minutes and Attendance..... | 43-61 |

II. APPLICATION FORMS

A. PROJECT CONTACT INFORMATION

1. Applicant Information

| | |
|-------------------|--|
| Organization Name | |
| Type | |
| Mailing Address | |
| City | |
| State | |
| Zip + 4 | |
| Phone | |
| Fax | |
| Primary Email | |
| Website | |
| EIN | |
| DUNS | |
| Fiscal Year End | |

2. Chief Elected Official - CEO (If term is ending, please provide new contact information)

| | |
|---------------------|--|
| First Name | |
| Last Name | |
| Title | |
| Term Effective Date | |
| Term End Date | |
| New CEO Name | |
| Title | |
| Term Effective Date | |
| Term End Date | |
| City | |

| | |
|---------|--|
| State | |
| Zip + 4 | |
| Phone | |
| Fax | |
| Email | |

3. Primary Local Grant Contact (Must be a municipal employee other than CEO)

| | |
|---------|--|
| Name | |
| Title | |
| Address | |
| City | |
| State | |
| Zip + 4 | |
| Phone | |
| Fax | |
| Email | |

4. Applicant Political District Information

| | | | | |
|---------------------------|--|--|--|--|
| Congressional District(s) | | | | |
| Assembly District(s) | | | | |
| Senate District(s) | | | | |

5. Program/Project Information

| | |
|--------------------------|--|
| Name of Project | |
| Location | |
| Location Type | |
| Address Specific | |
| Community-Wide | |
| Census Tract/Block Group | |

B. PROJECT PROPOSAL

1. Description of Need

- Provide a brief description of need for the project, including any quantifiable information (for example, the number of people affected, area affected, etc.)
 - The description, to the extent possible, should be based on verifiable documentation attached to the application, such as supports letters, architectural/engineering reports, or market analysis
- Provide an explanation of how the proposed project will address the identified need.
- Describe why CDBG-CV is necessary to complete the project; include a description of efforts taken to secure alternative or additional funds from other public and private sources.
- Identify if other sources are available for the needs identified. Explain why these sources cannot meet the needs addressed by this proposal.

2. Connection to Coronavirus

- Describe, specifically, how the project will prevent, prepare for, and/or respond to Coronavirus
 - To qualify for assistance, a direct connection to preventing, preparing for and/or responding to Coronavirus must be demonstrated for each activity that will be undertaken as part of this project.

3. CDBG National Objective

- Provide a description of how the proposed activities will meet a CDBG National Objective. All CDBG-funded activities must meet one National Objectives:
 - Benefit to Low and Moderate-Income (LMI) Persons. Specify which subcategory you will meet
 - LMA (Low/mod area)
 - LMH (Low/mod housing)
 - LMC (Low/mod clientele)
 - LMJ (Low/mod jobs)
 - LMCMC (Low/mod owner microenterprise)
 - Meet an Urgent Community Development Need (URG)
- Each activity proposed must satisfy the requirements of the National Objective selected and evidence of compliance must be submitted with the application as an attachment. Note that New York State expects to primarily award projects that meet the LMI National Objective.
 - If satisfactory evidence of compliance with a National Objective is not provided, the proposed activity will be considered ineligible and will not be considered for funding. For more information, please see the appendix to the application

4. Impact

- Describe the specific measurable impacts to be realized through this project, i.e. numbers of jobs, housing units, people assisted.
- Provide a financial analysis of project feasibility with and without CDBG-CV funds.
- Describe the impact if CDBG-CV funds were not awarded, i.e. effect on community, business, quality of life, etc.

Tab to next page to Continue Impact information

5. Capacity

- Provide a project timeline and describe how the proposed project will be completed within 12 months of award.
 - Describe the administrative structure, e.g. consultant, subrecipients, that will be used to deliver the expected outcomes, including all roles and responsibilities.
 - Briefly describe relevant experience that supports preparedness to deliver the proposed project.
- If applicable - Describe the procurement process that will be used to acquire professional services to complete the project.
- Describe formal partnerships and collaborative efforts in place that will support successful delivery of the proposed project. For example, efforts to avoid duplication of services, leverage other available resources, reach underserved areas and ensure broad geographic distribution of services.
- **If Entitlement community** – Describe how the community is deploying CARES funding that has been directly received. Explain how the proposed project (using NYS CDBG-CV) complements on-going efforts to administer other CARES funding.

* Tab to next page to continue Capacity information*

6. Budget Narrative

- Explain how the attached budget is sufficient to complete the project.
- Explain how costs were determined and describe the method used to determine the best approach and cost-effective method to address the need.
- List the sources and dates of third-party cost estimates.
- Describe any administrative, program delivery, or other soft costs and how the budget for those costs was developed.
- Describe status of other funds, e.g., formally committed, pending approval. If the funds are not formally committed provide timelines for securing commitments.

Tab to next page to continue Budget Narrative

C. ACTIVITY DETAIL SHEETS

Complete only those sections that apply

| 1. Community/Public Facilities | |
|--|---|
| How many people will benefit from this activity? | |
| Source of Data: | If "Other" provide details below: <div style="border: 1px solid black; height: 100px; width: 100%;"></div> |
| Median Income | # of People |
| At or Below 80% | |
| 81% and Above | |
| No Income – Vacant/Seasonal Units | |
| Totals | |

*Census- if using census data, service area of facility must perfectly match block group or census tract data

| 2. Affordable Housing | | |
|--|-------------------|------------------------|
| How Many Housing Units will be Assisted? | | |
| Owner Occupied Units | # of Units | # of Households |
| Median Income | | |
| At or Below 80% | | |
| 81% or above | | |
| Totals | | |
| Rental Occupied Units | # of Units | # of Households |
| Median Income | | |
| At or Below 80% | | |
| 81% or above | | |
| Totals | | |
| No Income – Vacant Unit | | |
| Number of 4+ Unit Buildings to Be Assisted | | |
| Address for Each 4+ Units Building to be Assisted | | |
| | | |
| | | |
| | | |

| 3. Public Services (Vaccine Outreach, Mental Health Services, Broadband) | | |
|--|-------------------|--------------------|
| How Many Persons Will be Assisted? | | |
| Median Income | # of Units | # of People |
| At or Below 80% | | |

| 4. Business Assistance | |
|--|------------------|
| Proposed Cost per job | |
| Describe procedure for determining COVID-19 impact on businesses and how economic hardship will be determined and documented. Explain how award amounts and cost per job will be determined for businesses selected for participation. | |
| | |
| Proposed Accomplishments | Proposed Number: |
| <i>How Many Jobs will be created/retained?</i> | |
| Created | |
| New full-time jobs to be created | |
| New full-time LMI* jobs to be created | |
| New part-time jobs to be created | |
| New part-time LMI jobs to be created | |
| Average # of hours worked per week per part-time job created | |
| Retained ** | |
| Full-time jobs to be retained | |
| Full-time LMI jobs to be retained | |
| Part-time jobs to be retained | |
| Part-time LMI jobs to be retained | |
| Average # of hours worked per week per part-time job retained | |
| Microenterprise (5 or fewer employees) | |
| Proposed Accomplishments | Proposed Number: |
| Total number of Microenterprises | |
| Of the total, enter the # of businesses entrepreneurs who qualify as Low/Moderate Income | |

* LOW/MODERATE INCOME (LMI) – LMI jobs are those jobs that are held by or made available to low/moderate income people. Jobs are considered “made available to” if the job does not require any special certifications or training, education beyond high-school or equivalent, and beyond 1 year of experience.

**Provide evidence which clearly demonstrates that jobs will be lost if not for NYS CDBG assistance. For guidance, refer to the Request for Applications (RFA).

Will any jobs created in New York State be transferred or relocated from other business locations?

☐ Yes ☐ No

D. BUDGET TABLE - FUNDING SOURCES

APPLICANT NAME:

| | | FUNDING | | | | | | |
|------------------------|--|---------|--------|------|---------------|------|--------|---------------------------------|
| | | AMOUNT | SOURCE | TYPE | INTEREST RATE | TERM | STATUS | DATE AVAILABLE OR DECISION DATE |
| NAME OF FUNDING SOURCE | | | | | | | | |
| 1 | | | | | | | | |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
| 4 | | | | | | | | |
| 5 | | | | | | | | |
| 6 | | | | | | | | |

E. BUDGET TABLE – USE OF FUNDS

APPLICANT NAME:

| LIST OF ACTIVITIES (List all proposed activities and list, as a separate activity, the Program Delivery associated with each proposed activity.) | | CDBG \$ Requested | OTHER FUNDING SOURCES <i>(From Budget Table D)</i> | | | | TOTAL FUNDING | |
|---|--|-------------------|--|------------|------------|------------|---------------|-------------|
| | | | Source #__ | Source #__ | Source #__ | Source #__ | OTHER SOURCES | ALL SOURCES |
| 1 | | | | | | | | |
| 1A | Program Delivery | | | | | | | |
| 2 | | | | | | | | |
| 2A | Program Delivery | | | | | | | |
| 3 | | | | | | | | |
| 3A | Program Delivery | | | | | | | |
| 4 | | | | | | | | |
| 4A | Program Delivery | | | | | | | |
| 5 | | | | | | | | |
| 5A | Program Delivery | | | | | | | |
| 6 | Total Amount for Engineering | | | | | | | |
| 7 | Grant Administration | | | | | | | |
| 8 | Total Amount for Program Delivery (Total of 1A -5A) | | | | | | | |
| 9 | Total Amount of Funding | | | | | | | |
| 10 | Calculate and enter % of Total Project Cost | % | % | % | % | % | % | 100% |

F. CERTIFICATIONS

CERTIFICATION REQUIRED BY TITLE I OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974, AS AMENDED, WITH RESPECT TO THE COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

In accordance with the Title I of the Housing and Community Development Act of 1974, as amended, the Applicant hereby certifies that:

- a. It possesses legal authority to make a grant submission and to execute a community development and housing program;
- b. Its governing body has duly adopted or passed as an official act a resolution, motion or similar action authorizing the person identified as the official representative of the Applicant to submit the subject application and all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the Applicant to act in connection with the submission of the application and to provide such additional information as may be required;
- c. Prior to submission of its application to the Office of Community Renewal (OCR), the Applicant has met the citizen participation requirements at 24 CFR 570.486 and New York State's Citizen Participation Plan as amended;
- d. The grant will be conducted and administered in compliance with:

Title VI of the Civil Rights Act of 1964 (Public Law 88-352, 42 USC 2000d et seq.); and
The Fair Housing Act (Public Law 90-284, 42 USC 3601-20);
- e. It will affirmatively further fair housing;
- f. It has developed its application so as to give maximum feasible priority to activities that will benefit LMI families or aid in the prevention or elimination of slums or blight. The application may also include activities which the applicant certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. The grant shall principally benefit persons of LMI in a manner that ensures that not less than 70% of such funds are used for activities that benefit such persons;
- g. It will not attempt to recover any capital costs of public improvements assisted in whole or in part with funds provided under section 106 of the Act or with amounts resulting from a guarantee under section 108 of the Act by assessing any amount against properties owned and occupied by persons of LMI, including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless:
 - 1) Funds received under section 106 of the Act are used to pay the proportion of such fee or assessment that relates to the capital costs of such public improvements that are financed from revenue sources other than under Title I of the Act; or
 - 2) For purposes of assessing any amount against properties owned and occupied by persons of moderate income, the grantee certifies that it lacks sufficient funds received under section 106 of the Act to comply with the requirements of subparagraph (1) above;
- h. Its notification, inspection, testing and abatement procedures concerning lead-based paint will comply with the applicable laws and regulations found at 24 CFR 570.608;

- i. It will minimize the displacement of persons as a result of activities assisted with CDBG funds;
- j. It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, as required under 24 CFR 570.606 (c) governing the residential anti-displacement and relocation assistance plan under section 104 (d) of the Act (including a certification that the Applicant is following such a plan); and the relocation requirements of 24 CFR 570.606 (d) governing optional relocation assistance under section 105 (a)(11) of the Act;
- k. It has adopted and is enforcing:
 - 1) A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in nonviolent civil rights demonstrations; and
 - 2) A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such nonviolent civil rights demonstrations within its jurisdiction;
- l. To the best of its knowledge and belief:
 - 1) No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
 - 2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
 - 3) It will require that the language of this anti-lobbying certification be included in the award documents for all sub-awards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all Subrecipients shall certify and disclose accordingly;
 - 4) This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- m. It will continue to provide a drug-free workplace by:
 - 1) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 - 2) Establishing an ongoing drug-free awareness program to inform employees about:

- i. The dangers of drug abuse in the workplace;
 - ii. The Applicant's policy of maintaining a drug-free workplace;
 - iii. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - iv. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- 3) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (1);
- 4) Notifying the employee in the statement required by paragraph (1) that, as a condition of employment under the grant, the employee will:
- i. Abide by the terms of the statement; and
 - ii. Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
- 5) Notifying the OCR in writing, within ten calendar days after receiving notice under subparagraph (4) (ii) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- 6) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (4) (ii), with respect to any employee who is so convicted:
- i. Taking appropriate personnel action against such an employee, up to and including termination consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - ii. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposed by a Federal, State, or local health, law enforcement, or other appropriate agency
- 7) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (1), (2), (3), (4), (5) and (6).
- 8) The Applicant may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

45, 48, and 50 Second Avenue, Albany, NY 12202

- 9) Workplaces under grants, for Applicants other than individuals, need not be identified on the certification. If known, they may be identified on the certification. If the Applicant does not identify the workplaces at the time of the application, or upon award, if there is no application, the Applicant must keep the identity of the workplace(s) on file its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the Applicant's drug-free workplace requirements.

- 10) Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g. all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
- 11) If the workplace identified to OCR changes during the performance of the grant, the Applicant shall inform OCR of the change(s), if it previously identified the workplaces.
- 12) This certification is a material representation of fact upon which reliance is placed when OCR awards the grant. If it is later determined that the Applicant knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, OCR may take action authorized under said Act.
- n. It will comply with Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and the implementing regulations in 24 CFR part 135. Section 3 requires that employment and other economic opportunities arising in connection with housing rehabilitation, housing construction, or other public construction projects shall, to the greatest extent feasible, and consistent with existing Federal, State, and local laws and regulations, be given to low- and very low-income persons;
- o. It will comply with the other provisions of the Act and with other applicable laws;
- p. It is in compliance with a HUD-approved Consolidated Plan;
- q. It is in compliance with grant spending threshold requirements as outlined in the Application; and
- r. It will comply with all applicable federal/State/local affirmative action requirements.
- s. To the best of its knowledge and belief all data provided in this application is true and correct.



Signature of Authorized Official

Name: Daniel C. Lynch

Title Deputy County Executive

10/12/2021

Date

| | |
|--------------------------------|-----------|
| 45 Second Ave Albany, NY 12202 | |
| 2 Unit Apartment Estimate | |
| Description | |
| Permits | 505 |
| Architectural | 20000 |
| Asbestos | 40000 |
| Landscaping | 5000 |
| Foundation | 1200 |
| Roofing | 7575 |
| Doors & Hardware | 8822 |
| Windows | 9640 |
| Porch/Deck | 7512 |
| Siding | 9993 |
| Demo | 10650 |
| Framing & Sheathing | 3637 |
| Plumbing | 13356 |
| Electrical | 14140 |
| HVAC | 14473 |
| Water & Sewer | 8000 |
| Radon | 5000 |
| Insulation | 4261 |
| Drywall | 8585 |
| Painting | 9694 |
| Flooring | 12663 |
| Ceramic Tile | |
| Cabinetry & Countertops | 12126 |
| Trim | 7529 |
| Acoustical ceilings | |
| Appliances | 4058 |
| Specialties | 4359 |
| Cleanup | 838 |
| Total | 243616 |
| Developer Fee 5% | 12180.80 |
| Contingency 10% | 24361.6 |
| | |
| Total | 280158.40 |

0.05

0.1

| | |
|--------------------------------|-----------|
| 48 Second Ave Albany, NY 12202 | |
| 2 Unit Apartment Estimate | |
| Description | |
| Permits | 505 |
| Architectural | 20000 |
| Asbestos | 40000 |
| Landscaping | 5000 |
| Foundation | 1515 |
| Roofing | 4545 |
| Doors & Hardware | 8923 |
| Windows | 8890 |
| Porch/Deck | 7512 |
| Siding | 8512 |
| Demo | 7324 |
| Framing & Sheathing | 5657 |
| Plumbing | 13356 |
| Electrical | 12625 |
| HVAC | 14473 |
| Water & Sewer | 8000 |
| Radon | 5000 |
| Insulation | 4261 |
| Drywall | 8585 |
| Painting | 9694 |
| Flooring | 12663 |
| Ceramic Tile | |
| Cabinetry & Countertops | 12126 |
| Trim | 7529 |
| Acoustical ceilings | |
| Appliances | 4058 |
| Specialties | 4359 |
| Cleanup | 838 |
| Total | 235950 |
| Developer Fee 5% | 11797.50 |
| Contingency 10% | 23595 |
| | |
| Total | 271342.50 |

0.05

0.1

| | |
|--------------------------------|-----------|
| 50 Second Ave Albany, NY 12202 | |
| 2 Unit Apartment Estimate | |
| Description | |
| Permits | 705 |
| Architectural | 20000 |
| Asbestos | 40000 |
| Landscaping | 5000 |
| Foundation | 3215 |
| Roofing | 5250 |
| Doors & Hardware | 12150 |
| Windows | 12422 |
| Porch/Deck | 3277 |
| Siding | 1309 |
| Demo | 7374 |
| Framing & Sheathing | 12498 |
| Plumbing | 13610 |
| Electrical | 18634 |
| HVAC | 15506 |
| Water & Sewer | 8000 |
| Radon | 5000 |
| Insulation | 5010 |
| Drywall | 15225 |
| Painting | 13484 |
| Flooring | 18404 |
| Ceramic Tile | |
| Cabinetry & Countertops | 12867 |
| Trim | 7985 |
| Acoustical ceilings | |
| Appliances | 4493 |
| Specialties | 1506 |
| Cleanup | 1523 |
| Total | 264447 |
| Developer Fee 5% | 13222.35 |
| Contingency 10% | 26444.7 |
| | |
| Total | 304114.05 |

0.05

0.1

DUPLICATION OF BENEFITS WORKSHEET

| |
|------------------------|
| Applicant Name: |
| Project Name: |

| | |
|---|--|
| 1. Identify Applicants Total Need | |
| 2. Identify Total Assistance Available | |
| National Flood Insurance Program (NFIP) | |
| Private Insurance (applicant must submit a claim if covered) | |
| SBA Grants/Loans (includes PPP and EIDL) | |
| Other Federal, State or Local Government Assistance | |
| Other private assistance including charitable contributions | |
| Subtotal | |
| 3. Identify the Amount of Total Assistance to Exclude as Non-duplicative. | |
| Explanation of non-duplicative funds: | |
| \$15,000 was private insurance for inventory replacement. This grant covers operating costs only. | |
| 4. Identify total DOB Amount (Item 2 minus Item 3) | |
| 5. Calculate Maximum Award (Item 1 minus Item 4) | |

| |
|---------------------------|
| Form Completed by: |
| Date Completed: |

Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing
and Urban Development

OMB Approval No. 2510-0011 (exp. 11/30/2018)

Instructions. (See Public Reporting Statement and Privacy Act Statement and detailed instructions on page 2.)

Applicant/Recipient Information

Indicate whether this is an Initial Report ☒ or an Update Report ☐

| | |
|---|--|
| 1. Applicant/Recipient Name, Address, and Phone (include area code): County of Albany, 112 State Street, Albany, NY 12207 | 2. Social Security Number or Employer ID Number: 14-6002563 |
| 3. HUD Program Name CDBG - CV | 4. Amount of HUD Assistance Requested/Received 1000000 |
| 5. State the name and location (street address, City and State) of the project or activity: 48, 50, & 45 Second Avenue, Albany, NY 12202 | |

Part I Threshold Determinations

| | |
|--|---|
| 1. Are you applying for assistance for a specific project or activity? These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3). <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | 2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9 <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No. |
|--|---|

If you answered "No" to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form. **However,** you must sign the certification at the end of the report.

Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

| Department/State/Local Agency Name and Address | Type of Assistance | Amount Requested/Provided | Expected Uses of the Funds |
|--|--------------------|---------------------------|----------------------------|
| N/A | N/A | N/A | N/A |

(Note: Use Additional pages if necessary.)

Part III Interested Parties. You must disclose:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

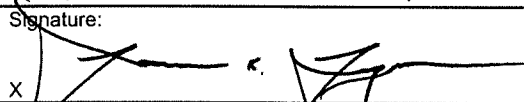
| Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first) | Social Security No. or Employee ID No. | Type of Participation in Project/Activity | Financial Interest in Project/Activity (\$ and %) |
|--|--|---|---|
| Albany County Land Bank | 47-1646099 | Administering Directly | 1,000,000 (100%) |

(Note: Use Additional pages if necessary.)

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that this information is true and complete.

| | |
|---|----------------------------------|
| Signature:  | Date: (mm/dd/yyyy) 10/15/2021 |
|---|----------------------------------|

Public reporting burden for this collection of information is estimated to average 2.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

Privacy Act Statement. Except for Social Security Numbers (SSNs) and Employer Identification Numbers (EINs), the Department of Housing and Urban Development (HUD) is authorized to collect all the information required by this form under section 102 of the Department of Housing and Urban Development Reform Act of 1989, 42 U.S.C. 3531. Disclosure of SSNs and EINs is voluntary. HUD is authorized to collect this information under the Housing and Community Development Act of 1987 42 U.S.C.3543 (a). The SSN or EIN is used as a unique identifier. The information you provide will enable HUD to carry out its responsibilities under Sections 102(b), (c), and (d) of the Department of Housing and Urban Development Reform Act of 1989, Pub. L. 101-235, approved December 15, 1989. These provisions will help ensure greater accountability and integrity in the provision of certain types of assistance administered by HUD. They will also help ensure that HUD assistance for a specific housing project under Section 102(d) is not more than is necessary to make the project feasible after taking account of other government assistance. HUD will make available to the public all applicant disclosure reports for five years in the case of applications for competitive assistance, and for generally three years in the case of other applications. Update reports will be made available along with the disclosure reports, but in no case for a period generally less than three years. All reports, both initial reports and update reports, will be made available in accordance with the Freedom of Information Act (5 U.S.C. §552) and HUD's implementing regulations at 24 CFR Part 15. HUD will use the information in evaluating individual assistance applications and in performing internal administrative analyses to assist in the management of specific HUD programs. The information will also be used in making the determination under Section 102(d) whether HUD assistance for a specific housing project is more than is necessary to make the project feasible after taking account of other government assistance. You must provide all the required information. Failure to provide any required information may delay the processing of your application, and may result in sanctions and penalties, including imposition of the administrative and civil money penalties specified under 24 CFR §4.38.

Note: This form only covers assistance made available by the Department. States and units of general local government that carry out responsibilities under Sections 102(b) and (c) of the Reform Act must develop their own procedures for complying with the Act.

Instructions

Overview.

A. Coverage. You must complete this report if:

- (1) You are applying for assistance from HUD for a specific project or activity and you have received, or expect to receive, assistance from HUD in excess of \$200,000 during the fiscal year;
- (2) You are updating a prior report as discussed below; or
- (3) You are submitting an application for assistance to an entity other than HUD, a State or local government if the application is required by statute or regulation to be submitted to HUD for approval or for any other purpose.

B. Update reports (filed by "Recipients" of HUD Assistance):

General. All recipients of covered assistance must submit update reports to the Department to reflect substantial changes to the initial applicant disclosure reports.

Line-by-Line Instructions.

Applicant/Recipient Information.

All applicants for HUD competitive assistance, must complete the information required in blocks 1-5 of form HUD-2880:

1. Enter the full name, address, city, State, zip code, and telephone number (including area code) of the applicant/recipient. Where the applicant/recipient is an individual, the last name, first name, and middle initial must be entered.
2. Entry of the applicant/recipient's SSN or EIN, as appropriate, is optional.
3. Applicants enter the HUD program name under which the assistance is being requested.
4. Applicants enter the amount of HUD assistance that is being requested. Recipients enter the amount of HUD assistance that has been provided and to which the update report relates. The amounts are those stated in the application or award documentation. NOTE: In the case of assistance that is provided pursuant to contract over a period of time (such as project-based assistance under section 8 of the United States Housing Act of 1937), the amount of assistance to be reported includes all amounts that are to be provided over the term of the contract, irrespective of when they are to be received.
5. Applicants enter the name and full address of the project or activity for which the HUD assistance is sought. Recipients enter the name and full address of the HUD-assisted project or activity to which the update report relates. The most appropriate government identifying number must be used (e.g., RFP No.; IFB No.; grant announcement No.; or contract, grant, or loan No.) Include prefixes.

Part I. Threshold Determinations - Applicants Only

Part I contains information to help the applicant determine whether the remainder of the form must be completed. **Recipients filing Update Reports should not complete this Part.**

If the answer to **either** questions 1 or 2 is No, the applicant need not complete Parts II and III of the report, but must sign the certification at the end of the form.

Part II. Other Government Assistance and Expected Sources and Uses of Funds.

A. Other Government Assistance. This Part is to be completed by both applicants and recipients for assistance and recipients filing update reports. Applicants and recipients must report any other government assistance involved in the project or activity for which assistance is sought. Applicants and recipients must report any other government assistance involved in the project or activity. Other government assistance is defined in note 4 on the last page. For purposes of this definition, other government assistance is expected to be made available if, based on an assessment of all the circumstances involved, there are reasonable grounds to anticipate that the assistance will be forthcoming.

Both applicant and recipient disclosures must include all other government assistance involved with the HUD assistance, as well as any other government assistance that was made available before the request, but that has continuing vitality at the time of the request. Examples of this latter category include tax credits that provide for a number of years of tax benefits, and grant assistance that continues to benefit the project at the time of the assistance request.

The following information must be provided:

1. Enter the name and address, city, State, and zip code of the government agency making the assistance available.
2. State the type of other government assistance (e.g., loan, grant, loan insurance).
3. Enter the dollar amount of the other government assistance that is, or is expected to be, made available with respect to the project or activities for which the HUD assistance is sought (applicants) or has been provided (recipients).
4. Uses of funds. Each reportable use of funds must clearly identify the purpose to which they are to be put. Reasonable aggregations may be used, such as "total structure" to include a number of structural costs, such as roof, elevators, exterior masonry, etc.

B. Non-Government Assistance. Note that the applicant and recipient disclosure report must specify all expected sources and uses of funds - both from HUD **and any other source** - that have been or are to be, made available for the project or activity. Non-government sources of

funds typically include (but are not limited to) foundations and private contributors.

Part III. Interested Parties.

This Part is to be completed by both applicants and recipients filing update reports. Applicants must provide information on:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
2. any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

Note: A financial interest means any financial involvement in the project or activity, including (but not limited to) situations in which an individual or entity has an equity interest in the project or activity, shares in any profit on resale or any distribution of surplus cash or other assets of the project or activity, or receives compensation for any goods or services provided in connection with the project or activity. Residency of an individual in housing for which assistance is being sought is not, by itself, considered a covered financial interest.

The information required below must be provided.

1. Enter the full names and addresses. If the person is an entity, the listing must include the full name and address of the entity as well as the CEO. Please list all names alphabetically.
2. Entry of the Social Security Number (SSN) or Employee Identification Number (EIN), as appropriate, for each person listed is optional.
3. Enter the type of participation in the project or activity for each person listed: i.e., the person's specific role in the project (e.g., contractor, consultant, planner, investor).
4. Enter the financial interest in the project or activity for each person listed. The interest must be expressed both as a dollar amount and as a percentage of the amount of the HUD assistance involved.

Note that if any of the source/use information required by this report has been provided elsewhere in this application package, the applicant need

not repeat the information, but need only refer to the form and location to incorporate it into this report. (It is likely that some of the information required by this report has been provided on SF 424A, and on various budget forms accompanying the application.) If this report requires information beyond that provided elsewhere in the application package, the applicant must include in this report all the additional information required.

Recipients must submit an update report for any change in previously disclosed sources and uses of funds as provided in Section I.D.5., above.

Notes:

1. All citations are to 24 CFR Part 4, which was published in the Federal Register. [April 1, 1996, at 63 Fed. Reg. 14448.]
2. Assistance means any contract, grant, loan, cooperative agreement, or other form of assistance, including the insurance or guarantee of a loan or mortgage, that is provided with respect to a specific project or activity under a program administered by the Department. The term does not include contracts, such as procurements contracts, that are subject to the Fed. Acquisition Regulation (FAR) (48 CFR Chapter 1).
3. See 24 CFR §4.9 for detailed guidance on how the threshold is calculated.
4. "Other government assistance" is defined to include any loan, grant, guarantee, insurance, payment, rebate, subsidy, credit, tax benefit, or any other form of direct or indirect assistance from the Federal government (other than that requested from HUD in the application), a State, or a unit of general local government, or any agency or instrumentality thereof, that is, or is expected to be made, available with respect to the project or activities for which the assistance is sought.
5. For the purpose of this form and 24 CFR Part 4, "person" means an individual (including a consultant, lobbyist, or lawyer); corporation; company; association; authority; firm; partnership; society; State, unit of general local government, or other government entity, or agency thereof (including a public housing agency); Indian tribe; and any other organization or group of people.



Homes and Community Renewal

Section 3 Participation Plan

COUNTY OF ALBANY, NY
For Section 3 Covered Contracts

Background:

Section 3 of the Housing and Urban Development Act of 1968, as amended, ("Section 3") requires that economic opportunities generated by certain U.S. Department of Housing and Urban Development financial assistance for housing and community development programs be directed to low- and very low-income persons, particularly those who are recipients of government assistance for housing, and business concerns which provide economic opportunities to low- and very low income persons¹.

Pursuant to Section 3 of the Housing and Urban Development Act of 1968, as amended and 24 CFR Part 135, The Units of General Local Government (UGLG) adopts this Section 3 Participation Plan for Section 3 Covered Contracts. The policy will provide direction for implementing Section 3, when required.

Policy Statement:

The UGLG shall provide opportunities to low- and very low-income persons residing in the State of New York (as defined in § 135.5 of 24 CFR Part 135) and to businesses meeting the definition of "Section 3 Business Concern" (as defined by 24 CFR Part 135). Accordingly, the UGLG shall implement policies and procedures to ensure that Section 3, when required, is followed and develop programs and procedures necessary to implement this policy covering all procurement contracts where labor and/or professional services are provided. This policy shall not apply to contractors who only furnish materials or supplies through Section 3 covered assistance. It will apply to contractors who install materials or equipment. (See the definition of "Section 3 Covered Contracts" below.) There is nothing in this policy that should be construed to require the employment or contracting of a Section 3 resident or contractor who does not meet the qualifications of the position to be filled or who cannot fulfill the contract requirements.

¹ 124 CFR 135.1



Homes and Community Renewal

Definitions:

The UGLG incorporates into this policy the definitions contained in § 135.5 of 24 CFR Part 135.

Defined Terms of Policy:

Section 3 – Section 3 is a provision of the Housing and Urban Development Act of 1968, which recognizes that HUD funds are typically one of the largest sources of federal funding expended in communities through the form of grants, loans, entitlement allocations and other forms of financial assistance. Section 3 is intended to ensure that when employment or contracting opportunities are generated because a covered project or activity necessitates the employment of additional persons or the awarding of contracts for work, preference must be given to low- and very low-income persons or business concerns residing in the community where the project is located.

Section 3 Recipient – means any entity which receives Section 3 covered assistance, directly from HUD or from another recipient and includes, but is not limited to, any State, unit of local government, PHA, IHA, Indian tribe, or other public body, public or private nonprofit organization, private agency or institution, mortgagor, developer, limited dividend sponsor, builder, property manager, community housing development organization, resident management corporation, resident council, or cooperative association. Recipient also includes any successor, assignee or transferees of any such entity, but does not include any ultimate beneficiary under the HUD program to which Section 3 applies and does not include contractors.

Section 3 Resident – A section 3 resident is:

- a public housing resident; or
- a low- or very low-income person residing in the metropolitan area or non-metropolitan county in which the Section 3 covered assistance is expended.

Section 3 Business Concern(s) – Section 3 Business Concerns are businesses that can provide evidence that they meet one of the following:

- 51% or more owned by Section 3 residents; or
- At least 30% of its full time employees include persons that are currently Section 3 residents, or within three years of the date of first employment with the business concern were Section 3 residents; or



Homes and Community Renewal

- Provides evidence, as required, of a commitment to subcontract in excess of 25% of the dollar award of all subcontracts to be awarded to business concerns that meet the qualifications in the above two paragraphs.

Section 3 Covered Assistance— Public and Indian housing development, operating or capital funds; or other housing assistance and community development assistance expended for housing rehabilitation, housing construction or other public construction projects, such as: CDBG, HOME, 202/811, Lead-Based Paint Abatement, etc.

The following definition for Section 3 Covered Assistance comes from 24 CFR 135.5: Public and Indian housing development assistance provided pursuant to section 5 of the 1937 Act;

- 1) Public and Indian housing operating assistance provided pursuant to section 9 of the 1937 Act;
- 2) Public and Indian housing modernization assistance provided pursuant to section 14 of the 1937 Act;
- 3) Assistance provided under any HUD housing or community development program that is expended for work arising in connection with:
 - i. Housing rehabilitation (including reduction and abatement of lead-based paint hazards, but excluding routine maintenance, repair and replacement);
 - ii. Housing construction; or
 - iii. Other public construction project which includes other buildings or improvements, regardless of ownership.

Section 3 Covered Contract – means a contract or subcontract (including a professional service contract) awarded by a recipient or contractor for work generated by the expenditure of Section 3 Covered Assistance, or for work arising in connection with a Section 3 Covered Project. “Section 3 Covered Contracts” do not include contracts awarded under HUD procurement program, which are governed by the Federal Acquisition Regulation System (see 48 CFR, Chapter 1). “Section 3 Covered Contracts” do not include contracts for the purchase of supplies and materials. However, whenever a contract for materials includes the installation of the materials, the contract constitutes a Section 3 Covered Contract. For example, a contract for the purchase and installation of a furnace would be a Section 3 Covered Contract because the contract is for work (i.e., the installation of the furnace) and thus is covered by Section 3.

Section 3 Covered Project – A section 3 covered project involves the construction or rehabilitation of housing (including reduction of lead-based paint hazards), or other



Homes and Community Renewal

public construction such as street repair, sewage line repair or installation, updates to building facades, or other public construction, etc.

Section 3 Covered Community Planning and Development Funding – Community Development Block Grants (CDBG), Home Investment Partnership Assistance (HOME), Emergency Shelter Grants (ESG), Neighborhood Stabilization Programs (NSP), and certain grants awarded under HUD Notices of Funding Availability (NOFA). The requirements for Section 3 only apply to the portion(s) of covered funding that were used for project/activities involving housing construction, rehabilitation, demolition, or other public construction.

Section 3 Thresholds - The requirements of Section 3 are applicable to HUD's direct "recipients" –for Section 3 covered project(s) for which the amount of the assistance is more than **\$200,000**.²

Contractors and Subcontractors are also subject to Section 3's requirements when performing any type of activity on Section 3 covered activity for which the amount of the assistance is in excess of \$200,000 and the contract or subcontract exceeds **\$100,000**.³

If a recipient receives Section 3 covered housing construction or public infrastructure or community development assistance in excess of \$200,000, but no individual contract exceeds \$100,000, Section 3 requirements will only apply to the recipient.

Finally, it should be noted that there are no thresholds for Section 3 covered Public and Indian Housing assistance.⁴ That is, all activities related to Public and Indian Housing assistance are covered by Section 3 regardless of the amount.

Responsibilities:

1. The UGLG that receives community development or housing assistance covered by Section 3 has the responsibility to comply with Section 3 in its own operations. This responsibility includes:
 - Notifying Section 3 residents and businesses about job opportunities and contracts generated by Section 3 covered assistance so that residents may seek jobs and businesses may submit bids/proposals for available contracts;
 - Notifying potential contractors of the objectives of Section 3 and ways in which each contractor can assist the sub-recipient to meet its goal;

² See 24 CFR 135.3 (a) (3) (ii).

³ 24 CFR 135.3 at 135 (3) (ii) (B).

⁴ *Id.* at 135.3 (a) (3) (i).



Homes and Community Renewal

- Facilitating the training and employment of Section 3 residents and the award of contracts to Section 3 business concerns; and
 - Documenting the action that the sub-recipient takes to comply with the Section 3 requirements, the results of the actions, and impediments, if any.
2. The UGLG has a responsibility to “ensure compliance” of their contractors and subcontractors. This means that a sub-recipient must:
- Notify contractors of their responsibilities under Section 3 including, but not limited to, incorporating the Section 3 Clause in contract documents.
 - Refrain from entering into contracts with contractors that are in violation of the regulations in 24 CFR Part 135.
 - Respond to complaints made to the recipient by Section 3 residents or
 - Business concerns that the sub-recipient, a contractor or subcontractor, is not in compliance with 24 CFR Part 135.
 - Cooperate with HUD in obtaining the compliance of contractors and subcontractors when allegations are made that the sub-recipient’s contractors and subcontractors are not in compliance with the regulation of 24 CFR Part 135.

Goals:

All contractors undertaking Section 3 covered projects and activities are expected to meet the Section 3 requirements. To demonstrate compliance with the "greatest extent feasible" requirement of Section 3, contractors must meet the goals set forth below for providing training, employment and contracting opportunities to Section 3 residents and Section 3 business concerns. To meet the goals, contractors must select Section 3 residents based on the following priorities pursuant to § 135.34, 24 CFR Part 135:

- First Priority - Residents of the development where the work is to be performed.
- Second Priority - Other residents of the neighborhood where the work is to be performed.
- Third Priority - Other residents of the neighborhood who are participants in HUD-Youthbuild or others federal, state, and local job programs being carried out in the city or county area.
- Fourth Priority - Other persons from the project metropolitan area who meet the definition of Section 3 resident contained in § 135.5 of 24 CFR Part 135.



Homes and Community Renewal

Employment:

All contractors will seek low- or very low-income persons residing in the property metropolitan area for 30% of all new hires. When applicable, the contractor must show evidence of seeking project residents for 15% of the new hires.

Eligibility for employment or contracting -nothing in this policy shall be construed to require the employment or contracting of a Section 3 resident or contractor who does not meet the qualifications of the position to be filled or who cannot perform the contract.

Preference for Section 3 Business Concerns:

Preference shall be awarded to Section 3 Business Concerns according to the following system:

1. Where the Section 3 Covered Contract is to be awarded based upon the lowest price, the contract shall be awarded to the qualified Section 3 Business Concern with the lowest responsive quotation, if it is reasonable and no more than 10 percent higher than the quotation of the lowest responsive quotation from any qualified source. If no responsive quotation by a qualified Section 3 Business Concern is within 10 percent of the lowest responsive quotation from any qualified source, the award shall be made to the source with the lowest quotation. If it is reasonable and no more than 10 percent higher than the quotation of the lowest responsive quotation from any qualified source. If no responsive quotation by a qualified Section 3 Business Concern is within 10 percent of the lowest responsive quotation from any qualified source, the award shall be made to the source with the lowest quotation.
2. Where the Section 3 Covered Contract is to be awarded based on factors other than price, a request for quotations shall be issued by developing the particulars of the solicitation, including a rating system for the assignment of points to evaluate the merits of each quotation. The solicitation shall identify all factors to be considered, including price or cost. The rating system shall provide for a range of 15 to 25 percent of the total number of available rating points to be set aside for the provision of preference for Section 3 Business Concerns. The purchase order shall be awarded to the responsible firm whose quotation is the most advantageous, considering price and all other factors specified in the rating system.

Competitive Bids: Procurement by Sealed Bids (Invitations for Bids)

Preference in the award of Section 3 Covered Contracts that are awarded under a sealed bid process may be provided as follows:



Homes and Community Renewal

1. Bids shall be solicited from all businesses (i.e. Section 3 Business Concerns and non- Section 3 Business Concerns). An award shall be made to the qualified Section 3 Business Concern with the highest priority ranking (as defined in 24 CFR Part 135) and with the lowest responsive bid if that bid:

A) is within the maximum total contract price established in The UGLG's budget for the specific project for which bids are being taken; and

B) is not more than "X" higher than the total bid price of the lowest responsive bid from any responsible bidder. "X" is determined as follows:

X = lesser of:

When the lowest responsive bid is less than \$100,000 10% of that bid or \$9,000

When the lowest responsive bid is:

At least \$100,000, but less than \$200,000 9% of that bid or \$16,000

At least \$200,000, but less than \$300,000 8% of that bid or \$21,000

At least \$300,000, but less than \$400,000 7% of that bid or \$24,000

At least \$400,000, but less than \$500,000 6% of that bid or \$25,000

At least \$500,000, but less than \$1 million5% of that bid or \$40,000

At least \$1 million, but less than \$2 million.4% of that bid or \$60,000

At least \$2 million, but less than \$4 million.3% of that bid or \$80,000

At least \$4 million, but less than \$7 million.2% of that bid or \$105,000

\$7 million or more 1.5% of the lowest responsive bid, with no dollar limit.

2. If no responsive bid by a Section 3 Business Concern meets the requirements of paragraph 1 of this section, the contract shall be awarded to a responsible bidder with the lowest responsive bid.
3. In both paragraphs 1 and 2 above, a bidder, to be considered as responsible, must demonstrate compliance with the "greatest extent feasible" requirement of Section 3.

Compliance:

HUD holds HCR accountable for compliance with Section 3 requirements. In contracts entered into with HCR, recipients agree to comply with Section 3. When a housing partner is unable to meet Section 3 goals, HCR will place the burden of proving compliance with Section 3 on the recipient.



Homes and Community Renewal

The minimum numerical goal for employment 30 percent of the aggregate number of new hires shall be Section 3 residents annually—i.e., 1 out of 3 new employees needed to complete a Section 3 covered project/activity shall be a Section 3 resident.

The minimum goals for contracting are:

- Ten percent of the total dollar amount of all Section 3 covered contracts for building trades work for maintenance, repair, modernization or development of public or Indian housing or building trades work arising in connection with housing rehabilitation, housing construction and other public construction, shall be awarded to Section 3 businesses; and
- Three percent of the total dollar amount of all non-construction Section 3 covered contracts, shall be awarded to Section 3 businesses.

Safe harbor and compliance determinations: In the absence of evidence to the contrary (i.e., evidence that efforts to the “greatest extent feasible” were not expended), if the UGLG or contractor meets the minimum numerical goals shown above, the recipient or contractor is considered to have complied with Section 3 preference requirements.

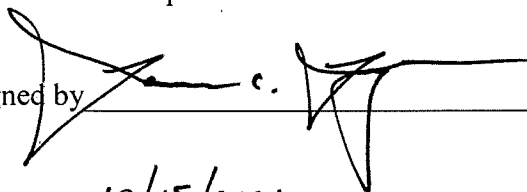
The UGLG will be expected to demonstrate why it was not feasible to meet the goals. At a minimum, if recipients of Section 3 Assistance are unable to meet their Section 3 training, hiring, and contracting goals, they should *sponsor or participate* in upward mobility programs, hire eligible residents in trainee positions with regard to training and employment, or form Section 3 joint ventures with various local employment agencies.

Data Collection and Reporting:

The UGLG will collect and submit required Section 3 data and complete Section 3 reporting requirements.

Certification:

I certify that to the best of my knowledge and belief all of the information on this form is correct. I also understand that failure to report completely and accurately may result in sanctions under my policy, including but not limited to voidance of the policy, and in criminal or civil penalties.

Signed by 
Date 10/15/2021

Title Deputy County Executive

Attest 

MICHAEL A. LALLI
NOTARY PUBLIC - STATE OF NEW YORK
No. 01LA6322012
Qualified in Albany County
My Commission Expires March 30, 2021

Reviewed by _____ Approved by _____



NEW YORK
STATE OF
OPPORTUNITY.

Homes and Community Renewal

Comments:

Fair Housing Statement
Albany County, NY

Albany County has *not* been subject to a housing discrimination proceeding before a federal, state, and/or local adjudicatory body.

RESOLUTION NO. 266

**PUBLIC HEARING REGARDING MULTIPLE COMMUNITY
DEVELOPMENT BLOCK GRANT APPLICATIONS**

Introduced: 8/9/21

By Audit and Finance Committee:

WHEREAS, The County Executive has proposed two applications for funding under the Community Development Block Grant Program (CDBG) regarding programs for Albany County, and

WHEREAS, A public hearing must be held before the Albany County Legislature on these proposals prior to the submission of the applications for CDBG funding, now, therefore, be it

RESOLVED, By the County Legislature of the County of Albany that a public hearing on the proposed Community Development Block Grant funding applications will be held by the County Legislature in the William J. Conboy II Legislative Chambers, Albany County Courthouse, Albany, New York, at 7:15 p.m. on Tuesday, August 24, 2021, and the Clerk of the County Legislature is directed to cause notice of such hearing to be published containing the necessary information in accordance with the applicable provisions of law.

LEGAL NOTICE

Notice of Public Hearing

County of Albany

The County of Albany will hold a public hearing on at for the purpose of hearing public comments on August 24, 2021 at 7:15 PM at the William J. Conboy II Legislative Chambers, Albany County Courthouse, Albany, New York 12207 for the purpose of hearing public comments on the County of Albany's the community development needs, and to discuss the possible submission of one or more Community Development Block Grant (CDBG) applications for the 2020 program year. The CDBG program is administered by the New York State Office of Community Renewal (OCR), and will make available to eligible local governments approximately \$2,000,000 for the 2020 program year for affordable housing and public services, with the principal purpose of benefitting low/moderate income persons. The hearing will provide further information about the CDBG program and will allow for citizen participation in the development of any proposed grant applications and/or to provide technical assistance to develop alternate proposals. Comments on the CDBG program or proposed project(s) will be received at this time. The hearing is being conducted pursuant to Section 570.486, Subpart I of the CFR and in compliance with the requirements of the Housing and Community Development Act of 1974, as amended.

The William J. Conboy II Legislative Chambers, Albany County Courthouse, Albany, New York 12207 is accessible to persons with disabilities. If special accommodations are needed for persons with disabilities, those with hearing impairments, or those in need of translation from English, those individuals should contact Necole Chambers, Clerk of the Albany County Legislature, at necole.chambers@albanycountyny.gov or 518-447-7168, least one week in advance of the hearing date to allow for necessary arrangements. Written comments may also be submitted to Necole Chambers, Clerk of the Albany County Legislature, Harold L. Joyce Albany County Office Building, 112 State Street, Room 710, Albany, NY 12207 until August 27, 2021.

TIMES UNION

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ALBANY COUNTY LEGISLATURE
112 STATE STREET RM 710
ALBANY, NY 12207

Account Number: 030365005
Order Number: 0004172369
Order Invoice Text: Notice of Public Hearing

D LaCoppola / T Duquette / C Finnegan / A Tunstall of the city of Albany, being duly sworn, says that he/she is principal Clerk of THE TIMES UNION, a daily newspaper printed in the county of Albany, Town of Colonie, and Published in the County of Albany, Town of Colonie and the city of Albany, aforesaid and that notice of which a printed copy is annexed has been regularly published in the said ALBANY TIMES UNION on the following dates

08-16-2021



08/17/2021

Denise R. LaCoppola

SUSAN QUINE
NOTARY PUBLIC-STATE OF NEW YORK
No. 01QU6396414
Qualified in Rensselaer County
My Commission Expires 08-19-2023

Sworn to before me, this 17 day of Aug 2021



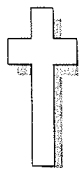
Notary Public
Albany County

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TU1t 4172369



The Evangelist

40 N. MAIN AVENUE, ALBANY, NEW YORK 12203
TELEPHONE (518) 453-6688 FAX (518) 453-8448

STATE OF NEW YORK }

CITY AND COUNTY }

OF ALBANY }

LEGAL NOTICE

Notice of Public Hearing County of Albany

The County of Albany will hold a public hearing on at for the purpose of hearing public comments on August 24, 2021 at 7:15 PM at the William J. Conboy II Legislative Chambers, Albany County Courthouse, Albany, New York 12207 for the purpose of hearing public comments on the County of Albany's the community development needs, and to discuss the possible submission of one or more Community Development Block Grant (CDBG) applications for the 2020 program year. The CDBG program is administered by the New York State Office of Community Renewal (OCR), and will make available to eligible local governments approximately \$2,000,000 for the 2020 program year for affordable housing and public services, with the principal purpose of benefitting low/moderate income persons. The hearing will provide further information about the CDBG program and will allow for citizen participation in the development of any proposed grant applications and/or to provide technical assistance to develop alternate proposals. Comments on the CDBG program or proposed project(s) will be received at this time. The hearing is being conducted pursuant to Section 570.486, Subpart 1 of the CFR and in compliance with the requirements of the Housing and Community Development Act of 1974, as amended.

The William J. Conboy II Legislative Chambers, Albany County Courthouse, Albany, New York 12207 is accessible to persons with disabilities. If special accommodations are needed for persons with disabilities, those with hearing impairments, or those in need of translation from English, those individuals should contact Necole Chambers, Clerk of the Albany County Legislature, at necole.chambers@albany-countyny.gov or 518-447-1168, at least one week in advance of the hearing date to allow for necessary arrangements. Written comments may also be submitted to Necole Chambers, Clerk of the Albany County Legislature, Harold L. Joyce Albany County Office Building, 112 State Street, Room 710, Albany, NY 12207 until August 27, 2021.

EV 8/19/21

The undersigned is the authorized designee of the publisher of The Evangelist, a weekly newspaper published in the City and County of Albany aforesaid, and that the notice of which a printed copy is annexed, has been published in the said **THE EVANGELIST**, for 1 week commencing on the 19th day of August 2021.

Mary DeTurrus Poust
Authorized designee

Sworn before me, this
19th day of August 2021

NOTARY PUBLIC

MARY C BECK
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01BE6385539
Qualified in Albany County
Commission Expires January 07, 2023

OFFICIAL PUBLICATION OF THE CATHOLIC DIOCESE OF ALBANY
PUBLISHED WEEKLY BY THE ALBANY CATHOLIC PRESS ASSOCIATION, INC.

WEBSITE
AFFIDAVIT OF POSTING
OF NOTICE OF PUBLIC HEARING
ON PROPOSED COMMUNITY DEVELOPMENT
BLOCK GRANT (CDBG) PROJECT

The undersigned, being duly sworn, hereby states:

1. That on August 16, 2021, Jennifer Cross, Senior Applications Developer posted a digital copy of the attached Notice of Public Hearing entitled "Notice of Public Hearing on Proposed Community Development Block Grant (CDBG) Project" relating to Community Development Block Grant (CDBG) applications for the 2020 program year to the County of Albany website. The Notice remains available on the website, constituting at least 72 hours of prior notice.

2. That the Notice of Hearing attached hereto as Exhibit A is a duplicate copy of the Notice of Hearing which was posted on such website.

IN WITNESS WHEREOF, I have hereunto set my hand this 15th day of October, 2021.


Jennifer Cross, Senior Applications Developer

NA.020B035558
Sworn to before me this 15th
day of October, 2021.

 3/13/2025

Notary Public

Exhibit "A"

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| | |
|----|--|
| 1 | August 24, 2021 - Public Hearing |
| 2 | ALBANY COUNTY LEGISLATIVE OFFICE |
| 3 | -----X |
| 4 | PUBLIC HEARING |
| 5 | RESOLUTION NO. 266 |
| 6 | PUBLIC HEARING REGARDING MULTIPLE COMMUNITY |
| 7 | DEVELOPMENT, BLOCK GRANT APPLICATIONS |
| 8 | -----X |
| 9 | Minutes of Hearing, on 24th day of August, 2021 commencing |
| 10 | at 7:18 p.m., 16 Eagle Street, Rm. 283, Albany, New York |
| 11 | BEFORE: CHAIRMAN WANDA WILLINGHAM |
| 12 | |
| 13 | Reported by Janet Wallravin |
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 **COPY**

1 August 24, 2021 - Public Hearing

2 APPEARANCES:

3 NECOLE CHAMBERS

4 ROBERT BESTON

5 PAUL BURGDORF

6 NORMA CHAPMAN

7 MICKEY CLEARY

8 TODD DRAKE

9 BERORO EFEKORO

10 SAMUEL FEIN

11 MARK GRIMM

12 RAYMOND JOYCE

13 PATRICE LOCKART

14 FRANK MAURIELLO

15 CAROLYN MCLAUGHLIN

16 MATTHEW MILLER

17 JEFF PERLEE

18 MATTHEW PETER

19 VICTORIA PLOTSKY

20 BILL RICARD

21 MERTON SIMPSON

22 PETER TUNNY

23 PAT ALDERSON

24 WILLIAM SIKULA

25

1 August 24, 2021 - Public Hearing
2 (On the record 7:18 p.m.)
3 THE CLERK: I'd like to call the
4 meeting to order. Chairman Joyce was excused from
5 tonight's meeting, so I will start by calling the
6 roll. Beston?
7 MR. BESTON: Here.
8 THE CLERK: Bruschi? Burgdorf?
9 MR. BURGDORF: Here.
10 THE CLERK: Chapman?
11 MS. CHAPMAN: Here.
12 THE CLERK: Clay? Cleary?
13 MR. CLEARY: Here.
14 THE CLERK: Commisso? Cunningham?
15 Domalewicz? Drake?
16 MR. DRAKE: Here.
17 THE CLERK: Efekoro?
18 MR. EFEKORO: Here.
19 THE CLERK: Ethnier? Feeney? Fein?
20 MR. FEENEY: Feeney is here.
21 THE CLERK: Grimm?
22 MR. GRIMM: Here.
23 THE CLERK: Andrew Joyce? Ray Joyce?
24 MR. RAYMOND JOYCE: Here.
25 THE CLERK: Kuhn? Lekakis? Lockart?

1 August 24, 2021 - Public Hearing
2 MS. LOCKART: Here.
3 THE CLERK: Mauriello?
4 MR. MAURIELLO: Here.
5 THE CLERK: Mayo? McLaughlin?
6 MS. MCLAUGHLIN: Here.
7 THE CLERK: McLean Lane? Miller?
8 MR. MILLER: Present.
9 THE CLERK: O'Brien? Perlee?
10 MR. PERLEE: Here.
11 THE CLERK: Peter?
12 MR. PETER: Here.
13 THE CLERK: Plotsky?
14 MS. PLOTSKY: Here.
15 THE CLERK: Reidy? Reinhardt?
16 Ricard?
17 MR. RICHARD: Present.
18 THE CLERK: Simpson?
19 MR. SIMPSON: Here.
20 THE CLERK: Smith? Touchette?
21 MR. TOUCHETTE: Here.
22 THE CLERK: Tunny?
23 MR. TUNNY: Here.
24 THE CLERK: Ward? Whalen?
25 Willingham?

1 August 24, 2021 - Public Hearing

2 TEMPORARY CHAIR: Here.

3 THE CLERK: I have twenty-one present.

4 Now I will open on the issues to the -- to the
5 appointment of a temporary chair person.

6 MR. PETER: Madam Clerk, I'd like to
7 nominate Wanda Willingham to be temporary chair to
8 this meeting?

9 THE CLERK: Second?

10 UNIDENTIFIED SPEAKER: Second.

11 THE CLERK: I have a motion for Ms.
12 Willingham and a second, are there any other
13 nominations? The nominations are closed. All in
14 favor of Ms. Willingham to serve as temporary
15 chairperson?

16 ALL: Aye.

17 THE CLERK: Those opposed? Ms.
18 Willingham may assume the role of temporary
19 chairperson.

20 TEMPORARY CHAIR: Good evening
21 everyone. Welcome to the August 24, 2021 meeting of
22 the Albany County Legislature. I'm Wanda Willingham
23 and I'll be serving as your temporary chairperson.

24 The governing law and our rules direct
25 that we hold a public hearing prior to the passage of

1 August 24, 2021 - Public Hearing

2 local laws and other resolutions. Our rules prohibit
3 members of the public from questioning legislators
4 and prohibits us from questioning you.

5 The county policy with regards to
6 masks in county buildings is that all individuals are
7 required to wear a mask at all times when in a public
8 setting like this. As you know, our chamber is not
9 the largest space, so we have placed the public in
10 the back room where the meeting is being live-
11 streamed and the door is open so they may observe the
12 chamber.

13 Speakers are called in the order that
14 their names appear on the sign-up sheet and speakers
15 are permitted five minutes to express their views.
16 Speakers are also asked to come to the front podium
17 via the hallway and return to the back via the
18 hallway.

19 Clerk, please read Resolution Two
20 Sixty-Six.

21 THE CLERK: Public Hearing Regarding
22 Multiple Community Development Block Grant
23 Applications.

24 Resolve. By the County Legislature of
25 the County of Albany, that a public hearing on the

1 August 24, 2021 - Public Hearing
2 proposed community development block grant funding
3 applications will be held by the County Legislature
4 in the William J. Conboy, II Legislative Chambers,
5 Albany County Courthouse, Albany, New York at seven
6 fifteen p.m. on Tuesday, August 24, 2021 and the
7 Clerk of the County Legislature is directed to cause
8 notice of such hearing to be published containing the
9 necessary information in accordance with the
10 applicable provisions of law. Applications of
11 publication are on file in the Clerk's Office.

12 TEMPORARY CHAIR: Thank you. Clerk,
13 please identify the first speaker.

14 THE CLERK: Pat Alderland (phonetic) -
15 - Alderson.

16 UNIDENTIFIED SPEAKER: Say where he's
17 from.

18 THE CLERK: He's from the County
19 Exec's Office.

20 MR. ALDERSON: Hey, good evening
21 everybody. So tonight I want to just talk to you a
22 little bit about one of the C.D.B.G. Care's Project
23 Applications that is being submitted. This first
24 project application that we're going to be talking
25 about is specifically for mental health support

1 August 24, 2021 - Public Hearing

2 services.

3 So there's a project application under
4 development, it's a four-prong strategy to bolster
5 the mental health system supports in underserved
6 communities within the county. The need for mental
7 health supports has only been exacerbated by the
8 Pandemic, as we see ongoing and necessary public
9 health measures expose many people to experiencing
10 situations linked to poor mental health outcomes such
11 as isolation and job loss. These Pandemic-related
12 stressors are occurring against a backdrop of high
13 rates of mental illness and substance use that
14 existed prior to the current crisis.

15 So this application seeks to kind of
16 address the -- the existing need that exists within
17 the community and -- and work to kind of stem some of
18 the -- the challenges we see in the future. So the
19 first phase of this proposal would be by sub -- would
20 be a proposal to subcontract with Catholic Charities
21 Care for Nation Services to expand to Albany Law
22 Enforcement assisted diversion or let everyone
23 advance with dignity client engagement capacity. So
24 this would be done through the addition of a new case
25 manager to help with case load reduction and also

1 August 24, 2021 - Public Hearing

2 some -- some of the money would be set aside and used
3 for salary improvements for existing case managers to
4 help with retention in a field where there's very
5 high turnover.

6 It is essential that the number of
7 lead case managers increase so that current
8 participants can get the dedicated time and focus
9 they need to improve their mental and physical health
10 and meet the goals that they have set for themselves.
11 This portion of the plan would cost nearly ninety-
12 seven thousand dollars.

13 The second portion of this application
14 would be to improve the outcomes and cross systems
15 management of the Albany County Mental Health Patient
16 Services Coordinating Committee. This is a multi-
17 sector cross systems planning group that assists
18 individuals who have high needs or are high utilizers
19 of emergency medical services and this would be done
20 through adding a dedicated social worker as well as a
21 peer advocate to work directly with these -- these
22 individuals. That program's costs would be a hundred
23 and forty-eight thousand dollars.

24 The third portion of this application
25 would be to expand the mental health and substance

1 August 24, 2021 - Public Hearing

2 abuse disorder treatment provision within the ...
3 towns. This is -- there is a project that was under
4 way, however it -- it faltered and there wasn't an
5 ability to fund an expansion of counseling services
6 in -- in the hill towns as had -- was originally
7 consensualized. So this portion of the plan would
8 use existing Albany County Department of Mental
9 Health resources as well as a trusted medical
10 provider in the hill towns to set up a -- a patient
11 referral system and -- and telehealth opportunities
12 for folks in the hill towns. The cost of that phase
13 of this application would be twenty thousand dollars.

14 And finally, there is a hundred and
15 twenty-five thousand dollars in this application to
16 expand jurisdictions served by the alternative mental
17 health-related Crisis Response Team in the county --
18 the pilot (phonetic spelling) better known as a
19 court. I'm taking questions at this time, if there
20 are any. Thank you for your time.

21 THE CLERK: William Secola (phonetic)
22 -- Sikula, from Lamphony (phonetic spelling)?

23 MR. SIKULA: Hi everyone. I'm the
24 School and the Planning and Park Rec's coordinator
25 with the Albany County ... and I'll be speaking on

1 August 24, 2021 - Public Hearing
2 that for another C.D.B.G. project that is a
3 partnership between Albany County and the Albany
4 County Lambig (phonetic spelling). The Albany County
5 Lambig is a 501(c)(3) and will be working to
6 rehabilitate three, two-unit residential buildings
7 into affordable homeownership opportunities for
8 members of underserved and ... populations that have
9 been disproportionately impacted by COVID-19.

10 Under this proposal, the Albany County
11 and the Lambig is some ... recipient would utilize
12 approximately a million dollars in C.D.B.G. funds to
13 fully rehab three vacant structures at 45, 48 and
14 52nd Avenues, which are owned by the Albany County
15 Lambig. We thought we would see a little bit of
16 savings when we initially did the budget, but we
17 actually expected it to be right around a million
18 dollars and then nine eighty ...

19 So the Albany County Lambig, just as a
20 background, is -- is currently in the process of
21 applying to rehab up to thirty buildings under the
22 New York State H.C.R.'s Legacy City's Program,
23 including 34 Second Avenue and 40 Second Avenue ...
24 state block. Under that program other homes on the
25 corridor will be transformed into affordable

1 August 24, 2021 - Public Hearing
2 homeownership opportunities for members of
3 underserved people in the populations.

4 The Albany County Lambig is also
5 working in partnership with a developer to transform
6 over sixty vacant properties in the surrounding
7 neighborhood in the South End into quality,
8 affordable rentals as well as there are homeownership
9 opportunities in that project as well.

10 Second Avenue is in Albany South End,
11 which is a little ... predominantly black
12 neighborhood. And Second Avenue itself has a
13 strategic corridor within the larger neighborhood
14 revitalization efforts. And an increased supply of
15 affordable health -- housing will help stabilize the
16 corridor and create new homes for families and
17 individuals experiencing housing challenges as a
18 result of COVID-19.

19 The Lambig house I control, the three
20 buildings, contemplated in this project, so we can
21 begin almost immediately, we expect construction to
22 be about a year. Thank you.

23 THE CLERK: There are no more
24 speakers.

25 TEMPORARY CHAIR: Thank you, Mr.

1 August 24, 2021 - Public Hearing

2 Alderson and Mr. Sikula. That is all our speakers
3 for tonight, is there anyone else in the meeting who
4 would like to make a public comment? Hearing none,
5 the public hearing is now closed. Can I have a
6 motion to dismiss?

7 MR. PETER: Motion ...

8 TEMPORARY CHAIR: Second?

9 UNIDENTIFIED SPEAKER: Seconded.

10 TEMPORARY CHAIR: Motion is seconded.

11 Thank you.

12 (Off the record 7:33 p.m.)

13 (The proceeding concluded.)

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1 August 24, 2021 - Public Hearing

2 STATE OF NEW YORK

3 I, JANET WALLRAVIN, do hereby certify that the foregoing
4 was reported by me, in the cause, at the time and place,
5 as stated in the caption hereto, at Page 1 hereof; that
6 the foregoing typewritten transcription consisting of
7 pages 1 through 13, is a true record of all proceedings
8 had at the hearing.

9 IN WITNESS WHEREOF, I have hereunto
10 subscribed my name, this the 1st day of September, 2021.

11 *Janet Wallravin*
12

13 JANET WALLRAVIN, Reporter
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|--|---|--|
| 2 | alternative 10:16 | chair 5:2,5,7,20 7:12 12:25 13:8,10 |
| | applicable 7:10 | chairperson 5:15,19,23 |
| 2021 5:1,21 6:1 7:1,6 8:1 9:1 10:1 11:1 12:1 13:1 | application 7:24 8:3,15 9:13,24 10:13,15 | challenges 8:18 12:17 |
| 24 5:1,21 6:1 7:1,6 8:1 9:1 10:1 11:1 12:1 13:1 | applications 6:23 7:3,10,23 | chamber 6:8,12 |
| 3 | applying 11:21 | Chambers 7:4 |
| | appointment 5:5 | Charities 8:20 |
| | approximately 11:12 | City's 11:22 |
| 34 11:23 | assisted 8:22 | Clerk 5:3,6,9,11,17 6:19,21 7:7,12, 14,18 10:21 12:23 |
| 4 | assists 9:17 | Clerk's 7:11 |
| | assume 5:18 | client 8:23 |
| 40 11:23 | August 5:1,21 6:1 7:1,6 8:1 9:1 10:1 11:1 12:1 13:1 | closed 5:13 13:5 |
| 45 11:13 | Avenue 11:23 12:10,12 | comment 13:4 |
| 48 11:13 | Avenues 11:14 | Committee 9:16 |
| 5 | Aye 5:16 | communities 8:6 |
| 501(c)(3) 11:5 | B | community 6:22 7:2 8:17 |
| 52nd 11:14 | back 6:10,17 | Conboy 7:4 |
| 7 | backdrop 8:12 | concluded 13:13 |
| 7:33 13:12 | background 11:20 | consensualized 10:7 |
| A | begin 12:21 | construction 12:21 |
| ability 10:5 | bit 7:22 11:15 | contemplated 12:20 |
| abuse 10:2 | black 12:11 | control 12:19 |
| accordance 7:9 | block 6:22 7:2 11:24 | Coordinating 9:16 |
| adding 9:20 | bolster 8:4 | coordinator 10:24 |
| addition 8:24 | budget 11:16 | corridor 11:25 12:13,16 |
| address 8:16 | buildings 6:6 11:6,21 12:20 | cost 9:11 10:12 |
| advance 8:23 | C | costs 9:22 |
| advocate 9:21 | C.D.B.G. 7:22 11:2,12 | counseling 10:5 |
| affordable 11:7,25 12:8,15 | called 6:13 | county 5:22 6:5,6,24,25 7:3,5,7,18 8:6 9:15 10:8,17,25 11:3,4,10,14,19 12:4 |
| Albany 5:22 6:25 7:5 8:21 9:15 10:8,25 11:3,4,10,14,19 12:4,10 | capacity 8:23 | court 10:19 |
| Alderland 7:14 | Care 8:21 | Courthouse 7:5 |
| Alderson 7:15,20 13:2 | Care's 7:22 | COVID-19 11:9 12:18 |
| | case 8:24,25 9:3,7 | create 12:16 |
| | Catholic 8:20 | crisis 8:14 10:17 |
| | | cross 9:14,17 |

| | | |
|--|---|--|
| current 8:14 9:7 | experiencing 8:9 12:17 | hearing 5:1,25 6:1,21,25 7:1,8 8:1 9:1 10:1 11:1 12:1 13:1,4,5 |
| <hr/> | expose 8:9 | held 7:3 |
| D | express 6:15 | Hey 7:20 |
| <hr/> | <hr/> | he's 7:16,18 |
| dedicated 9:8,20 | F | high 8:12 9:5,18 |
| Department 10:8 | <hr/> | hill 10:6,10,12 |
| developer 12:5 | faltered 10:4 | hold 5:25 |
| development 6:22 7:2 8:4 | families 12:16 | homeownership 11:7 12:2,8 |
| dignity 8:23 | favor 5:14 | homes 11:24 12:16 |
| direct 5:24 | field 9:4 | house 12:19 |
| directed 7:7 | fifteen 7:6 | housing 12:15,17 |
| directly 9:21 | file 7:11 | hundred 9:22 10:14 |
| dismiss 13:6 | finally 10:14 | <hr/> |
| disorder 10:2 | focus 9:8 | I |
| disproportionately 11:9 | folks 10:12 | <hr/> |
| diversion 8:22 | forty-eight 9:23 | identify 7:13 |
| dollars 9:12,23 10:13,15 11:12,18 | four-prong 8:4 | II 7:4 |
| door 6:11 | front 6:16 | illness 8:13 |
| <hr/> | fully 11:13 | immediately 12:21 |
| E | fund 10:5 | impacted 11:9 |
| <hr/> | funding 7:2 | improve 9:9,14 |
| efforts 12:14 | funds 11:12 | improvements 9:3 |
| eighty 11:18 | future 8:18 | including 11:23 |
| emergency 9:19 | <hr/> | increase 9:7 |
| End 12:7,10 | G | increased 12:14 |
| Enforcement 8:22 | <hr/> | individuals 6:6 9:18,22 12:17 |
| engagement 8:23 | goals 9:10 | information 7:9 |
| essential 9:6 | good 5:20 7:20 | initially 11:16 |
| evening 5:20 7:20 | governing 5:24 | isolation 8:11 |
| exacerbated 8:7 | grant 6:22 7:2 | issues 5:4 |
| Exec's 7:19 | group 9:17 | it's 8:4 |
| existed 8:14 | <hr/> | I'd 5:6 |
| existing 8:16 9:3 10:8 | H | I'll 5:23 10:25 |
| exists 8:16 | <hr/> | I'm 5:22 10:19,23 |
| expand 8:21 9:25 10:16 | H.c.r.'s 11:22 | <hr/> |
| expansion 10:5 | hallway 6:17,18 | J |
| expect 12:21 | health 7:25 8:5,7,9,10 9:9,15,25 10:9 12:15 | <hr/> |
| expected 11:17 | health-related 10:17 | job 8:11 |

| | | |
|---------------------------------------|---|--|
| jurisdictions 10:16 | mental 7:25 8:5,6,10,13 9:9,15,25 10:8,16 | Park 10:24 |
| <hr/> K <hr/> | million 11:12,17 | participants 9:8 |
| kind 8:15,17 | minutes 6:15 | partnership 11:3 12:5 |
| <hr/> L <hr/> | money 9:2 | passage 5:25 |
| Lambig 11:4,5,11,15,19 12:4,19 | motion 5:11 13:6,7,10 | Pat 7:14 |
| Lamphony 10:22 | multi- 9:16 | patient 9:15 10:10 |
| larger 12:13 | Multiple 6:22 | peer 9:21 |
| largest 6:9 | <hr/> N <hr/> | people 8:9 12:3 |
| law 5:24 7:10 8:21 | names 6:14 | permitted 6:15 |
| laws 6:2 | Nation 8:21 | person 5:5 |
| lead 9:7 | neighborhood 12:7,12,13 | PETER 5:6 13:7 |
| Legacy 11:22 | ninety- 9:11 | phase 8:19 10:12 |
| Legislative 7:4 | nominate 5:7 | phonetic 7:14 10:18,21,22 11:4 |
| legislators 6:3 | nominations 5:13 | physical 9:9 |
| Legislature 5:22 6:24 7:3,7 | notice 7:8 | pilot 10:18 |
| linked 8:10 | number 9:6 | plan 9:11 10:7 |
| live- 6:10 | <hr/> O <hr/> | planning 9:17 10:24 |
| load 8:25 | observe 6:11 | podium 6:16 |
| local 6:2 | occurring 8:12 | policy 6:5 |
| loss 8:11 | Office 7:11,19 | poor 8:10 |
| <hr/> M <hr/> | ongoing 8:8 | populations 11:8 12:3 |
| Madam 5:6 | open 5:4 6:11 | portion 9:11,13,24 10:7 |
| make 13:4 | opportunities 10:11 11:7 12:2,9 | predominantly 12:11 |
| management 9:15 | opposed 5:17 | present 5:3 |
| manager 8:25 | order 6:13 | prior 5:25 8:14 |
| managers 9:3,7 | originally 10:6 | proceeding 13:13 |
| mask 6:7 | outcomes 8:10 9:14 | process 11:20 |
| masks 6:6 | owned 11:14 | program 11:22,24 |
| measures 8:9 | <hr/> P <hr/> | program's 9:22 |
| medical 9:19 10:9 | p.m. 7:6 13:12 | prohibit 6:2 |
| meet 9:10 | Pandemic 8:8 | prohibits 6:4 |
| meeting 5:8,21 6:10 13:3 | Pandemic-related 8:11 | project 7:22,24 8:3 10:3 11:2 12:9, 20 |
| members 6:3 11:8 12:2 | | properties 12:6 |
| | | proposal 8:19,20 11:10 |
| | | proposed 7:2 |
| | | provider 10:10 |

| | | |
|---|---------------------------------------|--|
| provision 10:2 | rules 5:24 6:2 | stressors 8:12 |
| provisions 7:10 | | structures 11:13 |
| public 5:1,25 6:1,3,7,9,21,25 7:1 8:1,8 9:1 10:1 11:1 12:1 13:1,4,5 | S | subcontract 8:20 |
| publication 7:11 | salary 9:3 | submitted 7:23 |
| published 7:8 | savings 11:16 | substance 8:13 9:25 |
| Q | School 10:24 | supply 12:14 |
| | Secola 10:21 | support 7:25 |
| quality 12:7 | seconded 13:9,10 | supports 8:5,7 |
| questioning 6:3,4 | sector 9:17 | surrounding 12:6 |
| questions 10:19 | seeks 8:15 | system 8:5 10:11 |
| R | serve 5:14 | systems 9:14,17 |
| | served 10:16 | T |
| rates 8:13 | services 8:2,21 9:16,19 10:5 | taking 10:19 |
| read 6:19 | serving 5:23 | talk 7:21 |
| recipient 11:11 | set 9:2,10 10:10 | talking 7:24 |
| record 13:12 | setting 6:8 | Team 10:17 |
| Rec's 10:24 | sheet 6:14 | telehealth 10:11 |
| reduction 8:25 | sign-up 6:14 | temporary 5:2,5,7,14,18,20,23 7:12 12:25 13:8,10 |
| referral 10:11 | Sikula 10:22,23 13:2 | there's 8:3 9:4 |
| rehab 11:13,21 | situations 8:10 | thirty 11:21 |
| rehabilitate 11:6 | sixty 12:6 | thought 11:15 |
| rentals 12:8 | Sixty-six 6:20 | thousand 9:12,23 10:13,15 |
| required 6:7 | social 9:20 | time 9:8 10:19,20 |
| residential 11:6 | South 12:7,10 | times 6:7 |
| Resolution 6:19 | space 6:9 | tonight 7:21 13:3 |
| resolutions 6:2 | speaker 5:10 7:13,16 13:9 | towns 10:3,6,10,12 |
| Resolve 6:24 | speakers 6:13,14,16 12:24 13:2 | transform 12:5 |
| resources 10:9 | speaking 10:25 | transformed 11:25 |
| Response 10:17 | specifically 7:25 | treatment 10:2 |
| result 12:18 | spelling 10:18,22 11:4 | trusted 10:9 |
| retention 9:4 | stabilize 12:15 | Tuesday 7:6 |
| return 6:17 | state 11:22,24 | turnover 9:5 |
| revitalization 12:14 | stem 8:17 | twenty 10:13 |
| role 5:18 | strategic 12:13 | twenty-five 10:15 |
| room 6:10 | strategy 8:4 | |
| | streamed 6:11 | |

twenty-one 5:3

two-unit 11:6

U

underserved 8:5 11:8 12:3

UNIDENTIFIED 5:10 7:16 13:9

utilize 11:11

utilizers 9:18

V

vacant 11:13 12:6

views 6:15

W

Wanda 5:7,22

wasn't 10:4

wear 6:7

we're 7:24

William 7:4 10:21

Willingham 5:7,12,14,18,22

work 8:17 9:21

worker 9:20

working 11:5 12:5

Y

year 12:22

York 7:5 11:22