

STATE OF NEW YORK CONTRACT FOR GRANTS FACE PAGE

<p>STATE AGENCY (Name & Address): NYS Office of Indigent Legal Services A. E. Smith Building, 11th Floor 80 South Swan Street Albany, NY 12210</p>	<p>BUSINESS UNIT/DEPT. ID: OLS01 - 1350200 CONTRACT NUMBER: C2NDUFD01 CONTRACT TYPE (select one): <input checked="" type="checkbox"/> Multi-Year Agreement <input type="checkbox"/> Simplified Renewal Agreement <input type="checkbox"/> Fixed Term Agreement</p>
<p>CONTRACTOR NAME: Albany, County of</p>	<p>TRANSACTION TYPE: <input type="checkbox"/> New <input type="checkbox"/> Renewal (list periods): <input checked="" type="checkbox"/> Amendment (list periods):</p>
<p>CONTRACTOR IDENTIFICATION NUMBERS: NYS Vendor ID Number: 1000002428 Federal Tax ID Number: 14-6002563</p>	<p>PROJECT NAME: SECOND UPSTATE FAMILY DEFENSE (CHILD WELFARE) QUALITY IMPROVEMENT AND CASELOAD REDUCTION ASSISTANCE LISTINGS (formerly CFDA) NUMBER (ALN) (Federally Funded Grants Only):</p>
<p>CONTRACTOR PRIMARY MAILING ADDRESS: County of Albany Public Defender's Office 112 State Street, 2nd Floor Albany, NY 12207</p> <p>CONTRACTOR PAYMENT ADDRESS: <input type="checkbox"/> Check if same as primary mailing address</p> <p>Albany County Dept. of Management and Budget 112 State Street, Room 1200 Albany, NY 12207</p> <p>CONTRACT MAILING ADDRESS: <input checked="" type="checkbox"/> Check if same as primary mailing address</p> <p>CONTRACTOR PRIMARY E-MAIL ADDRESS: Daniel.McCoy@albanycountyny.gov</p>	<p>CONTRACTOR STATUS: <input type="checkbox"/> For Profit <input checked="" type="checkbox"/> Municipality <input type="checkbox"/> Tribal Nation <input type="checkbox"/> Individual <input type="checkbox"/> Not-for-Profit</p> <p>Charities Registration Number: Exemption Status/Code: 10100000000</p> <p><input type="checkbox"/> Sectarian Entity</p>

STATE OF NEW YORK CONTRACT FOR GRANTS FACE PAGE

<p>CURRENT CONTRACT TERM:</p> <p>From: January 1, 2023 To: December 31, 2025</p> <p>AMENDED TERM:</p> <p>From: January 1, 2023 To: December 31, 2028</p>	<p>CONTRACT FUNDING AMOUNT <i>(Fixed Term - enter current period amount; Simplified Renewal - enter cumulative amount to date; Multi-year - enter total projected amount of the contract):</i></p> <p>CURRENT: \$ 750,000.00</p> <p>AMENDED: \$ 4,500,000.00</p> <p>FUNDING SOURCE(S)</p> <p><input checked="" type="checkbox"/> State <input type="checkbox"/> Federal <input type="checkbox"/> Other</p>
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ATTACHMENTS INCLUDED AS PART OF THIS AGREEMENT (select all that apply):

Appendix A

Attachment A:

Attachment B:

Attachment C: Work Plan

Attachment D: Payment and Reporting

Other:

<input type="checkbox"/>	A-1 Agency Specific Terms and Conditions
<input type="checkbox"/>	A-2 Program Specific Terms and Conditions
<input type="checkbox"/>	A-3 Federally Funded Grants and Requirements Mandated by Federal Laws
<input type="checkbox"/>	B-1 Expenditure Based Budget
<input type="checkbox"/>	B-2 Performance Based Budget
<input type="checkbox"/>	B-3 Capital Budget
<input type="checkbox"/>	B-4 Net Deficit Budget
<input checked="" type="checkbox"/>	B-1(A) Expenditure Based Budget (Amendment)
<input type="checkbox"/>	B-2(A) Performance Based Budget (Amendment)
<input type="checkbox"/>	B-3(A) Capital Budget (Amendment)
<input type="checkbox"/>	B-4(A) Net Deficit Budget (Amendment)

IN WITNESS THEREOF, the parties hereto have executed or approved this Master Contract on the dates below their signatures.

CONTRACTOR:
Albany County

STATE AGENCY:
NYS Office of Indigent Legal Services

By: _____

Printed Name

By: _____
Patricia J. Warth
Printed Name

Title: _____

Title: Director - Office of Indigent Legal Services

Date: _____

Date: _____

STATE OF NEW YORK

County of _____

On the ___ day of _____, _____, before me personally appeared _____, to me known, who being by me duly sworn, did depose and say that he/she resides at _____, that he/she is the _____ of the _____, the contractor described herein which executed the foregoing instrument; and that he/she signed his/her name thereto as authorized by the contractor named on the face page of this Master Contract.

(Notary) _____

ATTORNEY GENERAL'S SIGNATURE

STATE COMPTROLLER'S SIGNATURE

Printed Name

Printed Name

Title: _____

Title: _____

Date: _____

Date: _____

Office of Indigent Legal Services
SECOND UPSTATE FAMILY DEFENSE (CHILD WELFARE) QUALITY
IMPROVEMENT AND CASELOAD REDUCTION

Current Contract Term: January 1, 2023 - December 31, 2025
AMENDED Contract Term: January 1, 2023 - December 31, 2028

COUNTY OF ALBANY

Current Contract Amount: \$750,000.00
Total AMENDED Contract Amount: \$4,500,000

Budget Expenditure Item	Year 1 1/1/2023 - 12/31/2023	Year 2 1/1/2024 - 12/31/2024	Year 3 1/1/2025 - 12/31/2025	Year 4 1/1/2026 - 12/31/2026	Year 5 1/1/2027 - 12/31/2027	Year 6 1/1/2028 - 12/31/2028
PUBLIC DEFENDER'S OFFICE						
Personnel:						
(FT) Assistant Public Defender IV - Salary - Assistant Public Defender VI - Salary & Fringe	\$80,000.00	\$81,600.00	\$83,232.00	\$187,425.00	\$193,048.00	\$198,840.00
(FT) Assistant Public Defender - Fringe	\$44,000.00	\$44,880.00	\$45,777.60			
(FT) Assistant Public Defender VI - Salary & Fringe				\$145,708.00	\$193,048.00	\$198,840.00
(FT) Family Court Investigator - Salary & Fringe				\$110,352.00	\$134,263.00	\$138,291.00
(FT) Family Court Caseworker - Salary & Fringe				\$94,750.00	\$118,193.00	\$121,739.00
Subtotal Personnel	\$124,000.00	\$126,480.00	\$129,009.60	\$538,235.00	\$638,552.00	\$657,710.00
Public Defender Office - Total	\$124,000.00	\$126,480.00	\$129,009.60	\$538,235.00	\$638,552.00	\$657,710.00
ALTERNATE PUBLIC DEFENDER'S OFFICE						
Personnel:						
(FT) Staff Social Worker/Caseworker - Salary & Fringe	\$65,000.00	\$66,300.00	\$67,626.00	\$110,845.65	\$110,845.65	\$110,845.65
(FT) Staff Social Worker - Fringe	\$35,750.00	\$36,465.00	\$37,194.30			
(FT) Assistant Alternate Public Defender - Salary & Fringe				\$169,089.48	\$174,162.17	\$179,387.04
Salary Increases for Existing Family Court Attorneys				\$128,339.46	\$128,339.46	\$128,339.46
Subtotal Personnel	\$100,750.00	\$102,765.00	\$104,820.30	\$408,274.59	\$413,347.28	\$418,572.15
Contractual/Consultant:						
Specialized Services				\$15,000.00	\$15,000.00	\$15,000.00
Subtotal Contractual/Consultant:				\$15,000.00	\$15,000.00	\$15,000.00
OTPS:						
Legal Reference Materials / Periodicals / Online Legal Research Services				\$3,000.00	\$3,000.00	\$3,000.00
Client Services				\$2,000.00	\$2,000.00	\$1,000.00
Subtotal OTPS:				\$5,000.00	\$5,000.00	\$4,000.00
Alternate Public Defender's Office - Total	\$100,750.00	\$102,765.00	\$104,820.30	\$428,274.59	\$433,347.28	\$437,572.15

ASSIGNED COUNSEL PLAN						
Personnel: Family Court Supervising Attorney - Salary & Fringe				\$193,750.00	\$193,750.00	\$193,750.00
Subtotal Personnel:				\$193,750.00	\$193,750.00	\$193,750.00
Contractual/Consultant: Experts/Social Workers/Investigators/ Interpreters/Forensic Evaluations/Forensic- Testing/ Other Experts Specialized Services	\$10,000.00	\$12,000.00	\$14,005.00	\$15,000.00	\$15,000.00	\$5,058.98
Subtotal Contractual/Consultant	\$10,000.00	\$12,000.00	\$14,005.00	\$15,000.00	\$15,000.00	\$5,058.98
OTPS: Trainings/CLEs/Convenings	\$6,500.10	\$8,670.00	\$11,000.00			
Subtotal OTPS	\$6,500.10	\$8,670.00	\$11,000.00			
Assigned Counsel Plan - Total	\$16,500.10	\$20,670.00	\$25,005.00	\$208,750.00	\$208,750.00	\$198,808.98
TOTAL	\$241,250.10	\$249,915.00	\$258,834.90	\$1,175,259.59	\$1,280,649.28	\$1,294,091.13
THREE-YEAR TOTAL	\$750,000.00			\$3,750,000.00		
AMENDED CONTRACT TOTAL	\$4,500,000.00					

EXPLANATION:

Because of the critical importance of this funding to improve the quality of legal representation provided to parents and adult caregivers in Family Court matters under County Law Article 18-B, and the increased State appropriations for this program, this contract is extended for three additional years with an increase of \$1 million per year, for a total of \$3,750,000 for the three-year extension (an amended contract total of \$4,500,000). The three year extension with the increased funding will be used to: 1) continue and maintain the positions and programs funded in the original term of this contract; 2) increase the number of staff in the Public Defender Office and Alternate Public Defender Office who represent clients in Family Court matters; 3) create a Family Court Supervising Attorney position for the Assigned Counsel Program; and 4) provide funding for necessary contracting/consulting services and OTPS services.

ATTACHMENT C

WORK PLAN (AMENDED)

OFFICE OF INDIGENT LEGAL SERVICES

**SECOND UPSTATE FAMILY DEFENSE (CHILD WELFARE) QUALITY
IMPROVEMENT & CASELOAD REDUCTION**

January 1, 2023 – December 31, 2025

AMENDED TERM: JANUARY 1, 2023 – DECEMBER 31, 2028

COUNTY OF ALBANY

Goal: To reduce caseloads, implement a multi-disciplinary approach to representation, and allow for timely access to counsel for clients in Family Court matters in Albany County's Public Defender Office, Alternate Public Defender Office, and Assigned Counsel Program. ~~of the Public Defender Office attorneys who represent adults in Family Court child welfare matters; for the Alternate Defender Office, to implement an interdisciplinary approach to representation in child welfare matters in Family Court; and for the Assigned Counsel Program to enhance access to training and non-attorney professional supports for panel attorneys representing clients in child welfare matters.~~

Task #1

Provide funding for the salary and fringe ~~of two benefits for a full-time Assistant Public Defenders (VI), one full-time Family Court Investigator, and one full-time Family Court Caseworker,~~ to represent clients in ~~child welfare matters and any associated Family Court proceedings,~~ and to facilitate early-intervention, including representation during the child welfare investigation.

Program Location:

- Office of the Albany County Public Defender, Albany County

Task #2

Provide funding for the salary and fringe benefits for a full-time Social Worker/Caseworker and a full-time Assistant Alternate Public Defender for the Alternate Defender Office. ~~These positions will represent clients in Family Court proceedings and to facilitate early intervention, including representation during the child welfare investigation. who will assist clients in child~~

~~welfare matters in navigating the child welfare system, connecting clients to appropriate services, and maintaining ongoing and supportive communication with clients.~~

Program Location:

- Office of the Albany County Alternate Public Defender, Albany County

Task #3

Provide funding for increases in the salary and fringe of existing Family Court attorneys in the Alternate Public Defender's Office. These increases will help to bring attorney salaries in the Alternate Public Defender's Office closer to the salaries of attorneys with comparable experience in the Public Defender's Office, thereby promoting recruitment and retention.

- Office of the Albany County Alternate Public Defender, Albany County

Task #4

Provide funding to the Alternate Public Defender Office to access specialized professional and evaluative services in Family Court welfare matters, such as experts, social workers, investigators, interpreters, forensic evaluations, and forensic testing. These services will be paid an hourly rate of up to \$800, depending on the type of service and the experience and expertise of the specialized professional. These services may also be paid on a flat fee basis of up to \$3,000 per case. Costs include associated travel and mileage expenses, at the IRS rates. The Alternate Public Defender's Office may approve a higher rate if necessary to retain qualified services.

- Office of the Albany County Alternate Public Defender, Albany County

Task #5

Provide funding to the Alternate Public Defender's Office for legal reference materials, periodicals, and online legal research for Family Court representation staff.

- Office of the Albany County Alternate Public Defender, Albany County

Task #6

Provide funding to the Alternate Public Defender's Office for client services in Family Court matters, including transportation to and from court appearances, meetings with counsel, etc. when the Department of Social Services or another entity is not obligated to provide or fund the transportation, and the Alternate Public Defender's Office determines the client is unable to pay for transportation. Client services funding may also be used for court attire and personal hygiene

products for clients when the Alternate Public Defender's Office determines the client lacks the resources for court clothing and personal hygiene products.

- Office of the Albany County Alternate Public Defender, Albany County

Task #7

Provide funding for the salary and fringe benefits for one (1) full-time Family Court Supervising Attorney for the Assigned Counsel Program, who is an experienced practitioner in Albany County Family Court, to assign Family Court cases to appropriately qualified attorneys, provide mentorship and training, and advise panel attorneys of the resources available to them to provide quality, interdisciplinary representation.

- Assigned Counsel Program, Albany County

Task #8

Provide funding to the Assigned Counsel Program panel attorneys to access ~~non-attorney~~ **specialized** professional and evaluative services in ~~child-welfare~~ **Family Court** matters, such as experts, social workers, investigators, interpreters, forensic evaluations, and forensic testing. These services will be paid an hourly rate of ~~\$50 up~~ to \$800, depending on the type of service and the experience and expertise of the ~~non-attorney~~ **specialized** professional. These services may also be paid on a flat fee basis of up to \$3,000 per case. Costs include associated travel and mileage expenses, at the IRS rates. **The Assigned Counsel Program may approve a higher rate if necessary to retain qualified services.**

Program Location:

- Assigned Counsel Program, Albany County

Task #9

Provide funding to the Assigned Counsel Program to increase training and Continuing Legal Education (CLE) opportunities for panel attorneys in the area of legal representation of parents in child welfare proceeding. Funding can be used for panel attorneys to attend trainings/CLEs/convenings hosted by other organizations (registration and transportation costs) and for the Assigned Counsel Program to host trainings/CLEs/convenings (speaker fees, space rental, materials, refreshments).

Program Location:

- Assigned Counsel Program, Albany County

Performance Measures:

In consultation with ILS, identify key Performance Measures for the following:

- 1) Progress toward implementation of the specific goal(s) of the program funded under this contract.
- 2) Expected improvement in the quality of representation provided by attorneys in child welfare matters.

In consultation with ILS, develop a plan for collecting and reporting data on these key Performance Measures.