

**Subject:** New submission from Community Development Combined  
**Date:** Friday, January 5, 2024 at 4:08:17 PM Eastern Standard Time  
**From:** jnytko@govsol.org  
**To:** jnytko@govsol.org

|   |
|---|
| <b>General Information</b>  |
| <b>Date of application:</b>   |
| 01/05/2024  |
| <b>Organization name:</b>   |
| 4th Family Inc.   |
| <b>Organization TIN or EIN:</b>   |
| 45-3211432  |
| <b>Organization physical address:</b>   |
| 141 Washington Avenue<br>Albany, New York 12210<br>United States<br><a href="#">Map It</a>            |
| <b>Is the mailing address different from the physical address?</b>                                    |
| No  |
| <b>Contact Information</b>  |
| <b>Primary contact name:</b>  |
| Jahkeen Hoke  |
| <b>Primary contact title:</b>   |
| Co-Founder & Chief Development Officer  |
| <b>Primary contact phone:</b>   |
| 5188983084  |
| <b>Primary contact email:</b>   |
| <a href="mailto:jhoke@4thfamily.org">jhoke@4thfamily.org</a>  |
| <b>What is the preferred method of contact?</b>   |
| Email   |
| <b>Website (if available):</b>  |
| <a href="https://4thfamily.org/">https://4thfamily.org/</a>   |
| <b>Organization Info and Structure:</b>   |
| <b>Is the organization a nonprofit?</b>   |
| Yes   |
| <b>If nonprofit, please attach to this application proof of your organization's Tax-Exempt Status</b> |
| <ul style="list-style-type: none"> <li><a href="#">4F-IRS-Letter-2-copy.pdf</a></li> </ul>            |

**Date of establishment:**

10/01/2011

**Give a brief description of your organization. Please include information on the organization's activities or mission statement (150 words or less):**

4th Family Inc. is a not-for-profit organization founded in Albany, NY that provides resources for urban youth at the intersection of sports, service, mentorship, social/emotional learning, and S.T.E.M. The mission of 4th Family is to empower the community by educating and equipping at-risk youth and adults with personal development opportunities that meet them where they are. Through our programming, 4th Family aims to increase access and create pathways to STEM careers for diverse and underserved youth via exposure to data analytics, sports science, biomechanics, sports leadership roles, and sports broadcasting. 4th Family operationalizes its mission of educating and empowering the most at-risk members of the community through the implementation of its five pillars which include: STEM through sports, social and emotional learning, conflict resolution and gun violence, career readiness and development, and, leadership and mentorship.

**Provide the total number of full-time employees (if applicable):**

5

**Provide the total number of part-time employees (if applicable):**

1

**Provide the total number of volunteers (if applicable):**

25

**What is the organization's current annual budget?**

\$725,000

**Have you or the organization ever received any form of COVID-19 relief funds or loans specific to the negative impacts of the Pandemic?**

Yes

**If you selected "Yes" above, please specify type and amount.**

\$20,000 SBA non-profit COVID loan to support distressed communities.  
\$60,000 SBA Loan for COVID Relief

**Did your organization lose revenue as a result of the COVID-19 Pandemic? If yes, provide the amount of lost revenue (2020-2022)**

Yes, 4th Family did experience a loss of revenue due to the COVID-19 pandemic-related school closure, resulting in decreased opportunity to obtain contracts and provide the full scope of services to schools. In 2020, the loss accounted for \$175,000, in 2021, \$150,000, and in 2022, \$75,000 totaling \$400,000 in lost revenue since the inception of the pandemic.

**Project Proposal**

**Applicants may choose to apply for up to 2 program areas. Please select the primary program area for which you would like to apply.**

Youth Services

**What amount of funding is being requested (Must be at least \$100,000 and should not exceed program area totals)?**

\$300,000

**Is this a new project or expansion of an existing initiative, please describe.**

4th Family is looking to expand our current before-school and after-school programming.

**Please provide an executive summary of the proposed program or project for which you are requesting funds. Include a description of the target population, as well as the goals and objectives of your proposed project (500 words or less).**

Since its inception, 4th Family has prioritized the development of inner-city youth and adults who are at risk of violence, economic insecurity, and the widening achievement gap. 4th Family supports students at risk of falling below or who consistently fall below the 80th percentile as documented in their classroom discipline data and through classroom observation. The requested funding will support an expansion of 4th Family's before-school and after-school programming to provide academic, interpersonal, and recreational opportunities to youth and families. Currently, 4th Family has more than 200 participants enrolled in programming and is slated to support 200 new participants through a partnership with KIPP Charter School beginning Q4 of 2024. Through our current programming, we increase student attendance, and academic performance, improve student behavior, increase student literacy, and remediate the long-term implications for the prospects of inner-city Black and Brown students in the City of Albany, the broader Capital Region, and with support, throughout Albany County. 4th Family's target population is comprised of the inner-city Black, Brown and Latinx youth and adults who comprise approximately 25% of the City of Albany's population and anticipates growth and support of the 30% of Black, Brown, and Latinx individuals that call Albany County home.

4th Family provides students and families with a safe place to engage in extracurricular activities, recreate and develop their social-emotional capabilities while providing STEM enrichment and training.

Our afterschool program activities provide youth and families with opportunities to engage in extended learning and enrichment programs geared towards the provision of mentorship, social/emotional support and STEM exposure. Before-school and after-school sessions focus on character building and development teachings including:

- Taking responsibility for ones' actions
- Developing personal mission statements
- Learning effective time-management strategies
- Developing a positive mindset, integrity, and maturity.
- Active Listening and effective communication skills
- Applying oneself using lessons learned from school and life
- Understanding and development of self-advocacy capabilities
- Completing tasks
- Expressing oneself in a positive manner

Our afterschool program activities also include a unique STEM sports science application opportunity:

A. Building a Jump Plate to test plyometric movement through the vertical jump

B. Introduce student-athletes to the 4th Family Basketball Shooting app to create their own heat maps.

C. Court Science Academy with Siena and UAlbany Basketball players. Students select their favorite player and learn to evaluate them using sport science and analytics. This includes biomechanics, physiology, and statistics. The students will learn how to use science and engineering to build tools to analyze performance. Through this program, students learn about the physiological principles that underpin the attribute(s) they have selected culminating in a student-developed equipment build-out to measure the selected attribute. Upon completion, student create posters and present their projects to the Siena & UAlbany Men's Basketball teams.

Through the 4th Family STEM sports science program students cover a myriad of academic topics including data collection, data analysis and graphing, fractions, decimals and percentages, the experimental method, functions and algebra, and human physiology.

**Please explain why this program or project is needed within the County.**

While the majority of 4th Family participants reside and attend school in the City of Albany, our programming and the lessons and skills learned by students are then shared with their peers, parents, and community creating a ripple effect. The granting of the requested funding award will assist with addressing the achievement gap in education, opportunity and workforce development in Albany County. For students, increased funding will translate into expanded educational resources, personalized support, and enhanced skill-building opportunities, narrowing the achievement gap and improving academic outcomes. Parents will witness a more robust educational environment for their children, with increased support systems and a brighter outlook for future opportunities. Educators and administrators will gain additional resources to implement effective teaching strategies, address disruptive behavior through targeted intervention, and provide specialized instruction to foster more engaging and impactful learning environments. As a result, the community will experience a positive impact on development, as a well-educated and skilled workforce contributes to economic growth. Workforce development and preparation efforts will be amplified, aligning with the needs of local industries, and creating a more competitive and resilient workforce in Albany County.

**All funded activities must meet the objective of responding to the public health and negative economic impact of the pandemic. Please describe how your proposal will help address this objective and assist with recovery (250 words or less).**

The COVID-19 pandemic amplified social vulnerability exposing the weakened social safety nets that exist nationally and locally. The impacts of the pandemic had significant impacts on county-wide mental health including the prevalence of homelessness, public substance use, and concentrated violence throughout Albany County. Our

youth, adults, and seniors continue to bear the brunt of the effects of remote work, distanced and remote learning, and lack of wrap-around support services and programming. 4th Family's before-school and after-school programming serves as a mechanism for addressing the mental health, food insecurity, mentorship, workforce development, and violence prevention challenges and deficits that presently exist within low-income and Black and Brown neighborhoods. 4th Family has had unique success with engaging and supporting students and families who have been kicked out of other programs – a skillset that is attributed to our unique programmatic approach and commitment to meeting people where they are. Achieving pre-pandemic levels of literacy, academic participation, employment, and sustainable growth requires collaborative efforts to mobilize the available workforce to create skilled laborers to develop the infrastructure required for industries such as chip manufacturing, offshore wind, and green jobs. 4th Family's programming is supportive, preventative, and corrective for the most vulnerable populations. As a result, 4th Family is uniquely poised to support Albany County's workforce development & growth, mental health assistance, and violence prevention efforts by preparing and supporting our youth with the life skills necessary to succeed and make positive contributions to our community and society.

**If an award is made, describe how Albany County stakeholders will benefit. Where possible, please use measurable outcomes to quantify impact.**

Over the course of the last 6 years, 4th Family has expanded programming from one school and 30 students to 7 schools and 215 student participants. After joining 4th Family, students experience a positive correlation between program participation and improvement in their NYS ELA scores, fewer referrals, and behavior issues all while assisting youth with the development of STEM skills in preparation for the jobs of the future. Through our programming, we aim to improve individual ELA test scores by 5% and reduce the frequency of referrals and behavior issues by 15%.

Additionally, Mentorship 4th Family program directors and mentors are of the community in which we serve and are passionate about supporting our young people. Our programs provide a structured platform that allows them, in a ratio of 1 per 8 students, to direct the attention of students to specific thematic behaviors: Desired Youth Outcomes (to be evaluated with a questionnaire administered before and after the program):

- Increased enjoyment of using STEM outside of school
- Increased interest in pursuing STEM careers in the future
- Experience performing self-motivated experiments with the assistance of STEM/sport professionals
- Individualized mentoring from STEM professionals and college students
- Exposure to authentic applications of STEM within basketball as a method for player development
- Increased interest in STEM careers

**Please describe your organization's ability to implement the project you propose including organizational structure, staff members involved, a summary of similar initiatives you have undertaken and the date they were completed:**

Our organization is well-suited to deliver sustainable and impactful programming due to our organizational and staffing structure.

4th Family Inc. was cofounded by Chief Development Officer, Jahkeen Hoke, and Chief Program Officer, John Scott. The Chief Development Officer is responsible for managing 2 contractors and 4 volunteers, whereas the Chief Program Officer is responsible for managing day-to-day operations of 4th Family. The Chief Development Officer's responsibilities include oversight of all regulatory, administrative, accounting, contracting, and organizational expansion initiatives. Chief Program Officer Scott manages 3 full-time direct reports, including 3 part-time employees, and 15 volunteers to deliver 4th Family's robust services. The Chief Program Officer is responsible for managing relationships and partnerships with academic institutions, athletic organizations, and program implementation. Since its inception in 2011, 4th Family has increased its staffing capacity from 2 to 6.

Over the past 6 years of launching this program in partnership with ACSO, we have been able to serve students in the worst social-economic position within our County. Our programs have increased in scale and demand as the need for structured tutoring and mentorship has increased over the years. In 2023 planning to expand in to the KIPPP Charter schools starting Q1 2024 – the KIPP Partnership will increase program participation by serving an additional 200 students bringing Year 6 program participation totals to more than 400 students.

Year 1(18-19): 30 Students @1 School  
Year 2 (19-20): 50 Students @2 Schools  
Year 3 (20-21): 80 Students @3 Schools  
Year 4 (21-22): 120 Students @5 Schools  
Year 5 (22-23): 160 Students @6 Schools  
Year 6 (23-24): 215 Students @7 Schools

4th Family's programming consists of extended learning and enrichment programs geared towards the provision of

mentorship, social/emotional support and STEM exposure. The before-school and after-school sessions focus on character building and development teachings including:

- Taking responsibility for ones' actions
- Developing personal mission statements
- Learning effective time-management strategies
- Developing a positive mindset, integrity, and maturity.
- Active Listening and effective communication skills
- Applying oneself using lessons learned from school and life
- Understanding and development of self-advocacy capabilities
- Completing tasks
- Expressing oneself in a positive manner

Additionally, 4th Family provides participants with a Science and Engineering of Athletic Performance Curriculum to introduce youth to STEM as a training tool for athletic improvement. By combining student interest in basketball with the sport-science aspect of training and athletic testing, 4th Family has successfully motivated students to achieve academic success due to their newfound appreciation for the role and application of STEM in sports. The STEM curriculum combined with the Family Mentoring Program, 4th Family supports students at risk of falling below, or who consistently fall below the 80th percentile as documented in their classroom discipline data and through classroom observation. 4th Family has a proven track record of providing students and families with a safe place to engage in extracurricular activities, recreate, and develop their social-emotional capabilities while providing STEM enrichment and training.

Our afterschool program activities also include a unique STEM sports science application opportunity:

A. Building a Jump Plate to test plyometric movement through the vertical jump

B. Introduce student-athletes to the 4th Family Basketball Shooting app to create their own heat maps.

C. Court Science Academy with Siena and UAlbany Basketball players. Students select their favorite player and learn to evaluate them using sport science and analytics. This includes biomechanics, physiology, and statistics. The students will learn how to use science and engineering to build tools to analyze performance. Through this program, students learn about the physiological principles that underpin the attribute(s) they have selected culminating in a student-developed equipment build-out to measure the selected attribute. Upon completion, student create posters and present their projects to the Siena & UAlbany Men's Basketball teams.

Through the 4th Family STEM sports science program students cover a myriad of academic topics including data collection, data analysis and graphing, fractions, decimals and percentages, the experimental method, functions and algebra, and human physiology.

#### **How will you track performance goals and define success? Include at least two key performance indicators and expected annual outcomes/impact?**

4th Family Inc. will track outcomes by collecting data from our target populations: students, parents and teachers. Through the use of constant survey feedback mechanisms, communication and collaboration with school administrators, teachers, and staff, 4th Family assesses programmatic impact by measuring the data against the foundational five pillars.

Upon enrollment in 4th Family programming, students will be asked to complete a baseline social-emotional learning (SEL) assessment to determine skills, competencies, supports, environment, and well-being. Additionally, teachers and staff shall be asked to complete an adult social-emotional learning assessment to determine their perspectives on their professional well-being, the work environment, and their capacity to support student development. In providing students, teachers, and staff alike with an SEL, 4th Family can determine each individual's capacity and development needs which will then serve as the foundation for determining individualized goals that are alignment with the following qualitative and quantitative performance goals.

The qualitative performance goals and outcomes of the project include:

- o Recognizing & meeting the needs of our district's schools that receive our services.
- o Identifying the ways to support both teachers and students with programming.
- o Students realize personal ideas for self-identity, development, and agency.
- o Augmenting access to STEM careers for at-risk, underserved African-American, Hispanic, and Latinx youth.
- o Increasing access to STEM careers for students with exceptionalities & disabilities.
- o Economically disadvantaged students are engaged & receive services weekly.
- o Local & state-wide recidivism & absentee rates decrease
- o Leveraging youth's love of sports to activate overlooked aptitudes in pursuit of career opportunities.

The quantitative performance goals of the project include increases in:

- o The number of students advancing their skills in science, technology, engineering, and math.

- o The number of students & families exposed to financial literacy techniques & strategies.
- o The number of families who feel equipped & empowered to aid their children academically.
- o The number of families who gain knowledge about supporting their children's cognitive development.
- o The number of K-12 students attending local & national STEM events
- o The number of high school and college students who are equipped and empowered to be peer mentors

Program participants will be asked to complete bi-annual surveys and assessments, once at the beginning of the year, and again at the end of the calendar school year to measure improvement, growth, and development opportunities.

**Project start date:**

02/15/2024

**Project End date:**

12/31/2025

**Please provide key project milestones inclusive of the anticipated timelines and descriptions**

| Milestone                     | Anticipated Timeline | Task Description   |
|-------------------------------|----------------------|--|
| Administer Pre-Program Survey | February-March 2024  | Administer pre-program social emotional learning survey to all student participants. |
| Post-Program Surve            | May-June 2024        | Administer post-program survey to all student participants                           |
| Summer Program Surveying      | June-July 2024       | Administer pre-summer program social-emotional survey                                |
| STEM Survey                   | February-March 2024  | Administer STEM survey   |
| STEM Survey                   | June-July 2024       | Administer STEM survey   |

**At the top of this page is a downloadable project budget. Please download the budget form and upload it here.**

- [4TH-FAMILY-ALBANY-COUNTY-ARPA-BUDGET.pdf](#)

**If you are funding a multi-year initiative, please provide a breakdown of funding level per year below:**

| 2024      | 2025      | 2026 |
|-----------|-----------|------|
| \$150,000 | \$150,000 |      |

**Use this space to provide a narrative supporting and clarify anything from the proposed project budget above. In addition, if "Other Funds" or "Other Uses" are included above, please specify the source(s) below.**

The "other uses category" reflects outside funding from our contract with the Albany City School District contract and the philanthropic support we receive from the Carl E. Touhey Foundation to support programming.

**Explain why ARPA funds are needed to complete the proposed program/project. Would the proposal be possible without ARPA assistance? Describe how your proposal would be impacted if you are awarded a smaller grant than requested?**

The COVID-19 pandemic resulted in the loss of \$300,000 in revenue for 4th Family. Over the last two years, 4th Family has worked diligently to recoup the lost funds and achieve its pre-pandemic programmatic output and revenue levels. While 4th Family has steadily grown its presence, impact, and funding sources, the negative fiscal impacts of the pandemic hinder our ability to expand and enhance our program and service delivery, and our ability to scale impact.

4th Family's partners include the Albany City School District and the Carl E. Touhey Foundation who have supported the work of 4th Family since our inception. The ARPA funding is crucial to scaling our impact, expanding the

available program managers, and supporting additional youth and families with the social-emotional and STEM development they need.

Should 4th Family receive an award smaller than the one requested, our ability to scale our programming would be hindered, requiring 4th Family to seek funding from other public and private sources.

**ARPA funds must be expended by December 31, 2026. Please describe how your project will be sustained if it will continue beyond this date.**

The project will be sustained through contracts with the Albany City School District, KIPP Charter Schools, and philanthropic support from the Carl E. Touhey Foundation.

Additionally, 4th Family maintains national partnerships with organizations such as the NBA Summer League, NFL, and Microsoft who provide programmatic funding support.

**Please list any matching funds:**

Contracts with the Albany City School District in the amount of \$165,000 and grants from the Carl Touhey Foundation in the amount of \$150,000.

**Tax Information or Additional Information**

**Please upload Federal tax returns for tax year 2019**

- [4th-Family-2019-AMENDED-2.pdf](#)

**Please upload Federal tax returns for tax year 2020**

- [4th-Family-Inc-2020-Client-1-1.pdf](#)

**Please upload Federal tax returns for tax year 2021**

- [4th-Family-2021-Client-1.pdf](#)

**Please upload Federal tax returns for tax year 2022**

- [4th-Family-Inc.-2022-Tax-Return.pdf](#)

**Please upload IRS Form W-9**

- [4F\\_fw9\\_24.pdf](#)

**Risk Assessment:**

**Has the organization adopted and/or implemented policies relating to: records retention, conflict of interest, code of ethics, and/or nondiscrimination policies**

Yes

**If you selected "Yes" above, please specify:**

4th Family Inc. maintains all of the policies referenced above and the documents required by the NYS Charities Bureau

**Is the organization properly insured?**

Yes

**If you selected "Yes" above, please specify the types of insurance held and the limits:**

NYS Insurance Fund: Workmans Comp & Disability Council on Non-Profits: General Umbrella & Liability, 1M coverage Children/Daycare provision Council on Non-Profits: Board & Executive Insurance

**Does the organization have a financial management system?**

Yes

**If you selected "Yes" above, please specify:**

4th Family Inc utilizes Quickbooks & Bookkeeping services from Wojeski & Company CPA's, PC

**Has there been any change in your organization's key staffing positions in the last 2 years?**

Yes

**If you selected "Yes" above, please specify:**

Yes, John Scott (Chief Program Officer) & Jahkeen Hoke (Chief Development Officer) have joined within the past 2 years as full time employees working to scale service offering and programming into more schools and locations throughout the County. We have hired Jamel Edwards as the head of Operations and Program Logistics to assist with maintaining all program sites and activities. Brandon Lindsay (Chief Partnership Officer) has joined the leadership in limited capacity as we begin to focus on growing programs and offerings.

**Has the organization previously done work for the Federal government (i.e. Is the entity experienced in managing Federal funds)?**

No

**Certifications**

**Acknowledgment 1**

I Have Read and Understand the U.S. Treasury's Compliance and Reporting Guidance for State and Local Fiscal Recovery Funds (see below link)  
U.S. Treasury's Compliance and Reporting Guidance for State and Local Fiscal Recovery Funds:  
<https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf>

**Acknowledgment 2**

Should the County Allocate ARPA Funds, I am Able to and Pledge to Adhere to ALL Compliance and Reporting Requirements of the U.S. Treasury as it relates to any State and Local Fiscal Recovery Funds

**Acknowledgment 3**

I Understand the County Will Contact me if/when Additional Information is Needed and that Information will be Promptly Provided to the County to Support Reporting Requirements

**Acknowledgment 4**

If for Any Reason I am Unable to Comply with the U.S. Treasury's Compliance and Reporting Requirements I will Immediately Notify the County in writing by email or letter

**Acknowledgment 5**

All Information Submitted in this Application is True & Accurate

**Electronic Signature Agreement**

I agree  
By checking the "I agree" box, you agree and acknowledge that 1) your application will not be signed in the sense of a traditional paper document, 2) by signing in this alternate manner, you authorize your electronic signature to be valid and binding upon you to the same force and effect as a handwritten signature, and 3) you may still be required to provide a traditional signature at a later date.

**Type name**

Jahkeen Hoke