

COUNTY OF ALBANY

MC - BID FORM

BID IDENTIFICATION:

Title: **Shaker Place Café Project**
Bid Number: **2025-030 – MC - Mechanical Construction**

THIS BID IS SUBMITTED TO:

Pamela O Neill, Purchasing Agent
Albany County Department of General Services
Purchasing Division
112 State Street, Room 1000
Albany, NY 12207

1. The undersigned BIDDER proposes and agrees, if this Bid is accepted, to enter into a Contract with the owner in the form included in the Contract Documents to complete all Work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Bid and in accordance with the Contract Documents.
2. BIDDER accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the Disposition of Bid Security. This Bid may remain open for ninety (90) days after the day of Bid opening. BIDDER will sign the Contract and submit the Contract Security and other documents required by the Contract Documents within fifteen days after the date of OWNER'S Notice of Award.
3. In submitting this Bid, BIDDER represents, as more fully set forth in this Contract, that:

- (a) BIDDER has examined copies of all the Contract Documents and of the following addenda: (If none, so state)

Date	Number
03/10/2025	1

(receipt of all of which is hereby acknowledged) and also copies of the Notice to Bidders and the Instructions to Bidders;

- (b) BIDDER has examined the site and locality where the Work is to be performed, the legal requirements (federal, state and local laws, ordinances, rules and regulations) and the conditions affecting cost, progress or performance of the Work and has made such independent investigations as BIDDER deems necessary;

- (c) This Bid is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; BIDDER has not directly or indirectly induced or solicited any other BIDDER to submit a false or sham Bid; BIDDER has not solicited or induced any person, firm or a corporation to refrain from bidding; and BIDDER has not sought by collusion to obtain for himself any advantage over any other Bidder or over the owner.

- 4. BIDDER will complete the Work for the following prices(s): (Attach Bid Proposal)
- 5. BIDDER agrees to commence the Work within the number of calendar days or by the specific date indicated in the Contract. BIDDER agrees that the Work will be completed within the number of Calendar days or by the specific date indicated in the contract.
- 6. The following documents are attached to and made a condition of this Bid:
 - (a) Non-Collusive Bidding Certificate (Attachment "A")
 - (b) Acknowledgment by Bidder (Attachment "B")
 - (c) Vendor Responsibility Questionnaire (Attachment "C")
 - (d) Iranian Energy Divestment Certification (Attachment "D")
 - (e) MS-4-1 Certification Statement RE: Stormwater Discharges (Attachment "E")
 - (f) Bidder Qualification Questionnaire (Attachment "F")
 - (g) Non Interruption of Work Agreement (Attachment "G")
 - (h) Required Apprenticeship Training Program Documentation (refer to RFB Section 27)

- 7. Communication concerning this Bid shall be addressed to:

Collett Mechanical, Inc

138 Sicker Rd

Latham, NY 12110

Phone: 518-862-2214

- 8. Terms used in this Bid have the meanings assigned to them in the Contract and General Provisions.

COUNTY OF ALBANY

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BID IDENTIFICATION:

Title: Shaker Place Café Project
Bid Number: 2025-030 – MC - Mechanical Construction

Conditions:

1. All bid prices must include all materials, labor, equipment, incidentals and other associated costs.
2. Mechanical Construction Base Bid work shall carry a 15% Base Bid Contingency Allowance for additional work discovered during construction beyond scope of work indicated on drawings and specifications. Contractor shall receive advance approval from the County Engineer prior to performing any additional work.

LUMP SUM BASE BID: \$ 261,466.⁰⁰ (a)

15 % CONTINGENCY ALLOWANCE: \$ 39,220.⁰⁰ (b)

TOTAL BASE BID (a) + (b): \$ 300,686.⁰⁰
(Base Bid + Contingency Allowance)

COUNTY OF ALBANY

MC - BID FORM

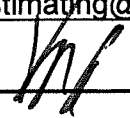
BID IDENTIFICATION:

Title: Shaker Place Café Project
Bid Number: 2025-030 – MC - Mechanical Construction

COMPANY: Collett Mechanical, Inc.
ADDRESS: 138 Slicker Rd
CITY, STATE, ZIP: Latham, NY 12110
TEL. NO.: 518-862-2214
FAX NO.: 518-862-2217
FEDERAL TAX ID NO.: 14-1775002

***CERTIFICATE OF REGISTRATION NUMBER
(ARTICLE 8 PUBLIC WORK CONTRACTOR REGISTRY)***

24-63HOZ-CR

REPRESENTATIVE: Kevin Farrell
E-MAIL: estimating@collettmechanical.com
SIGNATURE AND TITLE  CFO

DATE 03/20/2025

AIA Document A310™ – 2010

Bid Bond

CONTRACTOR:

(Name, legal status and address)

Collett Mechanical, Inc.

138 Sicker Road
Latham, NY 12110

SURETY:

(Name, legal status and principal place of business) Western Surety Company

151 N. Franklin St., 17th Floor
Chicago, IL 60606

OWNER:

(Name, legal status and address)

Albany County

112 State Street, Albany, NY 12207

BOND AMOUNT: \$ Five Percent of Bid (5% of Bid)

PROJECT:

(Name, location or address, and Project number, if any)

Shaker Place Café Project

RFB #2025-030

HVAC

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

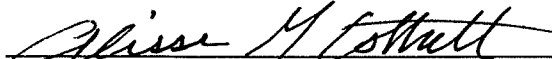
This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

Init.

Signed and sealed this 11th day of March, 2025

(Witness)


(Witness) Alissa M. Cottrell, Bond Representative

Collett Mechanical, Inc.

(Principal)

(Seal)

(Title)  Ken Farrell CFO

Western Surety Company

(Surety)

(Seal)

(Title)  Jaclyn Kelly, Attorney-In-Fact

Init.

NOTARIAL JURAT

INDIVIDUAL ACKNOWLEDGEMENT

State of _____]
County of _____] ss:
On this _____ day of _____, _____ before me personally appeared

known to me to be the person _____ described in and who executed the foregoing instrument, and _____ he duly acknowledged to me that _____ he executed the same.

Notary Public

PARTNERSHIP ACKNOWLEDGEMENT

State of _____]
County of _____] ss:
On this _____ day of _____, _____ before me personally appeared

known to me to be a member of the firm of _____ described in and which executed the foregoing instrument, and _____ he thereupon acknowledged to me that _____ he executed the same as and for the act and deed of said firm.

Notary Public

CORPORATION ACKNOWLEDGEMENT

State of New York]
County of Albany] ss:
On this 20 day of March, 2025 before me personally appeared

Kerin Farrell
to me known, who being by me duly sworn, did depose and say: that _____ he resides at Selkirk, NY; that he is CFO of the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation; and that he signed his name thereto by like order.

Notary Public

KRISTA M HEIDINGER
NOTARY PUBLIC-STATE OF NEW YORK
No. 01HE6346645
Qualified in Albany County
My Commission Expires 08-15-2028

SURETY ACKNOWLEDGEMENT

State of New York]
County of Schenectady] ss:
On this 11th day of March, 2025 before me personally appeared

Jaclyn Kelly
to me known, who being by me duly sworn, did depose and say: that she resides in the City of Scotia, New York; that she is the Attorney-In-Fact of the above signed surety, the corporation described in and which executed the within instrument; that she knows the corporate seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation; and that she signed her name thereto by like order.

Laurie W. Gibson
Notary Public-State of New York
No. 4945994
Qualified in Saratoga County
Commission Expires January 27, 2027

Notary Public

Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Jeanne M Maloy, Deborah L Kruman, Jaclyn Kelly, Kara M Epperson, Noelle Susan Burkins, Matthew Cronin, Individually

of Scotia, NY, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the Authorizing By-Laws and Resolutions printed at the bottom of this page, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 31st day of January, 2024.



WESTERN SURETY COMPANY

Larry Kasten, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 31st day of January, 2024, before me personally came Larry Kasten, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is a Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

March 2, 2026



M. Bent, Notary Public

CERTIFICATE

I, Paula Kolsrud, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law and Resolutions of the corporation printed below this certificate are still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 11th day of March, 2025.



WESTERN SURETY COMPANY

Paula Kolsrud, Assistant Secretary

Authorizing By-Laws and Resolutions

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

This Power of Attorney is signed by Larry Kasten, Vice President, who has been authorized pursuant to the above Bylaw to execute power of attorneys on behalf of Western Surety Company.

This Power of Attorney may be signed by digital signature and sealed by a digital or otherwise electronic-formatted corporate seal under and by the authority of the following Resolution adopted by the Board of Directors of the Company by unanimous written consent dated the 27th day of April, 2022:

"RESOLVED: That it is in the best interest of the Company to periodically ratify and confirm any corporate documents signed by digital signatures and to ratify and confirm the use of a digital or otherwise electronic-formatted corporate seal, each to be considered the act and deed of the Company."

Go to www.cnasurety.com > Owner / Obligor Services > Validate Bond Coverage, if you want to verify bond authenticity.

WESTERN SURETY COMPANY
Sioux Falls, South Dakota
Statement of Net Admitted Assets and Liabilities
December 31, 2023

ASSETS

Bonds	\$ 1,935,600,431
Stocks	15,281,696
Cash, cash equivalents, and short-term investments	36,335,353
Receivables for securities	14,770,000
Investment income due and accrued	18,185,645
Premiums and considerations	70,728,009
Amounts recoverable from reinsurers	4,459,042
Net deferred tax asset	18,202,272
Receivable from parent, subsidiaries, and affiliates	12,895,815
Other assets	157,742
Total Assets	<u>\$ 2,126,616,005</u>

LIABILITIES AND SURPLUS

Losses	\$ 247,328,673
Loss adjustment expense	56,340,495
Commissions payable, contingent commissions and other similar charges	13,245,319
Taxes, License and fees (excluding federal and foreign income taxes)	5,075,390
Federal and foreign income taxes payable	829,556
Unearned premiums	316,760,881
Advance premiums	6,183,112
Ceded reinsurance premiums payable (net of ceding commissions)	4,347,066
Amounts withheld or retained by company for account of others	3,094,680
Provision for reinsurance	157,388
Payable to parent, subsidiaries and affiliates	9,464
Other liabilities	(6,171)
Total Liabilities	<u>\$ 653,365,853</u>

Surplus Account:

Common stock	\$ 4,000,000
Gross paid in and contributed surplus	286,896,195
Unassigned funds	<u>1,182,353,957</u>
Surplus as regards policyholders	<u>\$ 1,473,250,152</u>
Total Liabilities and Capital	<u>\$ 2,126,616,005</u>

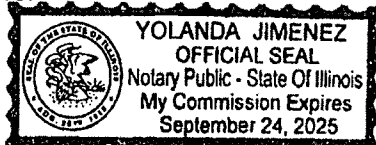
I, Julie Lee, Vice President of Western Surety Company hereby certify that the above is an accurate representation of the financial statement of the Company dated December 31, 2023, as filed with the various Insurance Departments and is a true and correct statement of the condition of Western Surety Company as of that date.

WESTERN SURETY COMPANY

By Julie Lee
Vice President, Accounting Policy & External Reporting

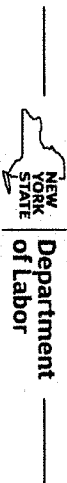
Subscribed and sworn to me this 14th day of March, 2024.

My commission expires:



By Yolanda Jimenez
Notary Public

WE ARE YOUR DOL



DIVISION OF SAFETY AND HEALTH LICENSE AND CERTIFICATE UNIT, STATE OFFICE CAMPUS, BUILDING 12, ALBANY, NY 12226

CERTIFICATE OF CONTRACTOR REGISTRATION

This Certificate Entitles the Holder to Perform and Bid on Public Work and

Covered Private Construction Projects in the State of New York,

Subject to the Prevailing Wage Requirements of

NYS Labor Law Article 8

Collett Mechanical, Inc.

138 Sicker Rd

Latham, New York 12110

Phone Number: 5188622214

Registration Number: 24-63HOZ-CR

Date of Issue: 2024-12-05

Expiration Date: 2026-12-29

(This license is valid only for the contractor named above)

A handwritten signature in black ink, appearing to read "Roberta Reardon".

Roberta Reardon

Commissioner

New York State Department of
Labor



ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.


(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation



Signature

CFO

Title

03/20/2025

Date

Collett Mechanical, Inc.

Company Name

ATTACHMENT "B"
ACKNOWLEDGMENT BY BIDDER

If Individual or Individuals:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 200__, before me personally appeared _____ to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) acknowledged to me that he (or they) executed the same.

Notary Public, State of _____

Qualified in _____

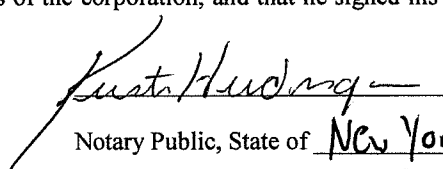
Commission Expires _____

If Corporation:

STATE OF New York)
COUNTY OF Albany) SS.:

On this 20 day of March, ~~20025~~, before me personally appeared Kevin Farrell to me known, who, being by me sworn, did say that he resides at (give address) 117 Trinity Place Selkirk, NY 12158; that he is the (give title) CFO of the (name of corporation) Collett Mechanical, Inc., the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.

KRISTA M HEIDINGER
NOTARY PUBLIC-STATE OF NEW YORK
No. 01HE6346645
Qualified in Albany County
My Commission Expires 08-15-2028


Notary Public, State of New York

Qualified in Albany County

Commission Expires 08/15/2028

If Partnership:

STATE OF _____)
COUNTY OF _____) SS.:

On the _____ day of _____, 200__, before me personally came _____, to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

ATTACHMENT "C"
ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE

1. VENDOR IS: <input checked="" type="checkbox"/> PRIME CONTRACTOR			
2. VENDOR'S LEGAL BUSINESS NAME Collett Mechanical, Inc.		3. IDENTIFICATION NUMBERS a) FEIN # 14-17750002 b) DUNS #	
4. D/B/A – Doing Business As (if applicable) & COUNTY FIELD:		5. WEBSITE ADDRESS (if applicable) www.collettmechanical.com	
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE 138 Sicker Rd Latham, NY 12110		7. TELEPHONE NUMBER 518-862-2214	8. FAX NUMBER 518-862-2217
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>IN NEW YORK STATE, if different from above</i>		10. TELEPHONE NUMBER	11. FAX NUMBER
12. AUTHORIZED CONTACT FOR THIS QUESTIONNAIRE Name Kevin Farrell Title CFO Telephone Number 518-862-2214 Fax Number 518-862-2217 e-mail estimating@collettmechanical.com			
13. LIST ALL OF THE VENDOR'S PRINCIPAL OWNERS.			
a) NAME Jack E. Collett	TITLE President	b) NAME Kevin Farrell	TITLE CFO
c) NAME	TITLE	d) NAME	TITLE
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A "YES," AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.			
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, or D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 			
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRICIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS: <div style="margin-top: 10px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> a) An elected or appointed public official or officer? <i>List each individual's name, business title, the name of the organization and position elected or appointed to, and dates of service</i> </div> <div style="width: 15%; text-align: right;"> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 80%;"> b) An officer of any political party organization in Albany County, whether paid or unpaid? <i>List each individuals name, business title or consulting capacity and the official political position held with applicable service dates.</i> </div> <div style="width: 15%; text-align: right;"> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No </div> </div> </div>			

16.	<p>WITHIN THE PAST (5) YEARS, HAS THE VENDOR, ANY INDIVIDUALS SERVING IN MANAGERIAL OR CONSULTING CAPACITY, PRINCIPAL OWNERS, OFFICERS, MAJOR STOCKHOLDER(S) (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), AFFILIATE OR ANY PERSON INVOLVED IN THE BIDDING OR CONTRACTING PROCESS:</p>	
	<p>a) 1. been suspended, debarred or terminated by a local, state or federal authority in connection with a contract or contracting process;</p> <p>2. been disqualified for cause as a bidder on any permit, license, concession franchise or lease;</p> <p>3. entered into an agreement to a voluntary exclusion from bidding/contracting;</p> <p>4. had a bid rejected on an Albany County contract for failure to comply with the MacBride Fair Employment Principles;</p> <p>5. had a low bid rejected on a local, state or federal contract for failure to meet statutory affirmative action or M/WBE requirements on a previously held contract;</p> <p>6. had status as a Women's Business Enterprise, Minority Business Enterprise or Disadvantaged Business Enterprise, de-certified, revoked or forfeited;</p> <p>7. been subject to an administrative proceeding or civil action seeking specific performance or restitution in connection with any local, state or federal government contract;</p> <p>8. been denied an award of a local, state or federal government contract, had a contract suspended or had a contract terminated for non-responsibility; or</p> <p>9. had a local, state or federal government contract suspended or terminated for cause prior to the completion of the term of the contract.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
	<p>b) been indicted, convicted, received a judgment against them or a grant of immunity for any business-related conduct constituting a crime under local, state or federal law including but not limited to, fraud extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct?</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
	<p>c) been issued a citation, notice, violation order, or are pending an administrative hearing or proceeding or determination of violations of:</p> <p>1. federal, state or local health laws, rules or regulations.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
17.	<p>IN THE PAST THREE (3) YEARS, HAS THE VENDOR OR ITS AFFILIATES ¹ HAD ANY CLAIMS, JUDGMENTS, INJUNCTIONS, LIENS, FINES OR PENALTIES SECURED BY ANY GOVERNMENTAL AGENCY?</p> <p>Indicate if this is applicable to the submitting vendor or affiliate. State whether the situation(s) was a claim, judgment, injunction, lien or other with an explanation. Provide the name(s) and address(es) of the agency, the amount of the original obligation and outstanding balance. If any of these items are open, unsatisfied, indicate the status of each item as "open" or "unsatisfied."</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
18.	<p>DURING THE PAST THREE (3) YEARS, HAS THE VENDOR FAILED TO:</p> <p>a) file returns or pay any applicable federal, state or city taxes? <i>Identify the taxing jurisdiction, type of tax, liability year(s), and tax liability amount the vendor failed to file/pay and the current status of the liability.</i></p> <p>b) file returns or pay New York State unemployment insurance? <i>Indicate the years the vendor failed to file/pay the insurance and the current status of the liability.</i></p> <p>c) Property Tax <i>Indicate the years the vendor failed to file.</i></p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
19.	<p>HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE VENDOR OR ITS AFFILIATES ¹ WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE VENDOR OR ITS AFFILIATES REGARDLESS OF THE DATE OF FILING?</p> <p>Indicate if this is applicable to the submitting vendor or affiliate. If it is an affiliate, include the affiliate's name and FEIN. Provide the court name, address and docket number. Indicate if the proceedings have been initiated, remain pending or have been closed. If closed, provide the date closed.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
20.	<p>IS THE VENDOR CURRENTLY INSOLVENT, OR DOES VENDOR CURRENTLY HAVE REASON TO BELIEVE THAT AN INVOLUNTARY BANKRUPTCY PROCEEDING MAY BE BROUGHT AGAINST IT? Provide financial information to support the vendor's current position, for example, Current Ration, Debt Ration, Age of Accounts Payable, Cash Flow and any documents that will provide the agency with an understanding of the vendor's situation.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>

21. IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES¹ : ☐ Yes ☒ No
- a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded;

Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.

¹ "Affiliate" meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity's daily operations, that entity will be an "affiliate" for purposes of this questionnaire.

**ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE**

FEIN #


State of: New York)
) ss:
County of: Albany)

CERTIFICATION:

The undersigned: recognizes that this questionnaire is submitted for the express purpose of assisting the County of Albany in making a determination regarding an award of contract or approval of a subcontract; acknowledges that the County may in its discretion, by means which it may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may constitute a felony under Penal Law Section 210.40 or a misdemeanor under Penal Law Section 210.35 or Section 210.45, and may also be punishable by a fine and/or imprisonment of up to five years under 18 USC Section 1001 and may result in contract termination; and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete.

The undersigned certifies that he/she:

- Has not altered the content of the questions in the questionnaire in any manner;
- Has read and understands all of the items contained in the questionnaire and any pages attached by the submitting vendor;
- Has supplied full and complete responses to each item therein to the best of his/her knowledge, information ad belief;
- Is knowledgeable about the submitting vendor's business and operations;
- Understands that Albany County will rely on the information supplied in the questionnaire when entering into a contract with the vendor;
- Is under duty to notify the Albany County Purchasing Division of any material changes to the vendor's responses.

Name of Business Collett Mechanical, Inc. Signature of Owner 

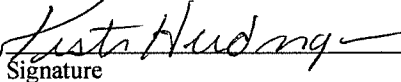
Address 138 Sicker Rd Printed Name of Signatory Kevin Farrell

City, State, Zip Latham, NY 12110 Title ,CFO

Sworn before me this 20 day of March, 2025

Notary Public _____

Krista Heidinger
Printed Name


Signature

03/20/2025
Date

KRISTA M HEIDINGER
NOTARY PUBLIC-STATE OF NEW YORK
No. 01HE6346645
Qualified in Albany County
My Commission Expires 08-15-2028

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.



Signature

CFO
Title

03/20/2025
Date

Collett Mechanical, Inc.
Company Name

ATTACHMENT "E"

Sheet MS4-1: Bidder/Proposer Certification Statement (to be used with Section 34 Part A – General Contracts)

As a bidder seeking to provide services on behalf of Albany County, I certify under penalty of law that I understand and agree to comply with the terms and conditions of the New York State Pollutant Discharge Elimination System ("SPDES") General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4 Permit) and Albany County Local Law 7 of 2007, and agree to implement any Best Management Practices or corrective actions identified by Albany County or an authorized representative thereof as necessary to maintain compliance. I understand that Albany County must comply with the terms and conditions of the aforementioned MS4 Permit, and that it is unlawful for any person to directly or indirectly cause or contribute to a violation of water quality standards. I am also aware that County Local Law 7 of 2007 prohibits any activities that cause or contribute to a violation of the County's SPDES permit. Further, I understand that any non-compliance by Albany County will not diminish, eliminate or lessen my own liability.

Name of Third Party Entity: Collett Mechanical, Inc.

Address: 138 Sicker Rd

Latham, NY 12110

Phone Number(s): 518-862-2214

Description of activities to be performed by your firm or organization within Albany County are related to the Albany County Storm Water Management Program (SWMP) (include any activities that have the potential to generate or prevent pollution and/or affect water quality):

Commercial HVAC & Plumbing Constrcution

Description of where the work is to be performed within Albany County facilities:

Commercial HVAC & Plumbing



Signature

Kevin Farrell

Printed Name

CFO

Title

03/20/2025

Date

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

The undersigned guarantees the accuracy of all statements and answers herein contained. (Please print in ink or type in the spaces provided). Attach additional sheets if necessary. This statement of Bidder's qualifications is required of all Bidders. Additional data on Bidder's qualifications may be requested from selected Bidders after the Bid opening.

1. How many years has your firm been in business? 34 years

2. List up to three (3) projects of this nature that you have completed in the last three (3) years, and give the name, address and telephone number of a reference from each. Also give the completion date, the original contract bid price and the completed cost of each project listed.

1. Amsterdam Central High School - HVAC Rhase 3

Mike Greco - 518-843-3180 PO Box 309 Amsterdam, NY 12010

\$4,287,000 Comp 11/30/2022

2. Ichabod Crane CSD - District Wide Phase 3 HVAC

Sarah Plitnick 518-646-1049 - 1 Computer Drive South Albany, NY 12205

\$3,047,000 Comp Apr 2023

3. BOCES CTE Campus - Plumbing/HVAC

Jason Bruhn 518-452-8200 - 302 Washington Ave Ext Albany, NY 12203

\$1,512,800 Comp March 2023

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

3. List projects presently under contract by your firm, the dollar volume of the contract and the percentage completion of the contract.

Air Traffic Control Center \$1,415,000.00 - 95%

72 Bed Hospitality House - \$614,000 - 5%

Siena College Science Building - \$6,081,000 - 9%

Flight Simulator Facility - 1,640,000 - 7%

Watervliet Arsenal - Paint Booth - \$640,300 - 75%

Hyatt House Mohawk Harbor - \$1,505,000 - 5%

4. Has your firm ever failed to complete work awarded to it, if so, state where and why.

No

5. Is your firm presently or has your firm ever been a party defendant in a lawsuit commenced against your firm alleging failure to properly complete work in accordance with the contract for same; if so, give details.

No

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

6. Has your firm received two (2) final determinations within any consecutive six-year period, the second final determination occurring within the past five (5) years, that your firm willfully failed to pay the prevailing rate of wages or to provide supplements with Article 8 of the Labor Law, if so, give details.

No

7. Do you plan to sublet any part of this work? If so, give details.

No

8. Give the name, address and telephone number of an individual who represents each of the following and whom the Owner may contact to investigate your financial responsibility: a surety, and a bank.

Surety - Wendy Diaco - 25 Mohawk Ave Scotia, NY 12302 - 518-384-1100

Bank - Brian Stickles - 302 Main St Catskill, NY 12414 - 518-943-2600

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

9. Give a summary of your financial statement. (List assets and liabilities, use an insert sheet, if needed).

See Attached

10. State the true, exact, correct and complete name of the partnership, corporation or trade name under which you do business, and the address of the place of business. (If a corporation, state the name and title of all officers. If a partnership, state the name of all partners. If a trade name, state the names of the individuals who do business under the trade name.) It is absolutely necessary that information be furnished.

Collett Mechanical, Inc.

Correct Name of Bidder

(a) The business is a: S- Corp

(b) The address of principal place of business is: 138 Sicker Rd Latham, NY 12110

(c) The names of the corporate officers, or partners, or individuals doing business under a trade name, are as follows:

Jack E. Collett - President


Kevin P. Farrell - Secretary

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

11. Is your firm qualified to do business in the State of New York? Yes ☒ No ____.
If No, signing this qualification statement constitutes agreement to obtain such qualification prior to award of contract immediately upon owner's request.

Collett Mechanical, Inc._____
Firm

Dated: 03/20/2025

By _____

Kevin Farrell_____
(Typed)

COLLETT MECHANICAL, INC. AND AFFILIATES

**Consolidated Financial Statements
and Independent Accountant's Review Report**

December 31, 2023 and 2022

COLLETT MECHANICAL, INC. AND AFFILIATES

December 31, 2023 and 2022

Consolidated Financial Statements

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WOJESKI & COMPANY CPAs, P.C.

159 Wolf Road, Albany, New York 12205 | Phone 518-477-1102 | Fax 518-477-1302 | www.wojeskico.com

INDEPENDENT ACCOUNTANT'S REVIEW REPORT

To the Board of Directors
Collett Mechanical, Inc. and Affiliates

We have reviewed the accompanying consolidated financial statements of Collett Mechanical, Inc. and Affiliates (the "Company"), which comprise the consolidated balance sheets as of December 31, 2023 and 2022, and the related consolidated statements of income, changes in shareholder's equity, and cash flows for the years then ended, and the related notes to the consolidated financial statements. A review includes primarily applying analytical procedures to management's financial data and making inquiries of entity management. A review is substantially less in scope than an audit, the objective of which is the expression of an opinion regarding the consolidated financial statements as a whole. Accordingly, we do not express such an opinion.

Management's Responsibility for the Consolidated Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement whether due to fraud or error.

Accountant's Responsibility

Our responsibility is to conduct the review engagements in accordance with Statements on Standards for Accounting and Review Services promulgated by the Accounting and Review Services Committee of the AICPA. Those standards require us to perform procedures to obtain limited assurance as a basis for reporting whether we are aware of any material modifications that should be made to the consolidated financial statements for them to be in accordance with accounting principles generally accepted in the United States of America. We believe that the results of our procedures provide a reasonable basis for our conclusion.

We are required to be independent of the Company and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements related to our review.

Basis for Qualified Conclusions

As discussed in Note A to the consolidated financial statements, the Company recognizes revenue from contracts using the percentage-of-completion method and has not determined revenue under Accounting Standards Codification 606, *Revenue from Contracts with Customers*. Management has not determined the effects of this departure from accounting principles generally accepted in the United States of America on the financial position, results of operations, and cash flows.

Qualified Conclusions

Based on our reviews, except for the effect of the matter disclosed in the Basis for Qualified Conclusions paragraph, we are not aware of any material modifications that should be made to the accompanying consolidated financial statements in order for them to be in accordance with accounting principles generally accepted in the United States of America.

Supplementary Information

The accompanying supplementary information included in Schedules I, II, III and IV is presented for purposes of additional analysis and is not a required part of the basic consolidated financial statements. Such information is the responsibility of management and was derived from, and relates directly to, the underlying accounting and other records used to prepare the consolidated financial statements. The supplementary information has been subjected to the review procedures applied in our review of the basic consolidated financial statements. We are not aware of any material modifications that should be made to the supplementary information. We have not audited the supplementary information and do not express an opinion on such information.

Wojeski & Company, CPAs, P.C.

Albany, New York
June 14, 2024

COLLETT MECHANICAL, INC. AND AFFILIATES**Consolidated Balance Sheets**

	December 31,	
	2023	2022
ASSETS		
CURRENT ASSETS		
Cash and cash equivalents	\$ 394,339	\$ 389,407
Contract receivables, net of allowance for credit losses of \$115,527 and \$50,000, respectively.	7,993,109	9,904,079
Costs and estimated earnings in excess of billings on uncompleted contracts	294,847	675,888
Prepaid expenses	165,234	154,189
Inventory	318,630	318,630
TOTAL CURRENT ASSETS	9,166,159	11,442,193
PROPERTY, PLANT AND EQUIPMENT		
Vehicles	1,671,108	1,491,733
Office equipment	272,606	272,606
Machinery and equipment	948,587	870,587
Building and improvements	3,249,123	3,249,123
	6,141,424	5,884,049
Less accumulated depreciation	2,832,277	2,596,873
	3,309,147	3,287,176
	<u>\$ 12,475,306</u>	<u>\$ 14,729,369</u>

See accompanying notes and independent accountant's review report.

	December 31,	
	2023	2022
LIABILITIES AND SHAREHOLDER'S EQUITY		
CURRENT LIABILITIES		
Lines of credit	\$ 975,000	\$ 1,828,506
Current portion of long-term debt	256,267	237,482
Accounts payable	2,810,131	2,588,803
Accrued expenses and payroll	734,043	734,965
Billings in excess of costs and estimated earnings on uncompleted contracts	897,469	1,633,149
Deferred revenue	-	17,025
TOTAL CURRENT LIABILITIES	5,672,910	7,039,930
Long-term debt, net of current portion	2,438,004	2,456,219
TOTAL LIABILITIES	8,110,914	9,496,149
SHAREHOLDER'S EQUITY		
Common stock, no par value, 100 shares authorized, issued and outstanding	-	-
Additional paid-in capital	100,000	100,000
Retained earnings	2,870,248	4,042,139
	2,970,248	4,142,139
NONCONTROLLING INTEREST IN AFFILIATES	1,394,144	1,091,081
TOTAL SHAREHOLDER'S EQUITY	4,364,392	5,233,220
	\$ 12,475,306	\$ 14,729,369

See accompanying notes and independent accountant's review report.

COLLETT MECHANICAL, INC. AND AFFILIATES**Consolidated Statements of Income**

	December 31,	
	2023	2022
REVENUE		
Contract	\$ 24,594,478	\$ 25,246,024
Service	<u>4,065,395</u>	<u>3,284,495</u>
TOTAL REVENUE	<u>28,659,873</u>	<u>28,530,519</u>
COSTS OF REVENUE		
Contract	18,419,386	20,743,595
Service	<u>2,868,334</u>	<u>2,382,817</u>
TOTAL COSTS OF REVENUE	<u>21,287,720</u>	<u>23,126,412</u>
GROSS PROFIT	7,372,153	5,404,107
INDIRECT COSTS OF REVENUE	561,317	557,242
GENERAL AND ADMINISTRATIVE EXPENSES	<u>3,564,197</u>	<u>3,018,919</u>
INCOME FROM OPERATIONS	3,246,639	1,827,946
OTHER INCOME (EXPENSES)		
Gain on disposal of assets	4,092	-
Other income	88	646
Other expense	(1,500)	-
Interest expense	<u>(192,308)</u>	<u>(165,197)</u>
TOTAL OTHER INCOME (EXPENSES)	<u>(189,628)</u>	<u>(164,551)</u>
NET INCOME BEFORE NONCONTROLLING INTEREST	3,057,011	1,663,395
NET INCOME ATTRIBUTABLE TO NONCONTROLLING INTEREST IN AFFILIATES	<u>(753,220)</u>	<u>(567,877)</u>
NET INCOME	<u>\$ 2,303,791</u>	<u>\$ 1,095,518</u>

See accompanying notes and independent accountant's review report.

COLLETT MECHANICAL, INC. AND AFFILIATES

Consolidated Statements of Changes in Shareholder's Equity

	Additional Paid-in Capital	Retained Earnings	Noncontrolling Interest in Affiliates	Total
Balance at December 31, 2021	\$ 100,000	\$ 4,110,907	\$ 702,780	\$ 4,913,687
Net income	-	1,095,518	567,877	1,663,395
Shareholder distributions	-	(1,164,286)	(179,576)	(1,343,862)
Balance at December 31, 2022	100,000	4,042,139	1,091,081	5,233,220
Net income	-	2,303,791	753,220	3,057,011
Shareholder distributions	-	(3,475,682)	(450,157)	(3,925,839)
Balance at December 31, 2023	\$ 100,000	\$ 2,870,248	\$ 1,394,144	\$ 4,364,392

See accompanying notes and independent accountant's review report.

COLLETT MECHANICAL, INC. AND AFFILIATES**Consolidated Statements of Cash Flows**

	Years Ended December 31	
	2023	2022
CASH FLOWS FROM OPERATING ACTIVITIES		
Net income	\$ 2,303,791	\$ 1,095,518
Adjustments to reconcile net income to net cash provided by (used in) operating activities:		
Depreciation	264,001	224,823
Credit loss expense	65,527	-
Gain on disposal of assets	(4,092)	-
Increase in noncontrolling interest	753,220	567,877
Changes in operating assets and liabilities:		
Contract receivables	1,845,443	(4,080,439)
Costs and estimated earnings in excess of billings on uncompleted contracts	381,041	137,719
Prepaid expenses	(11,045)	(11,568)
Accounts payable	221,328	445,792
Accrued expenses and payroll	(922)	(6,313)
Billings in excess of costs and estimated earnings on uncompleted contracts	(735,680)	1,181,336
Deferred revenue	(17,025)	926
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	5,065,587	(444,329)
CASH FLOWS FROM INVESTING ACTIVITIES		
Purchase of property, plant and equipment	-	(241,158)
NET CASH USED IN INVESTING ACTIVITIES	-	(241,158)

See accompanying notes and independent accountant's review report.

COLLETT MECHANICAL, INC. AND AFFILIATES**Consolidated Statements of Cash Flows--Continued**

	Years Ended December 31	
	2023	2022
CASH FLOWS FROM FINANCING ACTIVITIES		
Principal payments under long-term debt agreements	\$ (281,310)	\$ (196,088)
Proceeds from (payments on) lines of credit, net	(853,506)	1,828,506
Distributions to shareholder	(3,475,682)	(1,164,286)
Distributions to noncontrolling interests in affiliates	<u>(450,157)</u>	<u>(179,576)</u>
NET CASH PROVIDED BY (USED IN) FINANCING ACTIVITIES	<u>(5,060,655)</u>	<u>288,556</u>
NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS	4,932	(396,931)
Cash and cash equivalents at beginning of year	<u>389,407</u>	<u>786,338</u>
CASH AND CASH EQUIVALENTS AT END OF YEAR	<u>\$ 394,339</u>	<u>\$ 389,407</u>
SUPPLEMENTAL DISCLOSURES:		
Cash paid during the year for interest	<u>\$ 192,308</u>	<u>\$ 165,197</u>
SUPPLEMENTAL SCHEDULE OF NONCASH INVESTING AND FINANCING ACTIVITIES		
Property, plant and equipment additions	\$ 320,922	\$ 576,104
Less amount financed through long-term debt	<u>(320,922)</u>	<u>(334,947)</u>
Purchase of property, plant, and equipment	<u>\$ -</u>	<u>\$ 241,157</u>
Long-term debt retired through refinancing	<u>\$ 39,042</u>	<u>\$ 667,449</u>

See accompanying notes and independent accountant's review report.

COLLETT MECHANICAL, INC. AND AFFILIATES

Notes to Consolidated Financial Statements

December 31, 2023 and 2022

NOTE A--ORGANIZATION AND SIGNIFICANT ACCOUNTING POLICIES

Collett Mechanical, Inc. and Affiliates (the Company) is a closely held corporation formed in 1994. The Company is engaged primarily in the installation of plumbing, heating, and air conditioning systems for commercial and industrial facilities generally performed under fixed price contracts as modified from time to time, the majority of which are one year or less.

The following is a summary of the significant accounting policies of the Company:

Consolidated Variable Interest Entities

Collett Mechanical, Inc. is the primary beneficiary of Collett Mechanical Service, Inc., which is considered a variable interest entity. Accordingly, the assets and liabilities and revenues and expenses of Collett Mechanical Service, Inc. have been included in the Company's consolidated financial statements. Collett Mechanical Service, Inc. was formed for the purposes of performing service and maintenance contracts. As of December 31, 2023 and 2022, the consolidated amounts consisted of the following:

	<u>2023</u>	<u>2022</u>
Assets	<u>\$ 2,134,013</u>	<u>\$ 2,078,704</u>
Liabilities	<u>\$ 570,818</u>	<u>\$ 784,050</u>
Revenues	<u>\$ 4,132,129</u>	<u>\$ 3,490,753</u>
Expenses	<u>\$ 3,459,322</u>	<u>\$ 2,986,061</u>
Net income	<u>\$ 672,807</u>	<u>\$ 504,692</u>

Collett Mechanical, Inc. is also the primary beneficiary of DJCD Realty, LLC, which is considered a variable interest entity. Accordingly, the assets and liabilities and revenues and expenses of DJCD Realty, LLC have been included in the Company's consolidated financial statements. DJCD Realty, LLC was formed to hold real estate. As of December 31, 2023 and 2022, the consolidated amounts consisted of the following:

	<u>2023</u>	<u>2022</u>
Assets	<u>\$ 1,212,899</u>	<u>\$ 1,220,981</u>
Liabilities	<u>\$ 1,363,480</u>	<u>\$ 1,416,366</u>
Revenues	<u>\$ 231,167</u>	<u>\$ 229,587</u>
Expenses	<u>\$ 140,473</u>	<u>\$ 145,259</u>
Net income	<u>\$ 90,694</u>	<u>\$ 84,328</u>

COLLETT MECHANICAL, INC. AND AFFILIATES

Notes to Consolidated Financial Statements--Continued

NOTE A--ORGANIZATION AND SIGNIFICANT ACCOUNTING POLICIES--Continued

Collett Mechanical, Inc. is also the primary beneficiary of DJCD Cortland Realty, LLC, which is considered a variable interest entity. Accordingly, the assets and liabilities and revenues and expenses of DJCD Cortland Realty, LLC have been included in the Company's consolidated financial statements. DJCD Cortland Realty, LLC was formed in the current year to hold real estate. As of December 31, 2023 and 2022, the consolidated amounts consisted of the following:

	<u>2023</u>	<u>2022</u>
Assets	\$ <u>904,019</u>	\$ <u>944,542</u>
Liabilities	\$ <u>922,489</u>	\$ <u>952,730</u>
Revenues	\$ <u>96,006</u>	\$ <u>74,000</u>
Expenses	\$ <u>106,287</u>	\$ <u>95,143</u>
Net loss	\$ <u>(10,281)</u>	\$ <u>(21,143)</u>

All intercompany accounts and transactions have been eliminated in consolidation.

Use of Estimates

The preparation of consolidated financial statements in conformity with accounting principles generally accepted in the United States of America (U.S. GAAP) requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the consolidated financial statements and the reported amounts of revenues and expenses during the reporting period. In these consolidated financial statements, certain assets and liabilities, and earnings from contracts involve extensive reliance on management's estimates. Actual results could differ from those estimates.

Cash and Cash Equivalents

For the purpose of reporting on the accompanying consolidated balance sheet and statement of cash flows, the Company considers all cash accounts, which are not subject to withdrawal restrictions or penalties, to be cash equivalents.

The Company's cash balances in financial institutions may at times exceed federally insured limits.

Contract Receivables

Contract receivables are non-interest-bearing short-term customer obligations and are stated at the amount invoiced to customers less an allowance for credit losses. Contract receivables represent the Company's unconditional right to receive consideration arising from the performance under contracts with customers.

COLLETT MECHANICAL, INC. AND AFFILIATES

Notes to Consolidated Financial Statements--Continued

NOTE A--ORGANIZATION AND SIGNIFICANT ACCOUNTING POLICIES--Continued

Contract Receivables--Continued

The allowance for credit losses is based on the Company's historic losses based on the aging of receivables. The estimate is adjusted for management's assessment of current economic conditions, reasonable and supportable forecasts regarding future events, and any other factors deemed relevant by the Company.

Contract receivables are written off and charged against the allowance for credit losses when the Company determines that it is probable the receivable will not be recovered. If any recoveries are made from amounts previously written off, the recoveries will be recognized in income or an offset to credit loss expense in the year of recovery.

Inventory

Inventory consists of equipment parts, reusable materials, and accessories, and is stated at the lower of cost or market.

Property, Plant and Equipment

Property, plant, and equipment are recorded at cost. The cost of property and equipment is depreciated using the straight-line method over the estimated useful lives of the related assets, generally ranging from 3 to 39 years. Expenditures for acquisitions, renewals and betterments that extend the useful lives of the property and equipment are capitalized. Expenditures for maintenance and repairs are charged to expense as incurred.

Revenue and Cost Recognition

The Company recognizes revenue from contracts using the percentage-of-completion method, measured by the percentage of cost incurred to date to estimated total cost for each contract. Management considers total costs to date to total estimated contract costs to be the best available measure of progress on the contracts. Management has not adopted the accounting pronouncements of Accounting Standards Codification 606, *Revenue from Contracts with Customers*.

Contract costs include all direct material, subcontractors and labor costs and those indirect costs related to contract performance, such as indirect labor, supplies, tools, repairs and depreciation. General and administrative costs are charged to expense as incurred.

Provisions for estimated losses on uncompleted contracts are made in the period in which such losses are determined. Changes in estimated job profitability resulting from job performance, job conditions, contract penalty provisions, claims, change orders, and settlements, are accounted for as changes in estimates in the current period. The asset, "Costs and estimated earnings in excess of billings on uncompleted contracts," represents revenue recognized in excess of amounts billed. The liability, "Billings in excess of costs and estimated earnings on uncompleted contracts," represents billings in excess of revenue recognized.

COLLETT MECHANICAL, INC. AND AFFILIATES

Notes to Consolidated Financial Statements--Continued

NOTE A--ORGANIZATION AND SIGNIFICANT ACCOUNTING POLICIES--Continued

Revenue and Cost Recognition--Continued

Service revenue is derived from maintenance or time and materials contracts. The revenue is recognized as the services are performed or, in the case of a maintenance contract, ratably over the maintenance term.

Due to inherent uncertainties in the Company's estimation process, and uncertainties relating to future performance as the contracts are completed, it is at least reasonably possible that estimated revenue and costs, in total or on individual contracts, will be revised.

Warranty Liability

The Company's warranty provisions will depend on the contract, but generally cover a period of up to one year from completed installation. Accordingly, the Company has established a warranty liability accrual at December 31, 2023 and 2022, of \$46,243 and \$51,859, respectively, which is included in the consolidated balance sheet caption "accrued expenses and payroll."

Income Taxes

The shareholder of Collett Mechanical, Inc. has elected under Subchapter "S" of the Internal Revenue Code to be treated as a "small business corporation." Collett Mechanical Service, Inc. has made the same election. As a result of this election, no federal income tax provision has been included in the consolidated financial statements since income or loss of the corporations for each year will be reported on the individual income tax return of its shareholder with the resulting income taxes paid by the shareholder. Some states, municipalities and foreign countries impose income taxes on corporations electing Subchapter "S" status. DJCD Realty, LLC and DJCD Cortland Realty, LLC are limited liability companies. A provision for income tax is not included in the consolidated financial statements as their income is taxed similar to a partnership. The members are taxed on their share of the limited liability companies' income.

The Company is no longer subject to income tax examinations by federal or state tax authorities for years before 2020, and currently, there are no income tax examinations in process nor has the Company been informed of any pending income tax examinations. Management has evaluated the Company's tax positions, including interest and penalties attributable thereto, and concluded that the Company has no income tax positions that required adjustment to its financial statements as of December 31, 2023 and 2022.

Accounting Standards Update Adopted

On January 1, 2023, the Company adopted FASB ASU 2016-13, *Financial Instruments – Credit Losses (Topic 326); Measurement of Credit Losses on Financial Instruments*, as amended, which modifies the measurement of expected credit losses on certain financial instruments that are measured at amortized cost, such as contract receivables. The most significant change in this standard is a shift from the incurred loss model to the expected loss model.

COLLETT MECHANICAL, INC. AND AFFILIATES

Notes to Consolidated Financial Statements--Continued

NOTE A--ORGANIZATION AND SIGNIFICANT ACCOUNTING POLICIES--Continued

Accounting Standards Update Adopted--Continued

Under the standard, disclosures are required to provide users of the financial statements with useful information in analyzing an entity's exposure to credit risk and the measurement of credit losses.

The Company adopted the new standard using the modified retrospective method for all financial assets measured at amortized cost as of January 1, 2023. A cumulative-effect adjustment of the beginning balance of retained earnings was not required. Results for reporting periods beginning prior to January 1, 2023 continue to be reported in accordance with the Company's historical accounting treatment.

Subsequent Events

The Company has evaluated for subsequent events between the consolidated balance sheet date of December 31, 2023 and June 14, 2024, the date the consolidated financial statements were available to be issued.

NOTE B--CONTRACT RECEIVABLES, NET OF ALLOWANCE FOR CREDIT LOSSES

Contract receivables consist of the following at December 31:

	<u>2023</u>	<u>2022</u>
Contract receivable	\$ 5,938,344	\$ 7,418,206
Retainage	<u>2,170,292</u>	<u>2,535,873</u>
	8,108,636	9,954,079
Less allowance for credit losses	<u>(115,527)</u>	<u>(50,000)</u>
	<u>\$ 7,993,109</u>	<u>\$ 9,904,079</u>

The Company had the following activity for its allowance for credit losses for accounts receivable for the year ended December 31, 2023:

	<u>2023</u>	<u>2022</u>
Beginning balance	\$ 50,000	\$ 50,000
Provision for expected credit losses	65,527	-
Write-offs	-	-
Ending balance	<u>\$ 115,527</u>	<u>50,000</u>

COLLETT MECHANICAL, INC. AND AFFILIATES

Notes to Consolidated Financial Statements--Continued

NOTE C--UNCOMPLETED CONTRACTS

Costs, estimated earnings, and billings on uncompleted contracts are summarized as follows at December 31:

	<u>2023</u>	<u>2022</u>
Costs incurred on uncompleted contracts	\$ 23,113,397	\$ 24,682,853
Estimated earnings	<u>6,859,634</u>	<u>7,465,332</u>
	29,973,031	32,148,185
Less: billings to date	<u>30,575,653</u>	<u>33,105,446</u>
	<u>\$ (602,622)</u>	<u>\$ (957,261)</u>

The amounts shown above are included in the accompanying consolidated balance sheet under the following captions:

	<u>2023</u>	<u>2022</u>
Costs and estimated earnings in excess of billings on uncompleted contracts	\$ 294,847	\$ 675,888
Billings in excess of costs and estimated earnings on uncompleted contracts	<u>(897,469)</u>	<u>(1,633,149)</u>
	<u>\$ (602,622)</u>	<u>\$ (957,261)</u>

NOTE D--LINES OF CREDIT

Collett Mechanical, Inc. has available a \$2,000,000 revolving line of credit with a bank, collateralized by all assets of the Company and guaranteed by Collett Mechanical Services, Inc. and Collett Mechanical, Inc.'s sole shareholder. Interest is payable monthly at the prime rate (8.50% at December 31, 2023), with a floor of 3.25%. The balance outstanding on the line was \$900,000 and \$1,628,506 as of December 31, 2023 and 2022, respectively.

Collett Mechanical, Inc. has available a \$500,000 equipment line of credit with a bank. Interest is payable at the prime rate plus .5% (9.00% at December 31, 2023), with a floor of 3.75%. Withdrawals on the line are collateralized by specific vehicles or equipment purchased through the line of credit. The line is guaranteed by Collett Mechanical Services, Inc. and Collett Mechanical, Inc.'s sole shareholder. At December 31, 2023 and 2022, the Company had no outstanding balance.

Collett Mechanical Service, Inc. has available a \$200,000 equipment line of credit with a bank. Interest is payable at the prime rate (8.5% at December 31, 2023), with a floor of 3.25%. Withdrawals on the line are collateralized by all business assets of Collett Mechanical Service, Inc. and is guaranteed by Collett Mechanical, Inc. and Collett Mechanical, Inc.'s sole shareholder. The outstanding balance on the line was \$75,000 and \$200,000 as of December 31, 2023 and 2022, respectively.

COLLETT MECHANICAL, INC. AND AFFILIATES

Notes to Consolidated Financial Statements--Continued

NOTE E--LONG-TERM DEBT

Long-term debt, summarized by debtor, consisted of the following at December 31:

	<u>2023</u>	<u>2022</u>
<u>Collett Mechanical, Inc.</u>		
Notes payable to various financial institutions, payable in monthly installments ranging from \$650 to \$5,623, plus interest ranging from 2.90% to 8.20%, due at various times through September 2028 collateralized by various assets of the Company.	\$ 356,468	\$ 300,087
<u>Collett Mechanical Service, Inc.</u>		
Notes payable to various financial institutions, payable in monthly installments of \$924 to \$1,631, plus interest ranging from 3.75% to 5.99%, due at various times through March 2029, collateralized by vehicles.	55,682	24,788
<u>DJCD Realty, LLC</u>		
Mortgage note payable with a fixed interest rate of 3.49%, payable in monthly installments of \$8,732, through April 2041. The interest rate is subject to a reset after 10 years and every 5 years thereafter, based on the Federal Home Loan Bank 10-year Amortizing Advance rate plus a margin of 3% per annum. The mortgage is collateralized by real property and is guaranteed by the sole shareholder of Collett Mechanical Service, Inc and Collett Mechanical, Inc.	1,360,532	1,416,096
<u>DJCD Cortland Realty, LLC</u>		
Mortgage note payable with a fixed interest rate of 4.49%, payable in monthly installments of \$5,957 including interest, through May, 2043, collateralized by the land, building and building improvements.	921,589	952,730
	2,694,271	2,693,701
	(256,267)	(237,482)
Less current portion		
Long-term	<u>\$ 2,438,004</u>	<u>\$ 2,456,219</u>

COLLETT MECHANICAL, INC. AND AFFILIATES

Notes to Consolidated Financial Statements--Continued

NOTE E--LONG-TERM DEBT--Continued

The principal maturities of long-term debt are as follows:

Year Ended December 31,	
2024	\$ 256,267
2025	171,883
2026	180,021
2027	158,914
2028	122,901
Thereafter	<u>1,804,285</u>
	<u>\$ 2,694,271</u>

Management believes the Company was in compliance with all covenants to which it is subject.

NOTE F--EMPLOYEE BENEFIT PLAN

The Company maintains a 401(k) profit sharing plan (the Plan) covering substantially all employees, subject to certain eligibility requirements. Eligible employees may make salary deferral contributions subject to limitations under Internal Revenue Code Section 401(k). Employer contributions to the Plan are discretionary and are determined annually by the Board of Directors. The Company made a profit sharing contribution of approximately \$76,000 and \$77,000 the years ended December 31, 2023 and 2022, respectively.

NOTE G--RELATED PARTY TRANSACTIONS

The Company performs subcontractor services for another entity that is minority owned by the sole shareholder of the Company. Revenues received from that entity were approximately \$324,000 and \$93,000 for the years ended December 31, 2023 and 2022, respectively.

NOTE H--MULTI-EMPLOYER PENSION AND POST RETIREMENT PLAN

In connection with its collective bargaining agreement with various unions, the Company participates with other companies in the construction industry in defined benefit pension plans. These plans cover the Company's employees who are members of the unions.

The following table summarizes the Company's participation in significant defined benefit plans:

COLLETT MECHANICAL, INC. AND AFFILIATES**Notes to Consolidated Financial Statements--Continued****NOTE H--MULTI-EMPLOYER PENSION AND POST RETIREMENT PLAN--Continued**

Pension Fund	EIN/Pension Plan Number	Pension Protection Act Zone Status 2023	Contributions 2023	Expiration Date of Collective Bargaining Agreement
Local 7	91-2055384-001	Green	\$ 948,805	4/30/25

Certified zone status (as defined by the Pension Protection Act) represents the level at which the plan is funded. Plans in the red zone are less than 65% funded; plans in the yellow zone are less than 80% funded; and plans in the green zone are at least 80% funded. The Company's contributions to all multi-employer defined benefit plans which employees participate in totaled \$1,019,280 and \$1,093,228 for the years ended December 31, 2023 and 2022, respectively.

NOTE I--MAJOR CONCENTRATIONSConcentration by revenue source--Continued

Approximately 67% and 68% of the Company's revenue for the years ended December 31, 2023 and 2022, was from five and three customers, respectively. Approximately 43% and 58% of the contract receivable balance at December 31, 2023 and 2022, were due from three and five customers, respectively.

Concentration by distributor

Approximately 48% and 90% of the Company's material and supply purchases were from four distributors and two distributors, for the years ended December 31, 2023 and 2022, respectively.

Concentration by labor union

Approximately 86% and 88% of the Company's contract labor were from three labor unions, for the years ended December 31, 2023 and 2022.

SUPPLEMENTARY INFORMATION

COLLETT MECHANICAL, INC. AND AFFILIATES**Consolidated Costs of Revenue (Schedule I)**

	Years Ended December 31	
	2023	2022
COSTS OF REVENUE		
Materials	\$ 6,668,641	\$ 7,366,755
Labor	5,719,438	6,181,700
Subcontractors	3,692,755	3,574,224
Payroll fringes and taxes	2,690,556	3,265,367
Equipment	1,987,915	2,126,520
Insurance	386,897	454,017
Fuel	10,470	14,000
Other equipment costs	131,048	143,829
TOTAL COSTS OF REVENUE	<u>\$ 21,287,720</u>	<u>\$ 23,126,412</u>

See independent accountant's review report.

COLLETT MECHANICAL, INC. AND AFFILIATES**Consolidated General and Administrative Expenses (Schedule II)**

	Years Ended December 31	
	2023	2022
GENERAL AND ADMINISTRATIVE EXPENSES		
Salaries and wages	\$ 1,821,557	\$ 1,546,783
Payroll taxes and benefits	670,086	472,773
Depreciation	264,001	224,823
Materials and supplies	128,696	98,844
Dues and subscriptions	108,617	78,630
Equipment	94,535	104,817
Taxes and licenses	89,174	73,405
Automobile	69,443	88,378
Telephone	75,551	79,118
Credit loss expense	65,527	-
Utilities	53,031	59,319
Repairs and maintenance	40,493	27,915
Professional fees	34,625	34,195
Computer	16,446	20,382
Travel	5,847	21,712
Charitable contributions	4,500	21,063
Bond expense	4,375	-
Postage	2,822	1,671
Bank fees	1,630	5,169
Marketing and development	1,155	33,500
Advertising	275	12,385
Landscaping	-	3,735
Other expenses	11,811	10,302
TOTAL GENERAL AND ADMINISTRATIVE EXPENSES	<u>\$ 3,564,197</u>	<u>\$ 3,018,919</u>

See independent accountant's review report.

COLLETT MECHANICAL, INC. AND AFFILIATES**Consolidated Earnings from Contracts (Schedule III)****For the year ended December 31, 2023**

	<u>Contract Revenue Earned</u>	<u>Cost of Revenue Earned</u>	<u>Gross Profit</u>
Contracts completed during the year	\$ 28,556,892	\$ 21,440,306	\$ 7,116,586
Contracts in progress at year end	29,973,031	23,113,397	6,859,634
Contracts in progress in prior year	<u>(33,935,445)</u>	<u>(26,134,317)</u>	<u>(7,801,128)</u>
	<u>\$ 24,594,478</u>	<u>\$ 18,419,386</u>	6,175,092
Service contracts	<u>\$ 4,065,395</u>	<u>\$ 2,868,334</u>	<u>1,197,061</u>
TOTAL GROSS PROFIT			<u>\$ 7,372,153</u>

See independent accountant's review report.

COLLETT MECHANICAL, INC. AND AFFILIATES

Consolidated Contracts Completed and in Progress (Schedule IV)

Year Ended December 31, 2023

Job #	Description	Estimated Cost at Completion	Estimated Gross Profit	Est Gross Profit %	Actual Costs to Date	Percent Comp %	Gross Profit to Date	Recognized Revenue to Date	Costs in Excess of Billings	Billings in Excess of Costs	Costs Prior Year	Costs This Year	Billings Prior Year	Billings This Year	Cost to Complete
17-039	Gilboa	653,597	184,416	28.22%	469,181	100.00%	184,416	653,597	-	-	452,850	14,331	646,011	7,586	653,597
20-006	Regeneration B17 Fill-Finish Pib	4,018,592	713,631	17.76%	3,304,761	100.00%	713,631	4,018,592	-	-	3,292,648	11,931	4,000,525	18,067	4,018,592
20-008	Monmouth	926,020	178,698	19.30%	747,322	100.00%	178,698	926,020	-	-	744,087	3,235	907,430	18,590	926,020
20-034	Montgomery County SICRA	23,000	22,468	56.88%	7,032	100.00%	22,468	23,000	-	-	23,000	16,032	12,000	34,300	33,500
20-036	Hosick Valley OGS Greene County	15,659	3,397	21.69%	12,262	100.00%	3,397	15,659	-	-	12,262	9,522	15,448	211	15,659
20-037	Halfmoon-Waterford Fire District #1	1,000,917	52,169	5.11%	948,748	100.00%	52,169	1,000,917	-	-	939,226	9,522	1,000,917	80,466	1,000,917
20-038	Regeneration B17 Fill-Finish Pib	2,056,027	345,477	16.80%	1,710,550	100.00%	345,477	2,056,027	-	-	1,689,029	21,521	1,975,551	80,466	2,056,027
21-012	BOCES CTE Camps	1,794,176	339,205	18.91%	1,454,971	100.00%	339,205	1,794,176	-	-	1,454,971	4,511	1,794,176	1,794,176	1,794,176
21-014	East Greenwich USD	712,530	790,029	-10.88%	790,029	100.00%	(77,499)	790,029	-	-	777,956	12,073	689,085	23,445	712,530
21-022	East Falls National Bank	64,262	8,362	13.01%	55,900	100.00%	8,362	64,262	-	-	528,075	16,187	513,697	38,927	552,624
21-026	Regeneration B17 Fill-Finish Pib	1,265,503	987,788	77.71%	987,788	100.00%	987,788	1,265,503	-	-	977,551	10,852	1,085,403	1,085,403	1,265,503
21-027	Coralair C3-4 Exp	643,759	534,947	82.95%	534,947	100.00%	277,715	534,947	-	-	512,220	20,722	628,136	15,623	643,759
21-040	60 Choies Ave	810,157	129,039	15.93%	681,118	100.00%	129,039	810,157	-	-	648,109	35,009	746,833	35,324	810,157
21-041	Benjamin Moore	147,258	72,632	49.31%	74,626	100.00%	72,632	147,258	-	-	62,059	10,573	126,330	20,928	147,258
21-046	Regeneration Consult - Ken Wolf	5,958,380	2,635,171	44.23%	3,323,209	100.00%	2,635,171	5,958,380	-	-	2,128,945	1,194,264	3,751,228	2,207,152	5,958,380
22-004	Plug Power Vista	1,168,894	931,363	79.71%	931,363	100.00%	931,363	1,168,894	-	-	912,310	19,053	1,132,751	36,143	1,168,894
22-006	Walden Veterinary	147,686	74,718	50.67%	72,968	100.00%	74,718	147,686	-	-	147,686	1,007	150,500	79,500	147,686
22-009	Regeneration Consult - Ken Wolf	108,429	50,191	46.31%	58,238	100.00%	50,191	108,429	-	-	46,473	3,718	100,045	8,384	108,429
22-018	70 Cohoes Fill Room Flup	35,495	15,984	45.03%	19,511	100.00%	15,984	35,495	-	-	15,805	1,79	26,367	35,495	35,495
22-022	121 Everett Rd	59,641	18,802	31.53%	40,839	100.00%	18,802	59,641	-	-	19,823	21,016	26,270	33,371	59,641
22-025	Burt Crane Sakir	57,378	13,263	23.12%	44,115	100.00%	13,263	57,378	-	-	6,570	37,378	20,000	37,378	57,378
22-026	B16 Grider Pump	81,888	63,238	77.24%	63,238	100.00%	18,650	81,888	-	-	63,238	9,655	91,843	81,888	81,888
22-028	Regeneration Vent Stack	21,875	13,846	63.30%	18,029	100.00%	13,846	21,875	-	-	21,875	21,275	21,275	21,275	21,875
22-029	Regeneration B85 Boiler #18	21,275	22,016	103.95%	22,016	100.00%	(741)	21,275	-	-	1,400	20,616	15,200	79,800	95,000
22-031	501 New Kerner Rd	95,000	60,586	63.78%	34,414	100.00%	34,414	95,000	-	-	24,690	14,246	56,350	21,500	95,000
22-035	Walnschaf 823 2nd Fl Reno	58,500	66,263	114.12%	66,263	100.00%	(7,763)	58,500	-	-	52,017	35,896	15,200	27,871	31,931
22-038	Dr Brass Drive	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-038	2 Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261	4,060	27,871	31,931
22-042	Walnschaf 823 2nd Fl Reno	12,995	20,429	157.78%	11,502	100.00%	20,429	12,995	-	-	1,241	10,261			

COLLETT MECHANICAL, INC. AND AFFILIATES
Consolidated Contracts Completed and in Progress (Schedule IV)—Continued
Year Ended December 31, 2023

Job #	Description	Revised	Estimated Cost at Completion	Estimated	Est Gross	Actual Costs to Date	Percent Complete	Gross Profit to Date	Recognized Revenue	Costs & Earnings in Excess of Billings	Billings in Excess of Costs & Earnings	Costs Prior Year	Costs This Year	Billings Prior Year	Billings This Year	Billings to Date	Cost to Complete
20-090	SUN Yatphoo Apartments	2,784,074	4,730,000	4,730,074	95.42%	4,730,074	95.42%	4,730,074	7,800,355	24,716	92,846	5,021,604	2,470,036	5,021,604	331	7,892,570	1,072,570
21-032	INDY Albany Schuyler	7,933,415	7,901,210	7,933,415	0.41%	7,933,415	0.41%	7,933,415	7,800,355	22	-	238,097	238,234	238,097	339,684	662,366	171,209
21-048	Regeneron Consult McGeorge	900,000	648,540	251,460	27.94%	648,540	27.94%	185,077	949,221	42,416	68,876	-	491,148	276,458	430,604	906,804	400,480
22-010	DASNY Capital District Psychiatric	1,449,726	1,160,000	289,726	19.98%	759,520	65.48%	189,701	2,780,295	-	-	2,780,295	913,833	1,876,213	972,958	2,849,711	63,475
22-013	Autopark Drive MOB	2,860,640	2,860,640	600,640	21.00%	2,196,525	97.19%	583,770	2,780,295	-	43,067	166,301	653,634	335,310	674,099	1,009,409	68,063
22-020	745 Broadway	1,046,362	890,000	156,362	14.94%	821,937	92.35%	144,404	966,341	-	53,607	21,080	352,870	42,610	454,783	497,393	166,090
22-037	Aire Risk	500,845	240,000	100,845	15.74%	373,950	69.25%	69,435	443,785	-	11,507	32,891	228,900	5,770	298,638	354,406	25,619
22-037	Autopark Drive MOB	2,860,640	2,860,640	600,640	21.00%	2,196,525	97.19%	583,770	2,780,295	-	-	2,780,295	913,833	1,876,213	972,958	2,849,711	63,475
22-039	Shann Boat Phase 1	6,274,905	5,250,000	1,024,905	16.33%	4,408,658	83.97%	860,658	5,250,000	3,581	511,066	3,894	62,098	3,894	5,770	5,770	841,342
22-041	The Real McCoy	73,846	85,000	(11,154)	-15.10%	65,842	77.46%	(6,640)	317,202	-	-	-	-	-	317,202	317,202	19,158
23-001	Rifenburg Office	317,533	234,858	82,675	26.04%	234,858	100.00%	82,675	317,533	22,722	-	-	367,715	-	390,090	390,090	1,093,125
23-006	Flight Simulator Facility	1,640,000	1,460,840	179,160	10.92%	367,715	25.17%	45,097	412,812	-	-	-	58,512	-	64,715	64,715	6,488
23-016	Regeneron B16 Elevator	76,281	65,000	11,281	14.79%	58,512	90.02%	10,155	68,667	3,952	-	-	92,830	-	96,809	96,809	56,181
23-018	Regeneron Water Treatment	156,809	149,011	7,798	4.97%	92,830	62.30%	4,858	97,688	879	-	-	887,134	-	913,580	913,580	4,803,658
23-021	Regeneron B16 Building	6,081,500	5,670,792	410,708	6.75%	867,134	15.29%	62,726	928,860	16,280	-	-	18,316	-	34,066	34,066	3,256
23-029	Reg BBS GSP Warehouse	23,523	225,000	48,458	21.54%	186,542	83.11%	29,976	160,566	6,504	-	-	186,037	-	251,494	251,494	13,983
23-034	Regeneron Consult Fitzpatrick	273,458	200,000	101,854	33.74%	186,037	93.02%	94,743	280,780	29,286	38,612	-	186,037	-	251,494	251,494	13,983
23-034	8 Roberts Lane	301,854	200,000	101,854	33.74%	186,037	93.02%	94,743	280,780	29,286	38,612	-	186,037	-	251,494	251,494	13,983
23-035	72 Bed Hospitality House	614,000	550,000	64,000	10.42%	6,726	1.22%	783	7,509	7,509	-	-	6,726	-	25,969	25,969	543,274
23-040	Reg B61 UPS Replacement	27,854	19,000	8,854	31.79%	16,137	84.93%	7,520	23,657	-	2,311	-	16,137	-	28,708	28,708	2,863
23-041	Mohawk Recon Center	365,430	280,000	85,430	23.98%	237,551	64.84%	72,479	310,030	-	-	-	237,551	-	338,737	338,737	42,449
23-042	Reg B61 UPS Replacement	1,152,000	1,212,777	197,223	13.94%	82,165	6.75%	13,307	95,472	95,472	-	-	82,165	-	1,135,612	1,135,612	81,952
23-049	Decrease Distribution	21,693	12,000	9,693	44.73%	113,046	0.00%	35,845	146,891	-	15,038	-	113,046	-	163,931	163,931	83,000
23-051	WVA B35 Equip Foundations	14,575	12,000	2,575	17.67%	17,676	0.00%	-	-	-	-	-	40,611	-	40,611	40,611	10,800
23-052	The Rise Midtown Square	152,250	141,440	10,810	7.10%	40,611	28.71%	3,104	43,715	-	19,410	-	40,611	-	63,125	63,125	100,829
23-053	Regen BBS Washroom Ph 2	3,942,420	3,450,000	492,420	12.49%	48,600	1.41%	6,937	55,537	10,537	-	-	48,600	-	45,000	45,000	3,401,400
23-054	Orienta Human Society	58,484	58,484	16,970	22.49%	1,953	3.34%	567	2,520	2,520	-	-	1,953	-	56,531	56,531	-
23-055	ST. ANNE KITCHEN RENO	23,000	17,430	5,570	24.22%	-	0.00%	-	-	-	10,000	-	-	-	10,000	17,430	-
23-055	ST. ANNE KITCHEN RENO	117,675	105,844	11,831	10.05%	3,320	3.14%	371	3,691	3,691	-	-	3,320	-	10,000	10,000	-
23-058	60 Calverton - 500 St Paul St	11,545	11,545	11,545	100.00%	11,545	100.00%	11,545	11,545	7,030	-	-	11,545	-	11,545	11,545	4,244
23-059	Regeneron HW Tank Install	18,354	7,040	11,314	61.64%	1,549	22.00%	2,489	4,038	4,038	-	-	1,549	-	60,158	60,158	-
23-060	HANYS - 1 Empire Drive	66,675	60,521	6,154	9.23%	363	0.60%	37	400	399	-	-	363	-	99,900	99,900	-
23-061	Regeneron Insulation Repairs	114,885	99,900	14,985	13.04%	-	0.00%	-	-	-	-	-	-	-	3,850	3,850	-
23-062	Pinehaven CC Reno	3,850	2,285	1,565	40.65%	2,285	100.00%	1,565	3,850	-	-	-	2,285	-	-	-	-
23-063	Starbuckes @ Midtown Rise	52,950	46,636	6,314	11.92%	-	0.00%	-	-	-	-	-	-	-	-	-	-
23-065	Regeneron B7 Water Meter	11,075	9,000	2,075	18.74%	9,000	0.00%	-	-	-	-	-	-	-	-	-	-
23-065	Regeneron B7 Water Meter	1,068,410	950,000	118,410	12.44%	905	0.00%	-	-	-	-	-	-	-	-	-	-
24-002	Regeneron 2024 TSM	2,000	2,000	1,345	67.25%	32,125	100.00%	1,345	10,332	10,332	-	-	9,050	-	-	-	-
Total Open Jobs		46,604,483	37,782,303	8,822,180		23,113,397		6,859,634	29,973,031	294,847	897,469	9,876,018	13,237,379	14,573,010	16,002,643	30,575,653	14,668,906
Grand Total		75,161,375	59,222,609	15,938,766		44,853,703		13,976,220	58,529,923	724,847	897,469	26,134,317	18,419,286	34,894,398	24,238,147	59,132,545	14,670,012


See Independent accountant's review report.

ATTACHMENT "G"
NON-INTERRUPTION OF WORK AGREEMENT

By submission of the bid for:

The bidder agrees that if this bid is accepted, he/she will not intentionally engage in any course of conduct or activity, or employ for the purposes of performing the public work, any subcontractors, employees, labor or materials which will or may result in the interruption of the performance of the public work due to labor strife or unrest by workmen employed by the bidder or by any of the trades working in or about the public works and/or premises where the work is being performed.

Firm: Collett Mechanical, Inc.

By: 
(Signature)

Kevin Farrell
(Typed)

Title: CFO

Date: 03/20/2025

Plumbers and Steamfitters Local 7 Joint Apprenticeship and Training Committee

18 Avis Drive • Latham, New York 12110
www.ualocal7.org • (518) 785-9844

Mechanical Contractors Association

*Joseph Burniche, Co-Chairman
Theodore Lounsbury
Jesse Gorman*

Plumbers and Steamfitters Local 7

*Brian Lydon, Co-Chairman
Daniel Bliven
Omar Rivera*

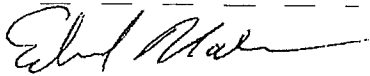
March 14, 2024

Re: Collett Mechanical
138 Sicker Rd
Latham, NY 12110

To Whom It May Concern:

Collett Mechanical is a signatory contractor in good standing with Plumbers and Steamfitters Local 7. Local 7 Apprenticeship Program is a New York State registered apprenticeship Program. Apprentices employed through Local 7 are registered with the NYS Department of Labor.

Sincerely,



Edward Nadeau
Business Manager



Apprentice Training Program Registration Agreement

Revision ☒

Nature of Change: Wage Rate Change
add Christine Fass as Signatory
Remove Bryan Puspurs as Signatory
Add Seth Madden

State Use Only	
AT Sponsor No.	20334
ATP Code	13-363
Effective Date of AT Program	09/07/1989

- Name of Sponsor: Plumbers & Steamfitters JAC LU#7
- Mailing Address: 18 Avis Drive Latham NY 12110 Albany
(number & street) (city) (state) (zip code) (county)
- Actual Address: 18 Avis Drive Latham NY 12110 Albany
(number & street) (city) (state) (zip code) (county)
- Telephone No.: 518-785-9808 Ext. _____ Fax No.: 518-785-9855
- E-mail Address: cfass@ualocal7.org
- Trade/Occupation: Plumber/Pipefitter
- No. Employees: 60 No. Apprentices: 98 No. Journeyworkers: 585 Ratio: 1:1:1:3
- DOT Code: 862381030
- Length of Program: 60 months
- Apprentice Probationary Period: 12 months
- Work process: Standard ☒ or Revised ☐
- Minimum Journeyworker Rate: \$ 48.30 per hour
- Effective Date of Wages: 05/01/2022

15. Apprentice wage progression for each period – in months (M) or hours (H)

1	2	3	4	5	6	7	8	9	10
M <input checked="" type="checkbox"/>	M <input checked="" type="checkbox"/>	M <input checked="" type="checkbox"/>	M <input checked="" type="checkbox"/>	M <input checked="" type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>
H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>
<u>12</u>	<u>12</u>	<u>12</u>	<u>12</u>	<u>12</u>					
45%	55%	65%	75%	90%					

16. The sponsor agrees to comply with the provisions on this side and on the reverse of this agreement.

- Signature of Official Sponsor Representative: Christine Fass Date: 5/17/22
Christine Fass Asst. Trn Coordinator
 Print Name and Title: Seth Madden Training Coordinator
- Signature of Union Representative: Edward Haden Date: 5/17/22
Business Manager Local 7
 Print Name, Title, and Union Name: Edward Haden, Business Manager Local 7
- Signature New York State Department of Labor: _____ Date: 6/2/22

NYS Department of Labor
Apprentice Training



Apprentice Training Program Registration Agreement

Revision ☒

Nature of Change: Wage Rate Change
add Christine Fass as Signatory
Remove Bryan Puspurs as Signatory
Add Seth Madden

State Use Only	
AT Sponsor No.	<u>20334</u>
ATP Code	<u>56-458</u>
Effective Date of AT Program	<u>9/7/1989</u> <u>7/8/2002</u>

- Name of Sponsor: Plumbers & Steamfitters JAC LU#7
- Mailing Address: 18 Avis Drive Latham NY 12110 Albany
(number & street) (city) (state) (zip code) (county)
- Actual Address: 18 Avis Drive Latham NY 12110 Albany
(number & street) (city) (state) (zip code) (county)
- Telephone No.: 518-785-9808 Ext. _____ Fax No.: 518-785-9855
- E-mail Address: cfass@ualocal7.org
- Trade/Occupation: HVAC
- No. Employees: 144 No. Apprentices: 14 No. Journeyworkers: 144 8. Ratio: 1:1:1:3
- DOT Code: 862381030 10. Length of Program: 60 months
- Apprentice Probationary Period: 12 months 12. Work process: Standard ☒ or Revised ☐
- Minimum Journeyworker Rate: \$ 48.30 per hour 14. Effective Date of Wages: 05/01/2022

15. Apprentice wage progression for each period – in months (M) or hours (H)

1	2	3	4	5	6	7	8	9	10
M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>
H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>
45%	55%	65%	75%	90%					

16. The sponsor agrees to comply with the provisions on this side and on the reverse of this agreement.

- Christine Fass
Signature of Official Sponsor Representative
Christine Fass Asst. Coordinator
Print Name and Title

5/17/22
Date
- [Signature]
Signature of Union Representative
Edward Mackay, Business Manager, Local 7
Print Name, Title, and Union Name

5/17/22
Date
- [Signature]
Signature New York State Department of Labor

6/2/22
Date

NYS Department of Labor
Apprentice Training



**Department
of Labor**

New York State Department of Labor

Apprentice Training Program Registration Agreement

Revision ☒

Nature of Change: Wage Rate Change
add Christine Fass as Signatory
Remove Bryan Puspurs as Signatory
Add Seth madden

State Use Only	
AT Sponsor No.	<u>20334</u>
ATP Code	<u>33-295</u>
Effective Date of AT Program	<u>2/22/18</u>

- Name of Sponsor: Plumbers & Steamfitters JAC LU#7
- Mailing Address: 18 Avis Drive Latham NY 12110 Albany
(number & street) (city) (state) (zip code) (county)
- Actual Address: 18 Avis Drive Latham NY 12110 Albany
(number & street) (city) (state) (zip code) (county)
- Telephone No.: 518-785-9808 Ext. _____ Fax No.: 518-785-9855
- E-mail Address: cfass@ualocal7.org
- Trade/Occupation: Welder
- No. Employees: _____ No. Apprentices: 19 No. Journeyworkers: 160 8. Ratio: 1:1;1:3
- DOT Code: 862381030 10. Length of Program: 60 months
- Apprentice Probationary Period: 12 months 12. Work process: Standard ☒ or Revised ☐
- Minimum Journeyworker Rate: \$ 48.30 per hour 14. Effective Date of Wages: 05/01/2022
- Apprentice wage progression for each period – in months (M) or hours (H)

1	2	3	4	5	6	7	8	9	10
M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>	M <input type="checkbox"/>
H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>	H <input type="checkbox"/>
45%	55%	65%	75%	90%					

16. The sponsor agrees to comply with the provisions on this side and on the reverse of this agreement.

- Christine Fass
Signature of Official Sponsor Representative
Christine Fass, Asst. Training Coordinator

5/17/22
Date

Edward Maderu
Signature of Union Representative
Edward Maderu, Business Manager, Local 17

5/17/22
Date
- Seth W. Madden
Print Name and Title
Seth W. Madden, Training Coordinator

5/17/22
Date

Edward Maderu
Print Name, Title, and Union Name
Edward Maderu, Business Manager, Local 17

6/2/22
Date
- [Signature]
Signature New York State Department of Labor

6/2/22
Date

NYS Department of Labor
Apprentice Training

AT 10 (4-16)

MAY 31 2022

Central Office

Approved Contingent
Upon Passing Probation