

Summary of Subawards

Overall Summary

	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>
Case management: Catholic Charities			
Care Coordination Services	121,291.10	167,988.91	172,970.70
Project management: Trinity Alliance	54,656.00	56,156	56,668
Evaluation: SUNY Research Foundation	79,826.60	82,425	84,144
Total	255,773.70	306,569.91	313,782.70

Summary by agency

Case Management: Catholic Charities

	<u>Year 1</u>	<u>Year 2</u>	<u>Year 3</u>
Personnel	84,965.10	118,320.75	121,621.90
Fringe benefits	33,986.00	47,328.16	48,648.80
Travel	2,340.00	2,340.00	2,700.00
Total	121,291.10	167,988.91	172,970.70

Project Management: Trinity Alliance

Personnel	37,000	37,500	38,000
Fringe benefits	14,000	15,000	15,000
Travel	3,656	3,656	3,668
Total	54,656	56,156	56,668

Evaluation: SUNY Research Foundation

Personnel	50,951	51,970	53,010
Fringe benefits	9,069	10,004	10,256
Total Direct Costs	60,020	61,974	63,266
Indirect Costs	19,807	20,451	20,878
Total	79,827.00	82,425.00	84,144

Total, Years 1-3

462,250.71
167,480.00
246,395.60
876,126.31

Total, Years 1-3

324,907.75
129,962.96
7,380.00
462,250.71

112,500
44,000
10,980
167,480

155,931
29,329
185,260
61,136
246,396.00

Exhibit for numbers check: subawards plus \$ not subawarded

Item	3-yr total
<i>Data analyst, Albany County</i>	<i>30,240.00</i>
<i>Clinical social worker</i>	<i>184,000.00</i>
<u><i>Psychiatric services</i></u>	<u><i>\$172,000</i></u>
Total not subawarded	386,240.00
Total subawards	876,126.31
<u>Total grant calculation</u>	1,262,366.31
variance from previous	1,718.16

Budget Detail - Year 1

Personnel										
Name	Position	Salary	Rate	Time Worked	Percent of Time	Total Cost		Non-Fed Contrib	Federal Request	check calc
tbd	Case manager	43,054	yearly	1	70%	30,137.80	ignore	9,041.34	21,096.46	30,137.80
tbd	Case manager	43,054	yearly	0.5	35%	15,068.90	figures in	4,520.67	10,548.23	15,068.90
tbd	Case mgt. supervisor	53,024	yearly	0.5	35%	18,558.40	columns I and J	5,567.52	12,990.88	18,558.40
tbd	Case mgt. data analyst	53,000	yearly	1	40%	21,200				-
tbd						-				
tbd	Project manager	74,000	yearly	1	50%	37,000		0	37,000.0	37,000.00
tbd						-				
tbd	Data analyst (Albany County)	60,000	yearly	1	12%	7,200		0	7,200.00	
Totals						129,165.10		19,129.53	88,835.57	

Personnel narrative

With expected expansion of Albany LEAD to two or more new jurisdictions, funding will be needed for additional case management capacity. The planned funding would support 1.5 case managers and a .5 case management supervisor starting in the first year of the grant period, with additional staffing to be added during the second year. Case managers play the central operational role in Albany LEAD, working with clients to identify needs and help them access benefits, housing, treatment and other services. Proposed federal funding for case managers and case management supervisor covers 70% of costs, with the remainder expected to be supported by funding from New York State. "Percent of time" for half-time case manager and half-time case management supervisor reflects 70% of half-time cost (35% of total cost). Case management staffing reflects average caseload of 25 clients per case manager. As part of an initiative to enhance data analysis across Albany LEAD, funding will also be provided to the case management agency to support part of the increasing costs of its data collection and reporting. Work required for performance measurement will be included in this position.

Expansion to additional jurisdictions will also require additional project management capacity. Project managers provide overall leadership with regard to administration, coordination of stakeholders, oversight of case management, and relations with the community and elected officials. This position is expected to be half-time, reflecting population in expansion jurisdictions.

Albany County plays a major role in Albany LEAD through multiple offices including the departments of Mental Health, Probation, Social Services, Public Defender and Alternate Defender as well as those of the elected County Executive, District Attorney and Sheriff. The County data analytics staff is expected to provide new internal analytical support for Albany LEAD as part of overall enhancement of data collection/analysis/reporting and performance measurement.

Fringe benefits

Name/Position	Base	Rate	Total Cost
Case manager	30,138	40%	12,055.20
Case manager	15,069	40%	6,027.60
Case mgt. supervisor	18,558	40%	7,423.20
Case mgt. data analyst	21,200	40%	8,480.00
Project manager	35,000	40%	14,000.00
Data analyst (Albany County)	7,200	40%	2,880.00
Totals			50,866.00

Fringe benefits narrative

Fringe costs reflect expenses incurred by the employer for the benefit of its employees, including Social Security, workers' compensation, health insurance, unemployment insurance, paid time off and others.

Travel

Purpose	Location	Type of Expense	Basis	Cost	Quantity	# of Staff	# of trips	Total Cost
Case manager travel	Albany County	Local travel	Mile		130	1	1.5	2,340
Mandatory national meeting	Washington DC	Transportation	Round-trip		400	1	2	800
Mandatory national meeting	Washington DC	Lodging	Night		200	3	2	1,200
Mandatory national meeting	Washington DC	Meals	Day		76	3	2	456
Annual LEAD planning conference	Albany NY	Transportation	Round-trip		400	1	3	1,200
Total Travel								5,996

Travel narrative

Case managers' duties include local travel to meet with clients and to transport them to meetings with providers, appointments related to public benefits and others. It is expected that the project manager and one other employee (to be determined) will travel to the mandatory, annual OJP conference. Budgeted expenses include airfare between Albany NY and Washington DC, lodging and meals (the latter based on GSA estimates).

Albany LEAD plans an annual conference of stakeholders and national experts in LEAD and other initiatives that address the overdose crisis, particularly as it relates to the role of the criminal legal system and reforms that may be made to address racial inequities. Funding would cover transportation costs for outside speakers to attend and participate in the conference.

Equipment - none

Supplies - none

Construction - none

Subawards (Direct Costs)

Dr. Tomoko Udo, SUNY Research Foundation	Description	Country	State	City	Total Direct Cost
	Evaluation	US	NY	Albany	60,020

Detailed budget provided in "Additional attachments"

Additional Narrative:

Dr. Tomoko Udo and her team will conduct an extensive three-year program of evaluation including creating a deidentified database for all LEAD client data (police, case management, service utilization); performing qualitative studies to identify barriers to client and program success; assess program acceptability and performance from the client and community perspective; evaluating whether the program has led to the outcomes outlined in the logic model; compiling cost data to assess cost-effectiveness; and producing semi-annual reports on LEAD performance and effectiveness, including voices from the community as available. Funding will support work of the principal investigator, a graduate research assistant at the doctoral level and a graduate research assistant at the master's level. A detailed budget is provided in "Additional attachments."

Indirect costs	Description	Base	Indirect Cost Rate	Total Cost
	Evaluation - SUNY RF - Dr. Tor	60,020	33%	19,807

Total costs, year 1265,853.70

Total costs for 3 years	
Year 1	265,853.70
Year 2	491,650.33
Year 3	503,144.12
TOTAL 3 YEARS	1,260,648.15

Total proposal	1,260,648
to be distributed	(0)

Summary of Y1 Subawards:	
Case management (CCCCS)	121,291.10
Project management (Trinity)	54,656.00
SUNY RF	79,827
Total subawards, Year 1	255,773.70
Amount not subawarded (check m	11,280.00
Total (should match B90 or B94)	267,053.70

Budget Detail - Year 2

Personnel

Name	Position	Salary	Rate	Time Worked	Percent of Time	Total Cost	Non-Fed Contrib	Federal Request	check calc
tbd	Case manager	44,346	yearly	1	70%	31,042.20	9,312.66	21,729.54	#####
tbd	Case manager	44,346	yearly	1	70%	31,042.20	9,312.66	21,729.54	#####
tbd	Case manager	44,346	yearly	1	35%	15,521.10	4,656.33	10,864.77	#####
tbd	Case mgt. supervisor	54,615	yearly	1	35%	19,115	5,734.58	13,380.68	-
tbd	Case mgt. data analyst	54,000	yearly	1	40%	21,600			#####
tbd	Project manager	75,000	yearly	1	50%	37,500	0	37,500.0	
tbd	Clinical social worker	65,000	yearly	1	100%	65,000	0	65000	
tbd	Data analyst (Albany County)	60,000	yearly	1	12%	7,200	0	7,200.00	
Totals						228,020.75	29,016.23	177,404.53	

Personnel narrative

Funding for additional case management capacity would increase during the second year to include a second full-time case manager in addition to the 1.5 case managers and a .5 case management supervisor who will start in the first year of the grant period. Salaries reflect a cost-of-living adjustment from Year 1. Case managers play a central operational role in Albany LEAD, working with clients to identify needs and help them access benefits, housing, treatment and other services. For the three case manager positions and the case management supervisor, proposed funding covers 70% of costs, with the remainder expected to be supported by funding from New York State or other sources.

The project manager serving expansion jurisdictions continues in the second year. The salary reflects a cost-of-living adjustment from Year 1. This position is expected to be half-time given the relatively smaller population in expansion jurisdictions.

The proposal envisions creation of new, street-based clinical capacity within the Albany County Department of Mental Health starting in the second year of the grant period. Designed in the context of Sequential Intercept Model analysis, this initiative would serve LEAD clients with substance use/co-occurring disorders, in response to longstanding gaps in such services. It would particularly target individuals whose connections to mental health and substance use services are weak due in part to barriers they face engaging with traditional treatment settings. Preliminarily, Albany LEAD envisions a street-based mental health clinician who could link individuals to a prescriber on an as-needed basis (see "Psychiatric services" in Procurement Contracts section of this proposal). In addition to directly addressing critical, unmet treatment needs, this innovative approach would support other important outcomes. It would bo clients' ability to qualify for housing, cash assistance and other benefits for which a clinical diagnosis or treatment are required. For clients in Drug Court or other specialized courts, stronger linkage to clinical care could reduce the risk of jail or other criminal legal penalties. Reliance on emergency services would likely be reduced as well.

Fringe benefits

Name/Position	Base	Rate	Total Cost	Non-Fed Cont.	Federal Request
Case manager full-time	31,042	40%	12,416.88		12,416.88
Case manager full-time	31,042	40%	12,416.88		12,416.88
Case manager half-time	15,521	40%	6,208.40		6,208.40
Case mgt. supervisor	19,115	40%	7,646.00		
Case mgt. data analyst	21,600	40%	8,640.00		
Project manager	37,500	40%	15,000.00		15,000.00
Clinical social worker	65,000	40%	26,000.00		26,000.00
Data analyst (Albany County)	7,200	40%	2,880.00		2,880.00
Totals				-	74,922.16

Fringe benefits narrative

Fringe costs reflect expenses incurred by the employer for the benefit of its employees, including Social Security, workers' compensation, health insurance, unemployment insurance, paid time off and others.

Travel										
Purpose	Location	Type of Expense	Basis	Cost	Quantity	# of Staff	# of trips	Total Cost	Non-Fed Cont	Federal Request
Case manager travel	Albany County	Local travel	Mile		130	1	1.5	12	2340	
Mandatory national meeting	Washington DC	Transportation	Round-trip		400	1	2	1	800	
Mandatory national meeting	Washington DC	Lodging	Night		200	3	2	1	1,200	
Mandatory national meeting	Washington DC	Meals	Day		76	3	2	1	456	
Annual LEAD planning conference	Albany NY	Transportation	Round-trip		400	1	3	1	1,200	

Total Travel5,996

Travel narrative

Case managers' duties include local travel to meet with clients and to transport them to meetings with providers, appointments related to public benefits and others. It is expected that the project manager and one other employee (to be determined) will travel to the mandatory, annual OJP conference. Budgeted expenses include airfare between Albany NY and Washington DC, lodging and meals (the latter based on GSA estimates). Albany LEAD plans an annual conference of stakeholders and national experts in LEAD and other initiatives that address the overdose crisis, particularly as it relates to the role of the criminal legal system and reforms that may be made to address racial inequities. Funding would cover transportation costs for outside speakers to attend and participate in the conference.

Construction - none

Subawards (Direct cost)

Dr. Tomoko Udo,
SUNY Research Foundation

Description	Country	State	City	Total Direct Cost
Evaluation	US	NY	Albany	61,974
Detailed budget provided in "Additional attachments"				
Additional Narrative:				
Dr. Tomoko Udo and her team will conduct an extensive three-year program of evaluation including creating a deidentified database for all LEAD client data (police, case management, service utilization); performing qualitative studies to identify barriers to client and program success; assessing program acceptability and performance from the client and community perspective; evaluating whether the program has led to the outcomes outlined in the logic model; compiling cost data to assess cost-effectiveness; and producing semi-annual reports on LEAD performance and effectiveness, including voices from the community as available. Funding will support work of the principal investigator, a graduate research assistant at the doctoral level and a graduate research assistant at the master's level. A detailed budget is provided in "Additional attachments."				

Indirect costs

Description	Base	Indirect Cost Rate	Total Cost
Evaluation - Dr. Tomoko Udo	61,974	33%	20,451

Procurement contracts

Item

Psychiatric services	Description	Consultant	Country	State	City	Total Cost
	New, street-based clinical sup tbd		US	NY	Albany	\$84,000

Total costs, year 2491,650.33

Summary of Y2 Subawards:

Case management (CCCCS)	167,988.91
Project management (Trinity)	56,156.00
SUNY RF	82,425
Total subawards, Year 1	306,570.33
<i>Amount not subawarded (check math)</i>	<i>185,080.00</i>
<i>Total (should match B90 or B94)</i>	<i>491,650.33</i>

Budget Detail - Year 3

Personnel

Name	Position	Salary	Rate	Time Worked	Percent of Time	Total Cost	Non-Fed Contrib
tbd	Case manager	45,676	yearly	1	70%	31,973.20	9,591.96
tbd	Case manager	45,676	yearly	1	70%	31,973.20	9,591.96
tbd	Case manager	45,676	yearly	1	35%	15,986.60	4,795.98
tbd	Case mgt. supervisor	56,254	yearly	1	35%	19,689	5,906.67
tbd	Case mgt. data analyst	55,000	yearly	1	40%	22,000	
tbd	Project manager	76,000	yearly	1	50%	38,000	0
tbd	Clinical social worker	67,000	yearly	1	100%	67,000	0
tbd	Data analyst (Albany County)	60,000	yearly	1	12%	7,200	0
Totals						233,821.90	29,886.57

Personnel narrative

Funding for additional case management capacity would increase during the second and third years to include a second full-time case manager in addition to the 1.5 case managers and a .5 case management supervisor who will start in the first year of the grant period. Salaries reflect yearly cost-of-living adjustments. Case managers play a central operational role in Albany LEAD, working with clients to identify needs and help them access benefits, housing, treatment and other services. For the three case manager positions and the case management supervisor, proposed funding covers 70% of costs, with the remainder expected to be supported by funding from New

The project manager serving expansion jurisdictions continues in the third year. The salary reflects a cost-of-living adjustment from Year 2. This position is expected to be half-time given the relatively smaller population in expansion jurisdictions.

The proposal envisions creation of new, street-based clinical capacity within the Albany County Department of Mental Health starting in the second year of the grant period. Designed in the context of Sequential Intercept Model analysis, this initiative would serve LEAD clients with substance use/co-occurring disorders, in response to longstanding gaps in such services. It would particularly target individuals whose connections to mental health and substance use services are weak due in part to barriers they face engaging with traditional treatment settings. Preliminarily, Albany LEAD envisions a street-based mental health clinician who could link individuals to a prescriber on an as-needed basis (see "Psychiatric services" in Procurement Contracts section of this proposal). In addition to directly addressing critical, unmet treatment needs, this innovative approach would also enhance clients' ability to qualify for housing, cash assistance and other benefits for which a clinical diagnosis or treatment are required. For clients in Drug Court or other specialized courts, stronger linkage to clinical care could reduce the risk of jail or other criminal legal penalties. Reliance on emergency services would likely be reduced as well.

Fringe benefits

Name/Position	Base	Rate	Total Cost	Non-Fed Cont.	Federal Request
Case manager full-time	31,973	40%	12,789.20		12,789.20
Case manager full-time	31,973	40%	12,789.20		12,789.20
Case manager half-time	15,987	40%	6,394.80		6,394.80
Case mgt. supervisor	19,689	40%	7,875.60		
Case mgt. data analyst	22,000	40%	8,800.00		
Project manager	37,500	40%	15,000.00		15,000.00
Clinical social worker	65,000	40%	26,000.00		26,000.00
Data analyst (Albany County)	7,200	40%	2,880.00		2,880.00
Totals			92,528.80	-	75,853.20

Fringe benefits narrative

Fringe costs reflect expenses incurred by the employer for the benefit of its employees, including Social Security, workers' compensation, health insurance, unemployment insurance, paid time off and others.

Travel

Purpose	Location	Type of Expens	Basis	Cost	Quantity	# of Staff	# of trips	Total Cost
Case manager travel	Albany County	Local travel	Mile		150	1	1.5	2700
Mandatory national meeting	Washington DC	Transportation	Round-trip		400	1	2	800
Mandatory national meeting	Washington DC	Lodging	Night		200	3	2	1,200
Mandatory national meeting	Washington DC	Meals	Day		78	3	2	468
Annual LEAD planning confere	Albany NY	Transportation	Round-trip		400	1	3	1,200