



**MICHAEL S. MONTELEONE**  
EXECUTIVE UNDERSHERIFF

## ALBANY COUNTY SHERIFF'S OFFICE

County Court House Albany, New York 12207 (518) 487-5400  
[WWW.ALBANYCOUNTYSHERIFF.COM](http://WWW.ALBANYCOUNTYSHERIFF.COM)

**CRAIG D. APPLE, SR.**  
SHERIFF



**WILLIAM M. RICE**  
UNDERSHERIFF

May 2, 2023

Honorable Andrew Joyce  
Legislative Clerk's Office  
112 State Street, Room 710  
Albany, New York 12207

*Andrew*  
Dear Chairman Joyce:

The attached correspondence is forwarded for presentation to the Albany County Legislature.

Legislative approval is required in order to allow the Albany County Sheriff's Office to apply for and accept grant funding from the U.S. Department of Homeland Security (DHS), Federal Emergency Management Agency (FEMA), Grant Programs Directorate (GPD), Port Security Grant Program. The attached application is for the "2023 Port Security Grant Program Initiative."

The term of this contract will be 36 months commencing October 1, 2023.

The tentative award amount for the Albany County Sheriff's Office is up to \$1,000,000.00 of which there is a 25% match of up to \$250,000.00 in funds associated with this grant.

Should there be any questions, do not hesitate to call.

Sincerely,

*Craig D. Apple, Sr.*  
Craig D. Apple, Sr.  
Sheriff

Att.

cc: Hon. Daniel P. McCoy, County Executive  
Hon. William Clay, Public Safety Chairman  
Hon. Wanda Willingham, Audit & Finance Chairwoman

REQUEST FOR LEGISLATIVE ACTION

FOR COUNSEL USE ONLY

DATE: \_\_\_\_\_

RECEIVED: \_\_\_\_\_

RECEIVED BY: \_\_\_\_\_

METHOD: HAND \_\_\_\_\_

COURIER \_\_\_\_\_

MAIL \_\_\_\_\_

DATE : May 2, 2023

DEPARTMENT: ALBANY COUNTY SHERIFF'S OFFICE

CONTACT PERSON: CRAIG D. APPLE, SR.

TELEPHONE: 487-5440

DEPT. REPRESENTATIVE ATTENDING

COMMITTEE MEETING:

SHERIFF CRAIG D. APPLE, SR.

**PURPOSE OF REQUEST:**

ADOPTION OF LOCAL LAW

AMENDMENT OF PRIOR LEGISLATION

APPROVAL/ADOPTION OF PLAN/PROCEDURE

BOND APPROVAL

BUDGET AMENDMENT(SEE BELOW)

CONTRACT AUTHORIZATION (SEE BELOW)

ENVIRONMENTAL IMPACT

HOME RULE REQUEST

PROPERTY CONVEYANCE

OTHER:(STATE BRIEFLY IF NOT LISTED ABOVE)

X

TO APPLY AND ACCEPT THE AWARDED AMOUNT FOR THE 2023 PORT SECURITY

GRANT PROGRAM FROM NYS DIVISION OF HOMELAND SECURITY. THIS GRANT COULD

BE AWARDED UP TO \$1,000,000.00 OF WHICH THERE IS A MATCH OF UP TO \$250,000.00.

**CONCERNING BUDGET AMENDMENTS**

**STATE, THE FOLLOWING**

INCREASE ACCOUNT/LINE NO.

SOURCE OF FUNDS:

TITLE CHANGE:

**CONCERNING CONTRACT AUTHORIZATION,**

**STATE THE FOLLOWING:**

**TYPE OF CONTRACT**

CHANGE ORDER/CONTRACT AMENDMENT

PURCHASE (EQUIPMENT/ SUPPLIES)

LEASE (EQUIPMENT/SUPPLIES)

REQUIREMENTS

PROFESSIONAL SERVICES

EDUCATIONAL/TRAINING

GRANT: NEW

X

RENEWAL

SUBMISSION DEADLINE DATE

5/18/2023

SETTLEMENT OF A CLAIM

RELEASE OF LIABILITY

OTHER: (STATE BRIEFLY)

**CONCERNING CONTRACT AUTHORIZATION (CONT'D)**

**STATE THE FOLLOWING:**

CONTRACT TERMS/CONDITIONS:

PARTY (NAME/ADDRESS):

DIVISION OF HOMELAND SECURITY & EMERGENCY SERVICES

1220 WASHINGTON AVENUE, BLDG 7A, SUITE 710, ALBANY 12242

OMID AMIRI #202-786-9904 (FEMA/FEMA Region 2)

AMOUNT/RATE SCHEDULE/FEE:

UP TO \$1,000,000 (WITH 25% MATCH)

TERM:

09/01/2023-08/31/2026 (36 MONTHS FROM PERIOD OF  
PERFORMANCE)

SCOPE OF SERVICES:

THESE GRANT FUNDS WILL BE USED TO ENHANCE MARITIME SECURITY  
THROUGH CYBERSECURITY AND PROTECTION OF SOFT TARGETS & CROWDED  
PLACES IN ALIGNMENT WITH U.S. COAST GUARD CAPTAIN OF PORT RISK  
ASSESSMENT.

CONTRACT FUNDING:

ANTICIPATED IN CURRENT BUDGET: YES \_\_\_\_\_ NO X

FUNDING SOURCE: U.S. DEPARTMENT OF HOMELAND SECURITY (DHS)/FEDERAL  
EMERGENCY MANAGEMENT AGENCY (FEMA) GRANT PROGRAM DIRECTORATE(GPD)

COUNTY BUDGET ACCOUNTS:

REVENUE: \_\_\_\_\_

APPROPRIATION: \_\_\_\_\_

BOND(RES. NO. & DATE OF ADOPTION) \_\_\_\_\_

**CONCERNING ALL REQUESTS:**

MANDATED PROGRAM/SERVICE: YES \_\_\_\_\_ NO X

IF MANDATED CITE: AUTHORITY \_\_\_\_\_

ANTICIPATED IN CURRENT ADOPTED BUDGET: YES \_\_\_\_\_ NO X

IF YES, INDICATE REVENUE APPROPRIATION ACCOUNTS: \_\_\_\_\_

FISCAL IMPACT - FUNDING: (DOLLARS OR PERCENTAGES)

FEDERAL 75%

STATE \_\_\_\_\_

COUNTY 25%

TERM/LENGTH OF FUNDING \_\_\_\_\_

PREVIOUS REQUESTS FOR IDENTICAL OR SIMILAR ACTION:

RESOLUTION/LAW NUMBER: \_\_\_\_\_

DATE OF ADOPTION: \_\_\_\_\_

JUSTIFICATION: (STATE BRIEFLY WHY LEGISLATIVE ACTION IS REQUESTED)

THESE GRANT FUNDS WILL BE USED TO ENHANCE MARITIME SECURITY  
THROUGH CYBERSECURITY AND PROTECTION OF SOFT TARGETS & CROWDED  
PLACES IN ALIGNMENT WITH U.S. COAST GUARD CAPTAIN OF PORT RISK  
ASSESSMENT.

BACK-UP MATERIAL SUBMITTED (I.E. APPLICATION/APPROVAL NOTICES FROM FUNDING SOURCE,  
BID TABULATION SHEET, CIVIL SERVICE APPROVAL NOTICE, PROGRAM ANNOUNCEMENT, CONTRACTS  
AND/OR ANY MATERIALS WHICH EXPLAIN OR SUPPORT THE REQUEST FOR LEGISLATIVE ACTION.)

SUBMITTED BY: CRAIG D. APPLE, SR.

TITLE: SHERIFF

**The Department of Homeland Security (DHS)  
Notice of Funding Opportunity (NOFO)  
Fiscal Year 2023 Port Security Grant Program**

All entities wishing to do business with the federal government must have a unique entity identifier (UEI). The UEI number is issued by the SAM system. Requesting a UEI using SAM.gov can be found at <https://sam.gov/content/entity-registration>.

Grants.gov registration information can be found at <https://www.grants.gov/web/grants/register.html>.

**Planned UEI Updates in Grant Application Forms:**

*On April 4, 2022, the Data Universal Numbering System (DUNS) Number was replaced by a new, non-proprietary identifier requested in, and assigned by, the System for Award Management (SAM.gov). This new identifier is the Unique Entity Identifier (UEI).*

*Additional Information can be found on Grants.gov:*

<https://www.grants.gov/web/grants/forms/planned-uei-updates.html>

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**A. Program Description**

**1. Issued By**

U.S. Department of Homeland Security (DHS)/Federal Emergency Management Agency (FEMA)/Grant Programs Directorate (GPD)

**2. Assistance Listings Number**

97.056

**3. Assistance Listings Title**

Port Security Grant Program

**4. Funding Opportunity Title**

Fiscal Year 2023 Port Security Grant Program (PSGP)

**5. Funding Opportunity Number**

DHS-23-GPD-056-00-01

**6. Authorizing Authority for Program**

Section 102 of the Maritime Transportation Security Act of 2002 (Pub. L. No. 107-295, as amended) (46 U.S.C. § 70107)

**7. Appropriation Authority for Program**

*Consolidated Appropriations Act, 2023* (Pub. L. No. 117-328)

**8. Announcement Type**

Initial

**9. Program Category**

Preparedness: Infrastructure Security

**10. Program Overview, Objectives, and Priorities**

**a. *Overview***

The Fiscal Year (FY) 2023 Port Security Grant Program (PSGP) is one of four grant programs that constitute DHS/FEMA's focus on transportation infrastructure security activities. These grant programs are part of a comprehensive set of measures authorized by Congress and implemented by the Administration to help strengthen the nation's critical infrastructure against risks associated with potential terrorist attacks. The PSGP provides funds to state, local, and private sector maritime partners to support increased port-wide risk management and protect critical surface transportation infrastructure from acts of terrorism, major disasters, and other emergencies. Among the five basic homeland security missions noted in the DHS Strategic Plan for Fiscal Years 2020-2024, the PSGP supports the goal to Strengthen Preparedness and Resilience.

The 2022-2026 FEMA Strategic Plan outlines three bold, ambitious goals in order to position FEMA to address the increasing range and complexity of disasters, support the diversity of communities we serve, and complement the nation's growing expectations of the emergency



management community. The PSGP supports Goal 3 to Promote and Sustain a Ready FEMA and Prepared Nation. We invite our stakeholders and partners to also adopt these priorities and join us in building a more prepared and resilient nation.

For FY 2023, DHS is focused on the criticality of information sharing and collaboration to building a national culture of preparedness and protecting against terrorism and other threats to our national security. DHS and its homeland security mission were born from the “failures among federal agencies and between the federal agencies and state and local authorities to share critical information related to the threat of terrorism” prior to the September 11, 2001, attacks.<sup>1</sup> The threat profile has changed in the past two decades. We now face continuous cyber threats by sophisticated actors, threats to soft targets and crowded places, and threats from domestic violent extremists who currently pose the greatest terrorism threat to the nation<sup>2</sup>. Therefore, for FY 2023, DHS has identified two priority areas related to some of the most serious threats that recipients should address with their PSGP funds for enhancing maritime security. These two priority areas are enhancing cybersecurity and enhancing the protection of soft targets/crowded places. DHS also will continue to forge partnerships to strengthen information sharing and collaboration in each of these priority areas.

**b. Objectives**

Provide funding to port authorities, facility operators, and state, local, and territorial agencies for activities associated with implementing Area Maritime Security Plans (AMSP), facility security plans, and other port-wide risk management efforts.

**c. Priorities**

Given the evolving threat landscape, it is incumbent upon DHS/FEMA to continuously evaluate the national risk profile and set priorities that help ensure appropriate allocation of scarce security dollars. In assessing the national risk profile for FY 2023, two areas warrant the most concern:

- 1) Enhancing cybersecurity; and
- 2) Enhancing the protection of soft targets/crowded places.

Likewise, there are several enduring security needs that crosscut the homeland security enterprise. The following are second-tier priorities that help recipients implement a comprehensive approach to securing critical maritime transportation infrastructure:

- 1) Effective planning;
- 2) Training and awareness campaigns;
- 3) Equipment and capital projects; and
- 4) Exercises.

<sup>1</sup> Homeland Security Act of 2002: Report Together with Minority and Dissenting Views 222, Select Committee on Homeland Security: 107th Congress, U.S. House of Representatives (2002) (H. Rpt. 107-609).

<sup>2</sup> Strategic Intelligence Assessment and Data on Domestic Terrorism, Federal Bureau of Investigation and Department of Homeland Security, May 2021.



The table below provides a breakdown of these priority areas for the FY 2023 PSGP, showing both the core capabilities impacted, as well as examples of eligible maritime security project types for each area. A detailed description of allowable investments for each project type is included in the FY 2023 Preparedness Grants Manual. As discussed in Section E, projects that sufficiently address one or more of the two National Priorities (enhancing cybersecurity or enhancing the protection of soft targets/crowded places) will have their final review scores increased by a multiplier of 20%.

### FY 2023 PSGP Funding Priorities

*All priorities in this table concern Safety and Security and Transportation Lifelines.*

| Priority Areas  | Core Capabilities   | Example Project Types   |
|---|---|---|
| <b>National Priorities</b>                                  |   |   |
| Enhancing Cybersecurity                                     | <ul style="list-style-type: none"> <li>• Cybersecurity</li> <li>• Intelligence and information sharing</li> <li>• Planning</li> <li>• Public information and warning</li> <li>• Operational coordination</li> <li>• Screening, search, and detection</li> <li>• Access control and identity verification</li> <li>• Supply chain integrity and security</li> <li>• Risk management for protection programs and activities</li> <li>• Long-term vulnerability reduction</li> <li>• Situational assessment</li> <li>• Infrastructure systems</li> <li>• Operational communications</li> </ul> | <ul style="list-style-type: none"> <li>• Cybersecurity risk assessments</li> <li>• Projects that address vulnerabilities identified in cybersecurity risk assessments <ul style="list-style-type: none"> <li>○ Improving cybersecurity of critical infrastructure to meet minimum levels identified by Cybersecurity and Infrastructure Security Agency, and the <u>National Institute of Standards and Technology Cybersecurity Framework</u> or equivalent</li> <li>○ Adoption of cybersecurity performance goals (<a href="https://www.cisa.gov/cpg">https://www.cisa.gov/cpg</a>)</li> <li>○ Cybersecurity training and planning</li> </ul> </li> </ul>   |
| Enhancing the Protection of Soft Targets and Crowded Places | <ul style="list-style-type: none"> <li>• Operational coordination</li> <li>• Public information and warning</li> <li>• Intelligence and Information Sharing</li> <li>• Interdiction and disruption</li> <li>• Screening, search, and detection</li> <li>• Access control and identity verification</li> <li>• Physical protective measures</li> <li>• Risk management for protection programs and activities</li> </ul>   | <ul style="list-style-type: none"> <li>• Physical security enhancements at cruise and ferry terminals <ul style="list-style-type: none"> <li>○ Explosive detection canine teams</li> <li>○ Security cameras (closed circuit television [CCTV])</li> <li>○ Security screening equipment for people and baggage</li> <li>○ Access controls <ul style="list-style-type: none"> <li>▪ Landside fencing, gates, barriers, etc.</li> <li>▪ Marine (floating) barriers to prevent access to sensitive berthing areas</li> </ul> </li> </ul> </li> <li>• Enhanced security aboard ferries <ul style="list-style-type: none"> <li>○ Explosive detection canine teams</li> <li>○ Security cameras (CCTV)</li> </ul> </li> <li>• Rapid response boats for preventing or responding to security incidents on waterways, especially in and around airports, cruise terminals, ferry terminals, etc.</li> </ul> |
| <b>Enduring Needs</b>                                       |   |   |
| Planning  | <ul style="list-style-type: none"> <li>• Planning</li> <li>• Risk management for protection programs and activities</li> </ul>  | <ul style="list-style-type: none"> <li>• Development of: <ul style="list-style-type: none"> <li>○ Port-wide Security Risk Management Plans</li> <li>○ Continuity of Operations Plans</li> </ul> </li> </ul>   |

| Priority Areas                 | Core Capabilities   | Example Project Types  |
|--------------------------------|---|--|
|                                | <ul style="list-style-type: none"> <li>• Risk and disaster resilience assessment</li> <li>• Threats and hazards identification</li> <li>• Operational coordination</li> <li>• Community resilience</li> </ul>   | <ul style="list-style-type: none"> <li>◦ Response Plans</li> <li>• Efforts to strengthen governance integration between/among regional partners</li> <li>• Assessment of capabilities and gaps in planning for the needs of persons with disabilities and others will access and functional needs</li> </ul>   |
| Training and Awareness         | <ul style="list-style-type: none"> <li>• Long-term vulnerability reduction</li> <li>• Public information and warning</li> <li>• Operational coordination</li> <li>• Situational assessment</li> <li>• Community resilience</li> </ul>   | <ul style="list-style-type: none"> <li>• Active shooter training, including integrating the needs of persons with disabilities</li> <li>• Shipboard firefighting training</li> <li>• Public awareness/preparedness campaigns</li> <li>• Maritime domain awareness projects</li> </ul>  |
| Equipment and Capital Projects | <ul style="list-style-type: none"> <li>• Long-term vulnerability reduction</li> <li>• Infrastructure systems</li> <li>• Operational communications</li> <li>• Interdiction and disruption</li> <li>• Screening, search, and detection</li> <li>• Access control and identity verification</li> <li>• Physical protective measures</li> <li>• Supply chain integrity and security</li> <li>• Threats and hazards identification</li> <li>• Infrastructure systems</li> <li>• Intelligence and information sharing</li> </ul> | <ul style="list-style-type: none"> <li>• Implementing risk management projects that support port resilience and recovery</li> <li>• Implementing physical security enhancement projects</li> <li>• Transportation Worker Identification Credential projects</li> <li>• Sharing and leveraging intelligence and information</li> <li>• Chemical, Biological, Radiological, Nuclear, and Explosive prevention, detection, response and recovery equipment</li> <li>• Unmanned Aircraft Systems detection technologies</li> </ul> |
| Exercises                      | <ul style="list-style-type: none"> <li>• Long-term vulnerability reduction</li> <li>• Operational coordination</li> <li>• Operational communications</li> <li>• Community resilience</li> </ul>   | <ul style="list-style-type: none"> <li>• Response exercises</li> </ul>   |

## 11. Performance Measures

Performance metrics for this program are as follows:

- Percentage of funding allocated by the recipient to core capabilities to build or sustain the national priorities identified in the section above

### B. Federal Award Information

1. Available Funding for the NOFO: **\$100,000,000**
2. Period of Performance: **36 months**

Extensions to the period of performance are allowed. For additional information on period of performance extensions, please refer to Section H of this NOFO or the Preparedness Grants Manual.

FEMA awards under most programs, including this program, only include one budget period, so it will be same as the period of performance. See 2 C.F.R. § 200.1 for definitions of “budget period” and “period of performance.”

3. Projected Period of Performance Start Date(s): **09/01/2023**
4. Projected Period of Performance End Date(s): **08/31/2026**
5. Funding Instrument Type: **Grant**

### **C. Eligibility Information**

#### **1. Eligible Applicants**

All entities subject to an AMSP, as defined by 46 U.S.C. § 70103(b), may apply for PSGP funding. Eligible applicants include but are not limited to port authorities, facility operators, and state, local, and territorial government agencies. A facility operator owns, leases, or operates any structure or facility of any kind located in, on, under, or adjacent to any waters subject to the jurisdiction of the United States. Examples of facility operators include, but are not limited to terminal operators, ferry systems, bar/harbor pilots, and merchant's exchanges. See the "Applications Submitted by Eligible Entities" section below for further detail.

#### **2. Applicant Eligibility Criteria**

Pursuant to the Maritime Transportation Security Act of 2002 (MTSA), Pub. L. No. 107-295, as amended, DHS established a risk-based grant program to support maritime security risk management. Funding is directed towards the implementation of AMSPs, Facility Security Plans (FSP), and Vessel Security Plans (VSP) among port authorities, facility operators, and state and local government agencies that are required to provide port security services. In administering the grant program, national, economic, energy, and strategic defense concerns based upon the most current risk assessments available will be considered.

#### **Port Area Definition**

A Port Area is a location on a coast, shore, or inland waterway containing one or more harbors where vessels can dock and transfer people or cargo to or from land. For the purpose of PSGP, eligible ports included those identified by the U.S. Army Corps of Engineers (USACE) Principal Port List (PPL) as well as unlisted ports which have the presence of MTSA-regulated facilities.

#### **Applications Submitted by Eligible Entities**

Subject to the information and exceptions in this section, *an eligible entity may submit only one application within each Port Area. An application may contain up to five Investment Justifications (IJs).* See Section D, below, for further instructions regarding IJs.

- A single eligible entity may have multiple facilities, departments, subcomponents, or agencies operating within a Port Area. *FEMA will generally view multiple agencies within a local government (e.g., police department, fire department, emergency management office) operating within one Port Area as a single eligible entity.* An applicant's Employer Identification Number (EIN) will help inform FEMA's determination of which applicants may constitute a single eligible entity.
  - An eligible entity operating multiple facilities, departments, subcomponents, or agencies within a single Port Area may choose to submit separate applications for facilities, departments, subcomponents, or agencies within it,