Subject: New submission ALBANY Arts, Culture, and Tourism Application

Date: Friday, June 30, 2023 at 2:14:22 PM Eastern Daylight Time

From: jnytko@govsol.org **To:** jnytko@govsol.org

General Information

Date of application

06/30/2023

Name of Entity

Albany Symphony Orchestra, Inc.

Entity TIN, EIN or Social Security Number

14-6013010

Entity physical address

19 Clinton Avenue Albany, NY 12207 United States Map It

Is the physical address different from the mailing address?

No

Website (if available)

https://www.albanysymphony.com/

Primary Contact Information

Primary contact name

Anna Kuwabara

Primary contact title

Executive Director

Primary contact phone

(518) 465-4755

Primary contact email

annak@albanysymphony.com

What is the primary contacts preferred method of contact?

Email

Entity Info and Structure

Which structure best describes the entity?

Non-profit organization

What is the date of establishment?

1930

Have you or the entity ever received any form of COVID-19 relief funds or loans specific to the negative impacts of the Pandemic?

Yes

If "Yes" above, please specify the type(s) and amount(s).

PPP loan #1 4/28-7/31/20 \$279,882

Employee Retention Tax Credit 8/1-12/31/20 \$97,853

Employee Retention Tax Credit 1/1-3/31/21 \$105,710

Employee Retention Tax Credit 4/1-4/30/21 \$35,815

PPP Loan #2 4/27-10/12/21 \$266,535

FFCRA - Paid Leave 7/1/20 - 6/30/21 \$9,187

Shuttered Venues Operating Grant #1 3/1-4/28/20 \$61,557.00

Shuttered Venues Operating Grant (Supplemental) 10/1-12/31/21 \$164,047.13

Employee Retention Tax Credit 7/1-9/30/21 \$70,587

TOTAL: \$1,091,173

General Project Information

Describe the arts/cultural/tourism activities your organization provides in Albany County.

As Albany County's premier performing arts cultural institution, the GRAMMY Award-winning Albany Symphony is a cornerstone of entertainment, tourism, arts education, community collaboration, and civic pride. Under the direction of Music Director David Alan Miller, the Symphony presents concerts at the Palace Theatre in downtown Albany, the Hudson River waterfront, the Egg, and other venues in Albany County. These events, including subscription concerts, special pops events, Albany's holiday tradition The Magic of Christmas, and free summertime riverfront concerts, bring thousands of patrons into Albany County every year. Beyond the concert hall, the Albany Symphony regularly engages with area schools, community centers, and other partners to foster a love of and appreciation for music through up-close performances and lessons, often in neighborhoods that experience significant barriers to easily accessing all the arts. Through virtuoso performances of progressive, adventurous programming, Miller and the world class musicians of the Albany Symphony play their part in bolstering the region's reputation as a place of innovation and growth.

If an award is made, describe how Albany County will benefit. Where possible, please quantify impact.

The Albany Symphony has harnessed the power of music to enrich our community for over 90 years. As a beacon of culture and entertainment and the region's premier performing arts institution, the Symphony brings thousands of patrons who not only buy tickets to concerts, but also dine, shop, and park while they are in the county—including

visitors who stay in area hotels for days and weekends thanks to the Symphony's unique, bold programming and destination festivals. The Albany Symphony is also an employer in Albany County, with a sizeable portion of its budget going toward salaries for musicians, administrative and production staff, and marketing/PR professionals. In its 2022-23 season, the Albany Symphony had an estimated regional economic impact of \$2,812,800 (based on the Americans for the Arts' Arts and Economic Prosperity Calculator). That impact will only increase with an investment from Albany County.

If an award is made, describe how the funds will help you to sustain your operations in Albany County.

Throughout the coronavirus pandemic, the Albany Symphony found innovative but limited ways to continue its mission to enrich the community through music. Now, as public health conditions have improved, the Symphony has returned to presenting a full array of musical offerings for in-person audiences—even though support from ticket sales and donations is still lagging. In New York and across the country, performing arts institutions' audiences remain below pre-pandemic levels. This award would be a critical bridge to full recovery, enabling the Symphony to continue offering its world-class programming and to rebuild its patron and donor bases. The County's funding will support highly visible and popular Symphony shows in the upcoming season to help replenish the pipeline of ticket buyers and provide marketing collateral, both of which are essential to leverage future ticket sales and fundraising.

Describe the extent to which the COVID-19 pandemic increased or decreased demand for your services. As an option, you may attach to this application any optional information that supports you're the service increase or decrease being described below.

Due to the nature of the COVID-19 pandemic and the extended shutdown of public gatherings, demand for the Albany Symphony as it had traditionally been experienced dropped, temporarily, to zero in March 2020. However, the demand for vibrant orchestral music from the Albany Symphony in whatever format could be made possible remained as strong as ever. Through new offerings—early home videos by individual musicians; projects with local nonprofit radio partners; and top-tier livestreaming of orchestra concerts—the Albany Symphony continued to adapt and evolve to remain an important arts provider in Albany County, even when traditional ticket-sales revenue dropped, and many donors could not renew their support due to the overall economic turbulence of the time. As conditions improved, the Albany Symphony became a leader in the return to live performances, performing the first major concert to re-open the Palace Theatre in 2021. In 2023, ticket revenues are still 25% below pre-COVID levels, and relationships are similarly still being built and rebuilt with new, current, and former donors. Currently, earned and contributed revenues are beginning an upward trend, and our audiences are enthusiastic about our ongoing efforts.

Please select the grant opportunity you wish to apply to

I wish to be considered for both (see below note)

Project Information – Recovery Grant

What amount of funding is being requested?

\$50.000.00

Provide the total amount of lost revenue/additional expenses attributable to the COVID-19 Pandemic.

Lost Revenue: \$1,750,000 Additional Expense: \$480,000

TOTAL: \$2,230,000

The COVID-19 pandemic shut down the Albany Symphony's core activities – live performances and education programs – which led to significant revenue loss in both ticket sales and fundraising. In FY19*, the last full fiscal year prior to the pandemic, the Albany Symphony ticket revenues and recurring contributed support totaled \$2,149,418.

In the Symphony's 2019-20 season (FY20), the total was \$1,397,755, a 35% drop in revenues. The mandated shutdowns of in-person activities beginning in March 2020 led to the cancellation of concerts and education programs for the remainder of the season.

In 2020-21 (FY21), the Albany Symphony instituted significant cost reductions but forged ahead with digital live-streamed performances and online family programs. While the additional streaming infrastructure costs were significant and the revenue from streamed concerts was de minimis, the digital service was strategic in retaining our subscriber base, donor engagement, and offering our product nationally. The FY21 ticket revenues and contributed support totaled \$1,324,051, a decrease of 38% from pre-pandemic levels.

In 2021-22 (FY22) and 2022-23 (FY23), the Albany Symphony experienced steady ticket revenue increases, but still well below pre-pandemic levels. The Symphony has not yet achieved the revenue stream or stability to restore all of its critical artistic and educational programs. The COVID restrictions on public gatherings shut off the pipeline of

single ticket purchasers required to develop future season ticket holders and donors. We estimate that rebuilding the pipeline to pre-pandemic levels will take three to five years.

In FY21 and FY22, the Albany Symphony received critical PPP, SVOG, ERTC funds and credits totaling \$1,091,173. (See list of COVID-19 government funds received .)

*Albany Symphony fiscal years run from July 1 to June 30.

Sustainable Operating Model: Describe how your organization adapted its operations to respond to (a) the immediate impacts of the COVID-19 Pandemic and (b) any long-term trends brought about by the COVID-19 Pandemic.

From the onset of the pandemic and the unprecedented cancellation of several concerts at the end of the 2019-2020 season, the Albany Symphony pivoted to an adaptable model to continue fulfilling its core mission of sharing symphonic music and enriching our community in Albany County and beyond, through any means possible. From self-recorded home videos by Albany Symphony musicians, guest artists, composers, and our music director to a reimagined 2020-2021 season streamed digitally and performed by small groups of socially distanced musicians in a regulated safe space, the Symphony invested in ways to keep the music flowing, despite a major loss of revenue from subscriptions and single-ticket sales. Uncertainty about the future also made fundraising a major challenge. As conditions improved, the Symphony carefully started welcoming back in-person audiences in late Spring of 2021 at the Palace Theatre, where we were the first performing arts group to reopen the venue. In the 2021-22 season, the Albany Symphony continued offering hybrid in-person and digitally streamed programs in an effort to accommodate both returning audiences and individuals who could not or were not comfortable returning in person. In 2022-23, the Symphony returned to in-person-only performances and dropped vaccine and mask requirements for entry in alignment with easing health restrictions.

Subscription revenue in particular is still significantly below pre-pandemic levels. According to data from the Audience Outlook Monitor, a meta-analysis of findings from symphonies and patrons across the country, subscribers are slow to return not simply because of COVID health concerns, but because of changing habits in consumerism—they are buying less frequently, later, and with less commitment. The Albany Symphony is evolving audience development strategies to target our changing audience; enhancing pops and family offerings (with a target audience that tends to buy tickets for individual events rather than subscriptions) will greatly enhance that effort. On the fundraising front, the Albany Symphony has focused targeted messaging about the critical need at this time, and the organization's efforts toward long-term rebuilding, stability, and growth in a multi-pronged messaging and solicitation campaign that is resonating with key stakeholders. In addition, during the past two years, the orchestra has engaged in a deep strategic planning process geared toward assessing community need, enhancing our community impact and means of engagement, and building relationships with arts practitioners, education and business leaders, and others within Albany County.

Describe how requested funds will help the entity recover from the negative impacts of the COVID-19 pandemic.

The Albany Symphony is persevering, rebuilding audiences, and making connections with lapsed, sustaining, and new donors. On stage, the Symphony returned as quickly as possible to a full season of offerings. At the same time, we made difficult choices to balance our 23-24 budget, including painful cuts to our educational and family programming. These funds will help restore those offerings and backstop a budget challenged by the unprecedented shortfall of losing years of personal connections from live performances, while the effort to rebuild our vital base continues. By judiciously adding new offerings with great commercial appeal, your support will help us cultivate new sources of ticket, sponsor, and donor revenue. Long term, the Albany Symphony is on a trajectory toward post-COVID fiscal health through enhanced fundraising and sales efforts and measured cost controls. This support will aid greatly in bolstering the Symphony's earned and contributed revenue capacity going forward.

Does the entity possess a financial management system that provides records that can identify the sources and application of ARPA funds if an award is made? Please explain below:

Yes

If "Yes" above, please provide details about the type of financial system that is utilized by the entity

The Albany Symphony uses Blackbaud Financial Edge, which is designed specifically for nonprofit fund accounting. Financial Edge facilitates tracking of individual grants, restricted funds, and donor agreements, and allows monitoring and managing of budgets and activities to ensure clear grant reporting and compliance.

Project Information – Promotion Grants

Please select whether the proposed project is new or existing

Expands an existing initiative or project

Please provide a short summary of your proposed project including a project name, general description, total cost, reason(s) why you are pursuing the project and anticipated impact:

To engage a broad audience of local community members and visitors, the Albany Symphony proposes an enhanced "Pops and Family Series" for the orchestra's 2023-2024 season, and requests \$100,000 to make it possible. Through an array of programming harnessing the power of orchestral music and the appeal of multi-genre and family-oriented programming, the Symphony will expand ongoing efforts to broaden our family audiences in Albany County, while also attracting new visitors with exciting new pops programming. These special programs—including a night dedicated to Video Game Music in October 2023 as part of our first-ever Albany County-based New York State Film Music Festival; a special Beatles tribute night in February 2024; the return and expansion of kids and family programming, specifically our Tiny Tots concerts for pre-school and kindergarten audiences with multiple performances in Albany County; and an enhanced version of our annual Magic of Christmas program at the Palace Theatre in December 2023, showcasing young performers and youth ensembles from throughout the Capital Region onstage with the orchestra—will engage audiences beyond the Symphony's traditional subscription series offerings, and bring new groups of patrons to enjoy music, food, and fun in downtown Albany. With this seed investment of \$100,000 this season toward an overall cost of \$340,000, the Albany Symphony will launch and foster the growth of new, enhanced, popular programming, and use its initial rollout to rebuild audience and attract sponsors for future years.

What amount of funding is being requested?

\$100.000.00

Please describe the entity's capabilities to implement the project you propose including organizational structure, staff members involved, a summary of similar initiatives you have undertaken and the date they were completed:

From its longstanding subscription concerts to special events, festivals, and recording projects that have garnered the orchestra two GRAMMY Awards and seven nominations to unique collaborative projects like "Water Music NY," the epic musical journey down the Erie Canal and four other major New York State-supported cultural heritage festivals, the Albany Symphony has demonstrated an established record of success in developing and executing projects that attract both locals and tourists to our region. In recent years, pre- and post-COVID, the Symphony has successfully managed a major series of tourism-oriented programs supported by and meeting the requirements of the New York State Regional Economic Development Council.

The Symphony takes its role as the orchestra of the capital city of the great Empire State very seriously. We see our organization as a powerful advocate and musical megaphone for the priorities and projects of the county and state. Examples of this, in addition to "Water Music NY" in 2017, include other multi-day collaborative festivals: "Sing Out NY" in 2019, commemorating NY State social justice movements; "Trailblaze NY" in 2022, which celebrated the opening of the Empire State Trail; and the 2023 "Convergence" Festival, celebrating Black cultural traditions and history in Albany County and New York State. The Symphony has an extensive track record of presenting unique programming that amplifies the value and uniqueness of our region, Albany County, and the State of New York.

David Alan Miller, the Albany Symphony's music director for over 30 years, is a programming and community engagement trailblazer who has brought national acclaim to the Albany Symphony and the Capital Region. Anna Kuwabara, now entering her seventh year as executive director, has guided the Symphony through several seasons and special festivals as well as a multi-year diversity, equity, and inclusion initiative that has brought the organization closer than ever to a broader array of local community groups. The Albany Symphony Board and Directors Council comprise over three dozen community and business leaders advocating for support and collaboration for these efforts. The administrative staff includes full-time specialists in fundraising, marketing, education and community engagement, concert operations, financial management, and customer service who ensure that the Albany Symphony's planning and outreach efforts match the high standard Miller and the Symphony's world-class professional musicians bring to the stage.

Please describe, in detail, the impact your proposed project will have on Arts, Culture and/or Tourism in Albany County. Describe how your project will attract new visitors to Albany County. Where possible use metrics/estimates of impact (i.e. increased visitation etc.).

The Albany Symphony will rebuild the momentum it has created for arts and culture in the region as an integral part of the creative economy in Albany County. By launching this expanded "Pops and Family Series" the Albany Symphony will create new opportunities for both local audiences and tourists to engage with the arts and our community during the 2023-2024 season. These programs, which include a celebration of music from video games, a tribute to the music of The Beatles, and an expansion of the Symphony's signature Tiny Tots and Magic of Christmas concerts in Albany County, will build new audiences through marketing, publicity, and word of mouth beyond the Symphony's usual reach. Both Video Games Live and the Beatles' Classical Mystery Tour come with marketing assets that have helped sell out concert halls across the country. Paired with strategic timing—Video Games Live being added to the Albany Symphony's first New York State Film Music Festival and the Beatles tribute celebrating the 60th Anniversary of the British Invasion—these programs will be primed for success as not-to-be-

missed regional tourism events. To maximize reach and sales, the Albany Symphony will execute a regional marketing campaign including advertising and outreach beyond the county to attract patrons, and will partner with Discover Albany, local businesses and other Albany County cultural organizations to make these events anchors of a weekend or week-long visit. Based on past pops-style performances, we estimate over 2,500 patrons will come to Albany County for each concert, and many will have dinner, shop, visit other sites, and stay at local hotels.

How will you track performance goals and define success? Include at least two key performance indicators and expected annual outcomes/impact during the reporting period (September 2023 - December 2026).

The Albany Symphony will set and track performance goals for ticket sales, capacity use in venues, and measurements of overall tourism impact through data collection from patrons and community partners. The Symphony marketing and patron services staff will track sales data through its ticketing system, and will assess social media engagement, digital impressions, conversion rates, and other marketing metrics through digital analytics tools and advertising partners. By proactively collaborating with area hotels, restaurants and other tourism sites in the County, the Symphony will track increased traffic through referral codes and post-event data analysis by those partners. The Symphony also will collect data on attendee demographics and geography and conduct post-event surveys to capture additional quantitate and qualitative details of attendees' stay and experience and partner/sponsor satisfaction. Continued engagement and post-event conversion rates for other Symphony offerings will be tracked. In all, the Symphony aims to sell over 7,000 tickets in the 2023-24 season to the series of events made possible with this support. The Symphony will use the key performance indicators above to evaluate the success of the Pops and Family Series and to continue honing audience development strategies for seasons to come.

What is the proposed project start date?

August 14, 2023

What is the anticipated date of completion?

June 28, 2024

Please provide key performance indicator(s) demonstrating anticipated results

| Indicator | 2024 | 2025 | 2026 |
|---|--|------|------|
| Tickets sold for proposed enhanced series | 7,000 tickets | | |
| Post-event surveys | Data from surveys collected for analysis and reviewed | | |
| Online advertising / social media impressions | Data from partners and tools collected for analysis and reviewed | | |

Please provide key project milestones inclusive of the anticipated timelines and descriptions

| Milestone | Anticipated Timeline | Task Description |
|---|--------------------------|--|
| (Internal) Continue planning for implementation of Pops and Family Series 23-24 | July-August 2023 | Book pops concerts, design expanded family concert offerings, build out sales marketing plan for series, confirm collaboration efforts with county businesses and other arts and cultural organizations. Handle internal needs for execution (artist contracting, operational needs, etc.) |
| Launch Pops and Family Series | August 2023 | PR and marketing launch of new series, in collaboration with Albany County. |
| Video Games Live Concert | Early October 2023 | Video Games Live concert at Palace Theatre, as part of Symphony's New York State Film Music Festival |
| Film Music Festival Concert | Oct. 7, 2023 | Main concert of the Symphony's Film Music Festival at the Palace Theatre |
| Post-VGL/Film | Week of | |

| Festival Concerts Survey | Oct. 9, 2023 | Data collection from VGL and Film Music concert attendees | |
|--|---|--|--|
| The Magic of Christmas Concert | Dec. 3, 2023 | The Symphony's annual holiday concert for all ages, with expanded marketing reach as part of the Pops and Family Series | |
| Post-Magic Concert Survey | Week of Dec. 4, 2023 | Data collection from Magic concert attendees | |
| Classical Mystery Tour Beatles Tribute Concert | Spring 2024 date TBD | Beatles tribute concert at the Palace Theatre | |
| Post-Beatles Concert Survey | Week following Spring 2024 concert date | Data collection from Beatles concert attendees | |
| Expanded Family Performances | Spring 2024 date TBD | Restored and expanded concerts for kids and families, re-energizing the Symphony's success engaging young audiences and first-time concertgoers through tailored programming | |
| Post-Family Performances survey | Week following Spring 2024 concert date | Data collection from Family Concert attendees | |
| Data analysis and future planning | Spring 2024 – after concerts outlined above | Analysis of data from sales metrics, patrons, partner orgs. Summary report. Planning for 24-25 series. | |

At the top of this page is a downloadable project budget. Please download the budget form and upload it here.

• ASOarpabudgetform.pdf

If you are funding a multi-year initiative, please provide a breakdown of funding level per year below*:

| 2023 | 2024 | 2025 | 2026 |
|--------|------|------|------|
| 100000 | 0 | 0 | 0 |

Is any upfront funding needed to successfully implement your proposed project?

No

Describe how the funding will support a sustainable Arts/Culture/Tourism initiative that will continue to serve Albany County residents after ARPA funds have been exhausted.

Long term, an enhanced Pops and Family concert series is engaging and dynamic programming that will serve local residents and draw tourists to Albany County. This pilot project—with strong lead concert programs and structures for capturing data to make strategic decisions to address new post-pandemic audience behavior patterns—will launch a new facet of Albany Symphony programming for the community well into the future. This proposal represents an opportunity for the county to use ARPA funds to invest in a sustainable model for growth that will catalyze strong sales revenue and sponsorship opportunities from broader audiences—a model that will help the Albany Symphony continue to flourish as a landmark cultural institution in Albany County.

Explain why ARPA Funds Are Needed to Complete the proposed program/project. Would the proposal be Possible Without ARPA Assistance? Describe how your proposal would be impacted if you are awarded a smaller grant than requested?

The Albany Symphony is currently in a critical moment of COVID recovery. With overall revenue down significantly over multiple seasons during the worst days of the pandemic, including a total loss of ticket sales revenue during the shutdown, and a strain on fundraising capacity in the community, the Symphony is currently operating on an austerity budget to ensure the organization's long-term health as we rebuild. As an example, "Tiny Tots," our critically important series of concerts for our youngest audiences, with multiple performances in Albany County, introducing many hundred preschoolers and kindergartners to the orchestra, are not in the current austerity budget for 2023-24. ARPA funding would allow us to reinstate these performances in the coming season.

While our proposal has great potential for long-term revenue growth, it requires an investment that is beyond the organization's current capacity. This infusion now by way of Albany County's ARPA funds will not simply help sustain the organization—it will help generate enhanced revenue and opportunities to make and share music next season and beyond. If the amount awarded is smaller than requested, the potential for enhanced growth will be hampered by a need to scale back this initiative. If not funded, this opportunity will likely be lost or delayed.

Tax Information

Please upload Federal tax returns for 2019

ASO-2019-990.pdf

Please upload Federal tax returns for 2020

ASO-2020-990.pdf

Please upload Federal tax returns for 2021

ASO-2021-990.pdf

Please use this space to upload any additional documents that you feel would support your applications (optional)

AdditionalTaxFinanceDocs.pdf

If available, please provide your Unique Entity Identifier (UEI) from SAM.gov

FC6TDXEV5M29

Risk Assessment

Has the entity adopted and/or implemented policies relating to: records retention, conflict of interest, code of ethics, and/or nondiscrimination policies?

Yes

If "Yes" above, please specify which policies have been adopted

Yes, the Albany Symphony has adopted and implemented all of these policies, with more information provided in our company handbook.

Is the entity properly insured?

Yes

If "Yes" above, please specify which types of insurance(s) are held and the limit(s).

General Aggregate Limit: \$2,000,000 Personal & Advertising Injury Limit: \$1,000,000 (each occurrence) Fire Damage Limit: \$1,000,000 Medical Expense Limit: \$5,000 D&O Limit: \$1,000,000 CYBER Limits Per Insuring Agreement: Third Party Liability Insuring Agreements (Claims Made and Reported Coverage) Security and Privacy Liability Coverage \$1,000,000/\$1,000,000 Privacy Regulatory Defense and Penalties Coverage \$1,000,000 PCI DSS Liability Coverage \$1,000,000/\$1,000,000 Bodily Injury Liability Coverage \$250,000/\$250,000 Property Damage Liability Coverage \$50,000/\$50,000 TCPA Defense Coverage \$50,000/\$50,000

Does the entity have a financial management system?

Yes

If "Yes" above, please provide details about the financial management system.

The Albany Symphony uses Blackbaud Financial Edge, which is designed specifically for nonprofit fund accounting. Financial Edge facilitates tracking of individual grants, restricted funds, and donor agreements, and allows monitoring and managing of budgets and activities to ensure clear grant reporting and compliance. Theatre Manager is used to track ticket revenue, contributions, and patron data.

Has there been any change in the entity's key staffing positions in the last 2 years?

No

Has the entity previously done work for the Federal government (i.e. Is the entity experienced in managing Federal funds)?

Yes

If "Yes" above, please provide details on the Federal funds managed

For decades, we have received annual funding from the National Endowment for the Arts to present concerts and festivals and to commission and perform exciting new music by contemporary composers, including site-specific works for towns and communities throughout the county and state.

The County is careful about identifying and avoiding conflicts of interest, especially with grants awarded through the County. A conflict of interest arises when a person's self-interest and professional interest or public interest intersect. In this situation, there is the potential for biased professional judgment and lack of objectivity which creates a serious conflict when one of the interests can benefit financially or personally from actions or decisions made in the official capacity. A conflict of interest exists whether or not decisions are affected by a personal interest; there only needs to be the possibility of bias for a conflict. If your organization knows of a possible conflict of interest with your application for funds please disclose that information here. Otherwise write "None."

None.

Certifications

US Treasury Reporting & Compliance Acknowledgment 1

• I Have Been Provided a Copy and Understand the U.S. Treasury's Compliance and Reporting Guidance for State and Local Fiscal Recovery Funds (see link or PDF included at the top of page)

US Treasury Reporting & Compliance Acknowledgment 2

• Should the County Allocate ARPA Funds, I am Able to and Pledge to Adhere to ALL Compliance and Reporting Requirements of the U.S. Treasury as it relates to any State and Local Fiscal Recovery Funds

US Treasury Reporting & Compliance Acknowledgment 3

• I Understand the County Will Contact me if/when Additional Information is Needed and that Information will be Promptly Provided to the County to Support Reporting Requirements

US Treasury Reporting & Compliance Acknowledgment 4

• If for Any Reason I am Unable to Comply with the U.S. Treasury's Compliance and Reporting Requirements I will Immediately Notify the County in writing by email or letter

US Treasury Reporting & Compliance Acknowledgment 5

• All Information Submitted in this Application is True & Accurate

Electronic Signature Agreement

I Agree

Type name

Robert Pape