

DANIEL P. McCOY  
COUNTY EXECUTIVE

COUNTY OF ALBANY  
WATER PURIFICATION DISTRICT  
1 CANAL ROAD, SOUTH  
ALBANY, NEW YORK 12204  
REMITTANCE: 112 STATE ST., BASEMENT, ALBANY, NY 12207  
PHONE: (518) 447-1611 FAX: (518) 433-0369  
www.albanycounty.com

COMMISSION  
DENNIS RIGOSU  
CHAIRMAN

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ANGELO GAUDIO, P.E.  
EXECUTIVE DIRECTOR

April 26, 2023

Hon. Andrew Joyce  
Chairman  
Albany County Legislature  
112 State Street – Suite 710  
Albany, New York 12207

**Re: Budget Amendment – Sluice Gate Replacement  
Beaver Creek Phase 2A – Change Order**

Dear Mr. Joyce

The Albany County Water Purification District (District) is requesting approval of a budget amendment for the transfer of \$343,740 from the District's Fund Balance to the Equipment Repair Line to pay for change order work associated with the installation of new sluice gates under the Beaver Creek Phase 2A project. During the installation of the new gates it was discovered that existing wetwell wall did not match the existing record drawings and custom stainless steel mounting plates needed to be fabricated to allow installation of the gates. The requested transfer amount is to cover the change order costs of the new fabricated mounting plates, a water valve repair, bypass pumping and associated labor. A summary of the transfer request is as follows:


**Budget Transfer:**

<i>Decrease Fund Balance:</i>	<i>\$ 343,740</i>
<i>Increase Equipment Repair Line (8130.4.4070)</i>	<i>\$ 343,740</i>

The new sluice gates have been successfully installed and will allow the District to move forward with critical valve and pump repairs to the South Plants influent pump station. As the Albany Water Board holds the construction contract, the money associated with the gate installations and change orders will be paid to the Albany Water Board as a pass-through payment to the contractor.

If you have any questions or concerns you may reach me at (518) 447-1617.

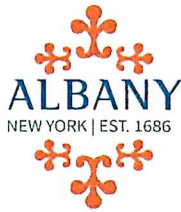
Sincerely,

  
Angelo Gaudio, P.E.  
Executive Director

cc: Dennis A. Feeney, Majority Leader  
Rebekah Kennedy, Majority Counsel  
Frank Mauriello, Minority Leader  
Arnis Zilgme, Minority Counsel

Enc: Budget Amendment Form

		APPROPRIATIONS					
		ACCOUNT NO.	RESOLUTION DESCRIPTION		INCREASE	DECREASE	DEPARTMENT NAME
G	8130	4	4070	Equipment Repair (Transfer from Appropriated Fund Balance Line)	\$ 343,740.00		Water Purification
G			0599	Appropriated Fund Balance Line (Transfer from District Fund Balance)		\$343,740.00	
				TOTAL APPROPRIATIONS	\$ 343,740.00	\$343,740	
ESTIMATED REVENUES							
		ACCOUNT NO.	RESOLUTION DESCRIPTION		INCREASE	DECREASE	DEPARTMENT NAME
			TOTAL ESTIMATED REVENUES		\$ -		
			GRAND TOTALS		\$ 343,740.00	\$ 343,740.00	



CITY OF ALBANY  
DEPARTMENT OF WATER & WATER SUPPLY  
10 NORTH ENTERPRISE DRIVE  
ALBANY, NY 12204  
TELEPHONE (518) 434-5300 | FAX (518) 434-5332

KATHY M. SHEEHAN  
MAYOR


JOSEPH E. COFFEY, JR., P.E.  
COMMISSIONER

CHANGE DIRECTIVE/FIELD ORDER			
Date:	April 20, 2023	Change Directive #:	CD - 2a - 04
Contractor Name:	Wm. J Keller & Sons Construction	Description:	Second Bypass Pumping
Contact:	Jameson Phillips	Project Name:	Beaver Creek Clean River Phase 2a
Address:	1435 Route 9 Castleton, NY 12033	Project Location:	ACWPD South Treatment Plant 209 Church St, Albany, NY

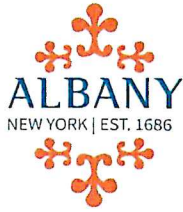
Basis of Change:		Cost Basis:
<input type="checkbox"/> Error/Omission	<input checked="" type="checkbox"/> Differing Site Condition	<input checked="" type="checkbox"/> Time & Material Not to Exceed
<input type="checkbox"/> Owner Request	<input type="checkbox"/> Field Resolution	<input type="checkbox"/> Fixed Price
<input type="checkbox"/> Value Engineering	<input type="checkbox"/> _____	<input type="checkbox"/> Unit Price

Funding Source for the Change:			
<input type="checkbox"/> Allowance		<input checked="" type="checkbox"/> Change Order	CO - 2a - 03
Total Allowance:	\$	Add:	\$ 136,175.00
Allowance Less Previous Change:	\$	Deduct:	\$ 0.00
Allowance Used for this Change:	\$	<b>Adjustment to Contract Time:</b>	
Remaining Allowance:	\$	<input checked="" type="checkbox"/> No Change ____ Days Added ____ Days Deduct	
<b>Your company is authorized and directed to proceed with the following:</b>			
Leave bypass system in place on standby during the manufacture of the gate modification pieces. Rent and fuel equipment to perform all activities to run bypass through a second construction period to complete the work. Reclean the wet well.			
<b>Justification:</b>			
During the first bypass operation the wet well gates could not be installed as manufactured and a modification piece was necessary, additionally issues with the first bypass made it difficult to work. A month of standby time and an additional 10 days of bypass was necessary to complete the work. Three days of pump time are attributed to bid work in the estimate.			
Attachment:	Change 2a-04	Number of Pages:	5
This Change Directive identifies satisfaction of all compensation and time adjustments related to the change in the Work.			

Engineer Name: Joshua LaPlante Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Owner: Name: Rebecca Caldon Signature:  Digitally signed by Rebecca Caldon Date: 2023.04.20 15:26:05 -04'00' Date: 04/20/23

Contractor: Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_



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COMMISSIONER

CHANGE DIRECTIVE/FIELD ORDER			
Date:	April 20, 2023	Change Directive #:	CD - 2a - 05
Contractor Name:	Wm. J Keller & Sons Construction	Description:	Additional Labor Second Bypass
Contact:	Jameson Phillips	Project Name:	Beaver Creek Clean River Phase 2a
Address:	1435 Route 9 Castleton, NY 12033	Project Location:	ACWPD South Treatment Plant 209 Church St, Albany, NY

Basis of Change:		Cost Basis:
<input type="checkbox"/> Error/Omission	<input checked="" type="checkbox"/> Differing Site Condition	<input checked="" type="checkbox"/> Time & Material Not to Exceed
<input type="checkbox"/> Owner Request	<input type="checkbox"/> Field Resolution	<input type="checkbox"/> Fixed Price
<input type="checkbox"/> Value Engineering	<input type="checkbox"/> _____	<input type="checkbox"/> Unit Price

Funding Source for the Change:			
<input type="checkbox"/> Allowance		<input checked="" type="checkbox"/> Change Order	CO - 2a - 03
Total Allowance:	\$	Add:	\$ 88,792.00
Allowance Less Previous Change:	\$	Deduct:	\$ 0.00
Allowance Used for this Change:	\$	<b>Adjustment to Contract Time:</b>	
Remaining Allowance:	\$	<input checked="" type="checkbox"/> No Change ____ Days Added ____ Days Deduct	
<b>Your company is authorized and directed to proceed with the following:</b>			
Bill for the labor associated with the installation and maintenance of the second bypass operation, the installation of the modification pieces for the wet well gates, and the change in location for the flowmeter.			
<b>Justification:</b>			
The original project was planned under a single bypass operation but due to the need to manufacture modification pieces for the wet well gates the bypass was shut down to manufacture the pieces. Additional work was also performed to install the additional pieces, and other additional work. This was calculated as a percentage of total work during that bypass.			
Attachment:	Change 2a-05	Number of Pages:	9
This Change Directive identifies satisfaction of all compensation and time adjustments related to the change in the Work.			

Engineer Name: Joshua LaPlante Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Owner: Name: Rebecca Caldon Signature:  Digitally signed by Rebecca Caldon  
Date: 2023.04.20 14:13:51 -04'00' Date: 04/20/23

Contractor: Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_





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<b>CHANGE DIRECTIVE/FIELD ORDER</b>			
<b>Date:</b>	April 20, 2023	<b>Change Directive #:</b>	CD - 2a - 05
<b>Contractor Name:</b>	Wm. J Keller & Sons Construction	<b>Description:</b>	Slide Gate Adapter Plates
<b>Contact:</b>	Jameson Phillips	<b>Project Name:</b>	Beaver Creek Clean River Phase 2a
<b>Address:</b>	1435 Route 9 Castleton, NY 12033	<b>Project Location:</b>	ACWPD South Treatment Plant 209 Church St, Albany, NY


  

<b>Basis of Change:</b> <input type="checkbox"/> Error/Omission <input checked="" type="checkbox"/> Differing Site Condition <input type="checkbox"/> Owner Request <input type="checkbox"/> Field Resolution <input type="checkbox"/> Value Engineering <input type="checkbox"/> _____	<b>Cost Basis:</b> <input type="checkbox"/> Time & Material Not to Exceed <input checked="" type="checkbox"/> Fixed Price <input type="checkbox"/> Unit Price
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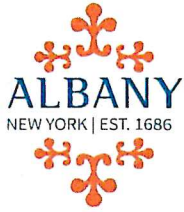
  

<b>Funding Source for the Change:</b>			
<input type="checkbox"/> <b>Allowance</b>		<input checked="" type="checkbox"/> <b>Change Order</b>	CO - 2a - 03
Total Allowance:	\$	Add:	\$ 104,170.00
Allowance Less Previous Change:	\$	Deduct:	\$ 0.00
Allowance Used for this Change:	\$	<b>Adjustment to Contract Time:</b>	
Remaining Allowance:	\$	<input checked="" type="checkbox"/> No Change ____ Days Added ____ Days Deduct	
<b>Your company is authorized and directed to proceed with the following:</b> Manufacture and supply modification pieces for the two (2) wet well slide gates inside the sewer treatment facility. This change is for the production and delivery of the modification pieces with the installation labor represented in CD-2a-04.			
<b>Justification:</b> The wall of the wet well was shown with a bump out below the effluent level. The gate was shown attached to the bumped out concrete wall in the plant drawing. Once under bypass it was determined that the wall jogged back and the manufactured gates would not fit on the existing wall as planned.			
<b>Attachment:</b> Change 2a-06		<b>Number of Pages:</b> 9	
<i>This Change Directive identifies satisfaction of all compensation and time adjustments related to the change in the Work.</i>			

Engineer      Name: Joshua LaPlante      Signature: \_\_\_\_\_      Date: \_\_\_\_\_

Owner:      Name: Rebecca Caldon      Signature:       Digitally signed by Rebecca Caldon  
Date: 2023.04.20 14:02:11 -04'00'      Date: 04/20/23

Contractor:      Name: \_\_\_\_\_      Signature: \_\_\_\_\_      Date: \_\_\_\_\_




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COMMISSIONER

<b>CHANGE DIRECTIVE/FIELD ORDER</b>			
<b>Date:</b>	April 20, 2023	<b>Change Directive #:</b>	CD - 2a - 03
<b>Contractor Name:</b>	Wm. J Keller & Sons Construction	<b>Description:</b>	Plant Water Valve Replacement
<b>Contact:</b>	Jameson Phillips	<b>Project Name:</b>	Beaver Creek Clean River Phase 2a
<b>Address:</b>	1435 Route 9 Castleton, NY 12033	<b>Project Location:</b>	ACWPD South Treatment Plant 209 Church St, Albany, NY
<b>Basis of Change:</b>		<b>Cost Basis:</b>	
<input type="checkbox"/> Error/Omission <input type="checkbox"/> Differing Site Condition		<input checked="" type="checkbox"/> Time & Material Not to Exceed	
<input type="checkbox"/> Owner Request <input checked="" type="checkbox"/> Field Resolution		<input type="checkbox"/> Fixed Price	
<input type="checkbox"/> Value Engineering <input type="checkbox"/> Contract Spec Change		<input type="checkbox"/> Unit Price	
<b>Funding Source for the Change:</b>			
<input type="checkbox"/> Allowance		<input checked="" type="checkbox"/> Change Order	CO - 2a - 03
Total Allowance:	\$	Add:	\$ 14,603.00
Allowance Less Previous Change:	\$	Deduct:	\$ 0.00
Allowance Used for this Change:	\$	<b>Adjustment to Contract Time:</b>	
Remaining Allowance:	\$	<input checked="" type="checkbox"/> No Change    Days Added    Days Deduct	
<b>Your company is authorized and directed to proceed with the following:</b>			
Purchase and perform work to replace the plant water valve and any associated pipe that is in poor condition at the grit driveway in front of the administration building. The valve broke when it was used to isolate the cut in for the new hydrant.			
<b>Justification:</b>			
During operation of the valve to perform bid work the valve started leaking excessively. The County did not have the personnel or equipment too perform the work so the work was planned with Keller on a T&M basis.			
<b>Attachment:</b>	Change 2a-03	<b>Number of Pages:</b>	12
<i>This Change Directive identifies satisfaction of all compensation and time adjustments related to the change in the Work.</i>			

Engineer      Name: Joshua LaPlante      Signature: \_\_\_\_\_      Date: \_\_\_\_\_

Owner:      Name: Rebecca Caldon      Signature:       Digitally signed by Rebecca Caldon  
Date: 2023.04.20 14:46:16 -04'00'      Date: 04/20/23

Contractor:      Name: \_\_\_\_\_      Signature: \_\_\_\_\_      Date: \_\_\_\_\_

RESOLUTION NO. 442

AMENDING THE 2021 WATER PURIFICATION BUDGET: EQUIPMENT REPAIR

Introduced: 11/8/21

By Audit and Finance Committee:

WHEREAS, The Director of the Water Purification District has indicated that the installation of two new sluice gates at the South Plant's wetwell will be necessary as part of the Beaver Creek Phase II Project, and

WHEREAS, The Director has requested a budget amendment in order to continue with the Beaver Creek Phase II Project, now, therefore, be it

RESOLVED, By the Albany County Legislature, that the 2021 Water Purification Budget is hereby amended as follows:

Decrease Appropriation Account G8130.4 by \$125,000 by decreasing Line Item G8130 4 4101 Electric by \$125,000

Increase Appropriation Account G8130.4 by \$125,000 by increasing Line Item G8130 4 4070 Equipment Repair by \$125,000

and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the appropriate County Officials.

*Adopted by unanimous vote – 11/8/21*



State of New York  
County of Albany

This is to certify that I, the undersigned, Clerk of the Albany County Legislature, have compared the foregoing copy of the resolution and/or local law with the original resolution and/or local law now on file in the office, and which was passed by the Legislature of said County on the 8<sup>th</sup> day of November, 2021, a majority of all members elected to the Legislature voting in favor thereof, and that the same is a correct and true transcript of such original resolution and/or local law and the whole thereof.



**IN WITNESS THEREOF**, I have hereunto set my hand and the official seal of the County Legislature this 9<sup>th</sup> day of November, 2021.

A handwritten signature in cursive script, reading "Nicole Chamberlain", is written over a horizontal line.

Clerk, Albany County Legislature