

COUNTY OF ALBANY

GC - BID FORM

BID IDENTIFICATION:

Title: **New Vehicle Storage Facility**
Bid Number: **2023-109 - GC- General Construction**

THIS BID IS SUBMITTED TO:

Pamela O Neill, Purchasing Agent
Albany County Department of General Services
Purchasing Division
112 State Street, Room 1000
Albany, NY 12207

1. The undersigned BIDDER proposes and agrees, if this Bid is accepted, to enter into a Contract with the owner in the form included in the Contract Documents to complete all Work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Bid and in accordance with the Contract Documents.
2. BIDDER accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the Disposition of Bid Security. This Bid may remain open for ninety (90) days after the day of Bid opening. BIDDER will sign the Contract and submit the Contract Security and other documents required by the Contract Documents within fifteen days after the date of OWNER'S Notice of Award.
3. In submitting this Bid, BIDDER represents, as more fully set forth in this Contract, that:

- (a) BIDDER has examined copies of all the Contract Documents and of the following addenda: (If none, so state)

Date	Number	DATE	NUMBER
12/04/2023	#1	12/29/23	#5
12/08/2023	#2	12/29/23	#10
12/14/2023	#3		
12/21/2023	#4		

(receipt of all of which is hereby acknowledged) and also copies of the Notice to Bidders and the Instructions to Bidders;

- (b) BIDDER has examined the site and locality where the Work is to be performed, the legal requirements (federal, state and local laws, ordinances, rules and regulations) and the conditions affecting cost, progress or performance of the Work and has made such independent investigations as BIDDER deems necessary;

(c) This Bid is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; BIDDER has not directly or indirectly induced or solicited any other BIDDER to submit a false or sham Bid; BIDDER has not solicited or induced any person, firm or a corporation to refrain from bidding; and BIDDER has not sought by collusion to obtain for himself any advantage over any other Bidder or over the owner.

4. BIDDER will complete the Work for the following prices(s): (Attach Bid Proposal)

5. BIDDER agrees to commence the Work within the number of calendar days or by the specific date indicated in the Contract. BIDDER agrees that the Work will be completed within the number of Calendar days or by the specific date indicated in the contract.

6. The following documents are attached to and made a condition of this Bid:

(a) Non-Collusive Bidding Certificate (Attachment "A")

(b) Acknowledgment by Bidder (Attachment "B")

(c) Vendor Responsibility Questionnaire (Attachment "C")

(d) Iranian Energy Divestment Certification (Attachment "D")

(e) MS-4-1 Certification Statement RE: Stormwater Discharges (Attachment "E")

(f) Bidder Qualification Questionnaire (Attachment "F")

(g) Non Interruption of Work Agreement (Attachment "G")

(h) Required Apprenticeship Training Program Documentation (refer to RFB Section 27)

7. Communication concerning this Bid shall be addressed to:

James A. Urner, Jr. - President - jurner@aowconstruction.com

Phone: 518-482-3400

8. Terms used in this Bid have the meanings assigned to them in the Contract and General Provisions.

COUNTY OF ALBANY

GC - BID FORM

BID IDENTIFICATION:

Title: New Vehicle Storage Facility
Bid Number: 2023-109 - GC- General Construction

Conditions:

1. All bid prices must include all materials, labor, equipment, incidentals and other associated costs.
2. General Construction Base Bid work shall carry a 20% Base Bid Contingency Allowance for additional work discovered during construction beyond scope of work indicated on drawings and specifications. Contractor shall receive advance approval from the County Engineer prior to performing any additional work.

LUMP SUM BASE BID: \$ 6,639,900 (a)

20 % CONTINGENCY ALLOWANCE: \$ 1,327,980 (b)

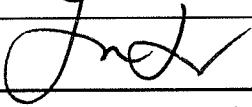
TOTAL BASE BID (a) + (b): \$ 7,967,880
(Base Bid + Contingency Allowance)

COUNTY OF ALBANY

BID FORM

BID IDENTIFICATION:

Title: New Vehicle Storage Facility
Bid Number: 2023-109 - GC- General Construction

COMPANY: AOW Construction, LLC
ADDRESS: 30 Essex Street
CITY, STATE, ZIP: Albany, NY 12206
TEL. NO.: 518-482-3400
FAX NO.: N/A
FEDERAL TAX ID NO.: 83-2875089
REPRESENTATIVE: James A. Urner, Jr.
E-MAIL: jurner@aowconstruction.com
SIGNATURE AND TITLE 
James A. Urner, Jr., President

DATE 01/04/2024

Document A310™ – 2010

Conforms with The American Institute of Architects AIA Document 310

Bid Bond

CONTRACTOR:

(Name, legal status and address)

AOW Construction, LLC
30 Essex Street
Albany, NY 12206

SURETY:

(Name, legal status and principal place of business)

Travelers Casualty and Surety Company of America

One Tower Square
Hartford, CT 06183

Mailing Address for Notices

Bond No. AOWC1-3-24-1

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

OWNER:

(Name, legal status and address)

The County of Albany Department of General Services
112 State Street
Albany, NY 12207

BOND AMOUNT: 5% Five Percent of Amount Bid

PROJECT:

(Name, location or address, and Project number, if any)

New Vehicle Storage Facility, RFB #2023-109

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 3rd day of January, 2024.

(Witness)

AOW Construction, LLC

(Principal)

(Seal)

By:

(Title)

Travelers Casualty and Surety Company of America

(Surety)

(Seal)

By:

(Title) Renee A. Manny

Attorney-in-Fact

Acknowledgment by Corporation

STATE OF

COUNTY OF

On this 4th day of January, 2024, before me personally appeared James A. Urner Jr., to me known, who being by me duly sworn, did acknowledge and say that (s)he is the President of AOW Construction, LLC, the corporation that executed the foregoing instrument, and acknowledged to me that such corporation executed the same.

In witness whereof, I have hereunto set my hand and affixed my official seal, at my office in the above County, the day and year written above.

Courtney A. Vrabel
_____, Notary Public

State of New York
County of Albany
My Commission Expires: 9/12/24

Courtney A Vrabel
Notary Public, State of New York
Reg. No. 01VR6347773
Qualified in Schenectady County
Commission Expires 09/12/2024

Acknowledgment by Surety

STATE OF New York

COUNTY OF Albany

On this 3rd day of January, 2024, before me personally appeared Renee A. Manny, to me known, who being by me duly sworn, did acknowledge and say that she is the Attorney-in-Fact of Travelers Casualty and Surety Company of America, the corporation that executed the foregoing instrument, and acknowledged to me that such corporation executed the same.

In witness whereof, I have hereunto set my hand and affixed my official seal, at my office in the above County, the day and year written above.

Jennifer S. Vanat
_____, Notary Public

State of _____
County of _____
My Commission Expires: _____

JENNIFER S. VANAT
Notary Public, State of New York
Qualified in Columbia County
Reg # 01VA6135808
Commission Expires Oct. 24, 2025



**Travelers Casualty and Surety Company of America
Travelers Casualty and Surety Company
St. Paul Fire and Marine Insurance Company**

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint **Kevin Garrity, Christopher Terzian, Stephen J. Donnelly, Renee A. Manny, Lori Francett, Audrey J. Daniels, John F. Murray Jr., Jennifer S. Vanat, Casey W LaChapelle, and Maddalena Bucciero of Albany, New York** their true and lawful Attorney (s)-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in the business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this **21st** day of **April, 2021**.

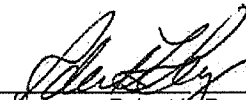
State of Connecticut

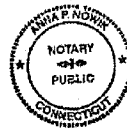
City of Hartford ss.

On this the **21st** day of **April, 2021**, before me personally appeared **Robert L. Raney**, who acknowledged himself to be the Senior Vice President of each of the Companies, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of said Companies by himself as a duly authorized officer.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

My Commission expires the **30th** day of **June, 2026**

By: 
Robert L. Raney, Senior Vice President




Anna P. Nowik, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of each of the Companies, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, **Kevin E. Hughes**, the undersigned, Assistant Secretary of each of the Companies, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this **3rd** day of **January**, 20**24**




Kevin E. Hughes, Assistant Secretary

**To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880.
Please refer to the above-named Attorney(s)-in-Fact and the details of the bond to which this Power of Attorney is attached.**

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

HARTFORD, CONNECTICUT 06183

FINANCIAL STATEMENT AS OF JUNE 30, 2023

AS FILED IN THE STATE OF NEW YORK

CAPITAL STOCK \$ 6,480,000

ASSETS		LIABILITIES & SURPLUS	
BONDS	\$ 5,150,661,933	LOSSES	\$ 1,536,742,249
STOCKS	115,760,594	LOSS ADJUSTMENT EXPENSES	136,032,224
CASH AND INVESTED CASH	83,310,786	COMMISSIONS	38,298,447
OTHER INVESTED ASSETS	6,561,822	OTHER EXPENSES	36,588,082
SECURITIES LENDING REINVESTED COLLATERAL ASSETS	25,993,585	TAXES, LICENSES AND FEES	11,726,720
INVESTMENT INCOME DUE AND ACCRUED	43,143,069	UNEARNED PREMIUMS	1,453,378,390
PREMIUM BALANCES	347,718,526	ADVANCE PREMIUM	2,435,053
REINSURANCE RECOVERABLE	43,481,728	POLICYHOLDER DIVIDENDS	18,990,836
NET DEFERRED TAX ASSET	70,753,315	CEDED REINSURANCE NET PREMIUMS PAYABLE	97,783,193
CURRENT FEDERAL AND FOREIGN INCOME TAXES RECOVERABLE	4,319,605	AMOUNTS WITHHELD / RETAINED BY COMPANY FOR OTHERS	21,267,969
GUARANTY FUNDS RECEIVABLE OR ON DEPOSIT	1,369,093	REMITTANCES AND ITEMS NOT ALLOCATED	5,152,800
RECEIVABLE FROM PARENTS, SUBSIDIARIES AND AFFILIATES	26,863,847	PROVISION FOR REINSURANCE	6,464,384
OTHER ASSETS	1,590,021	PAYABLE FOR SECURITIES LENDING	25,993,585
		OTHER ACCRUED EXPENSES AND LIABILITIES	317,899
		TOTAL LIABILITIES	\$ 3,391,171,831
		CAPITAL STOCK	\$ 6,480,000
		PAID IN SURPLUS	433,803,760
		OTHER SURPLUS	2,090,072,333
		TOTAL SURPLUS TO POLICYHOLDERS	\$ 2,530,356,093
TOTAL ASSETS	\$ 5,921,527,924	TOTAL LIABILITIES & SURPLUS	\$ 5,921,527,924

STATE OF CONNECTICUT)
COUNTY OF HARTFORD) SS.
CITY OF HARTFORD)

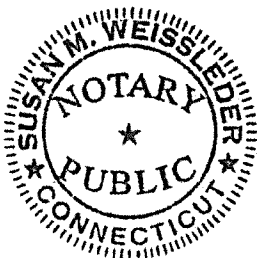
MICHAEL J. DOODY, BEING DULY SWORN, SAYS THAT HE IS VICE PRESIDENT - FINANCE, OF TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA, AND THAT TO THE BEST OF HIS KNOWLEDGE AND BELIEF, THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE FINANCIAL CONDITION OF SAID COMPANY AS OF THE 30TH DAY OF JUNE, 2023.

Michael J. Doody
VICE PRESIDENT - FINANCE

Susan M. Weissleder
NOTARY PUBLIC

SUBSCRIBED AND SWORN TO BEFORE ME THIS
16TH DAY OF AUGUST, 2023

SUSAN M. WEISSLEDER
Notary Public
My Commission Expires November 30, 2027



ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.

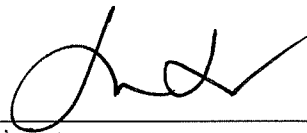
(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation



Signature

President

Title

AOW Construction, LLC

Company Name

01/04/2024

Date

ATTACHMENT "B"
ACKNOWLEDGMENT BY BIDDER

If Individual or Individuals:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 200__, before me personally appeared _____ to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) acknowledged to me that he (or they) executed the same.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

If Corporation:

STATE OF New York)
COUNTY OF Albany) SS.:

On this 4th day of January, 2024, before me personally appeared James A. Umer, Jr. to me known, who, being by me sworn, did say that he resides at (give address) Glenmont, NY; that he is the (give title) President of the (name of corporation) AOW Construction, LLC, the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.

Courtney A Vrabel
Notary Public, State of New York
Reg. No. 01VR6347773
Qualified in Schenectady County
Commission Expires 09/12/2024

Courtney A. Vrabel
Notary Public, State of New York

Qualified in Schenectady

Commission Expires September 12, 2024

If Partnership:

STATE OF _____)
COUNTY OF _____) SS.:

On the _____ day of _____, 200__, before me personally came _____ to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____

Qualified in _____

Commission Expires _____

ATTACHMENT "C"
ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE

1. VENDOR IS: <input checked="" type="checkbox"/> PRIME CONTRACTOR		
2. VENDOR'S LEGAL BUSINESS NAME AOW Construction, LLC		3. IDENTIFICATION NUMBERS a) FEIN # 83-2875089 b) DUNS #
4. D/B/A – Doing Business As (if applicable) & COUNTY FIELD:		5. WEBSITE ADDRESS (if applicable) www.aowconstruction.com
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE 30 Essex Street, Albany, NY 12206		7. TELEPHONE NUMBER 518-482-3400
		8. FAX NUMBER 518-482-3444
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>IN NEW YORK STATE, if different from above</i>		10. TELEPHONE NUMBER
		11. FAX NUMBER
12. AUTHORIZED CONTACT FOR THIS QUESTIONNAIRE Name James A. Urner, Jr. Title President Telephone Number 518-512-5500 Fax Number 518-482-3444 e-mail jurner@aowconstruction.com		
13. LIST ALL OF THE VENDOR'S PRINCIPAL OWNERS.		
a) NAME James A. Urner, Jr.	TITLE President	b) NAME Craig Kennedy
		TITLE Vice President
c) NAME Nicole Armsby	TITLE Treasurer	d) NAME Debra Oliver
		TITLE Secretary
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A "YES," AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.		
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, or D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 		
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRINCIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS: <div style="margin-top: 10px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> a) An elected or appointed public official or officer? <i>List each individual's name, business title, the name of the organization and position elected or appointed to, and dates of service</i> </div> <div style="width: 15%; text-align: right;"> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 80%;"> b) An officer of any political party organization in Albany County, whether paid or unpaid? <i>List each individual's name, business title or consulting capacity and the official political position held with applicable service dates.</i> </div> <div style="width: 15%; text-align: right;"> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No </div> </div> </div>		

16.	<p>WITHIN THE PAST (5) YEARS, HAS THE VENDOR, ANY INDIVIDUALS SERVING IN MANAGERIAL OR CONSULTING CAPACITY, PRINCIPAL OWNERS, OFFICERS, MAJOR STOCKHOLDER(S) (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), AFFILIATE OR ANY PERSON INVOLVED IN THE BIDDING OR CONTRACTING PROCESS:</p>	
	<p>a) 1. been suspended, debarred or terminated by a local, state or federal authority in connection with a contract or contracting process;</p> <p>2. been disqualified for cause as a bidder on any permit, license, concession franchise or lease;</p> <p>3. entered into an agreement to a voluntary exclusion from bidding/contracting;</p> <p>4. had a bid rejected on an Albany County contract for failure to comply with the MacBride Fair Employment Principles;</p> <p>5. had a low bid rejected on a local, state or federal contract for failure to meet statutory affirmative action or M/WBE requirements on a previously held contract;</p> <p>6. had status as a Women's Business Enterprise, Minority Business Enterprise or Disadvantaged Business Enterprise, de-certified, revoked or forfeited;</p> <p>7. been subject to an administrative proceeding or civil action seeking specific performance or restitution in connection with any local, state or federal government contract;</p> <p>8. been denied an award of a local, state or federal government contract, had a contract suspended or had a contract terminated for non-responsibility; or</p> <p>9. had a local, state or federal government contract suspended or terminated for cause prior to the completion of the term of the contract.</p> <p>b) been indicted, convicted, received a judgment against them or a grant of immunity for any business-related conduct constituting a crime under local, state or federal law including but not limited to, fraud extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct?</p> <p>c) been issued a citation, notice, violation order, or are pending an administrative hearing or proceeding or determination of violations of:</p> <p>1. federal, state or local health laws, rules or regulations.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
17.	<p>IN THE PAST THREE (3) YEARS, HAS THE VENDOR OR ITS AFFILIATES 1 HAD ANY CLAIMS, JUDGMENTS, INJUNCTIONS, LIENS, FINES OR PENALTIES SECURED BY ANY GOVERNMENTAL AGENCY?</p> <p>Indicate if this is applicable to the submitting vendor or affiliate. State whether the situation(s) was a claim, judgment, injunction, lien or other with an explanation. Provide the name(s) and address(es) of the agency, the amount of the original obligation and outstanding balance. If any of these items are open, unsatisfied, indicate the status of each item as "open" or "unsatisfied."</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
18.	<p>DURING THE PAST THREE (3) YEARS, HAS THE VENDOR FAILED TO:</p> <p>a) file returns or pay any applicable federal, state or city taxes? <i>Identify the taxing jurisdiction, type of tax, liability year(s), and tax liability amount the vendor failed to file/pay and the current status of the liability.</i></p> <p>b) file returns or pay New York State unemployment insurance? <i>Indicate the years the vendor failed to file/pay the insurance and the current status of the liability.</i></p> <p>c) Property Tax <i>Indicate the years the vendor failed to file.</i></p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
19.	<p>HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE VENDOR OR ITS AFFILIATES 1 WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE VENDOR OR ITS AFFILIATES REGARDLESS OF THE DATE OF FILING?</p> <p>Indicate if this is applicable to the submitting vendor or affiliate. If it is an affiliate, include the affiliate's name and FEIN. Provide the court name, address and docket number. Indicate if the proceedings have been initiated, remain pending or have been closed. If closed, provide the date closed.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
20.	<p>IS THE VENDOR CURRENTLY INSOLVENT, OR DOES VENDOR CURRENTLY HAVE REASON TO BELIEVE THAT AN INVOLUNTARY BANKRUPTCY PROCEEDING MAY BE BROUGHT AGAINST IT? Provide financial information to support the vendor's current position, for example, Current Ration, Debt Ration, Age of Accounts Payable, Cash Flow and any documents that will provide the agency with an understanding of the vendor's situation.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>

21. IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES¹ : ☐ Yes ☒ No

a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded;

Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.

¹ "Affiliate" meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity's daily operations, that entity will be an "affiliate" for purposes of this questionnaire.

**ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE**

FEIN # 83-2875089

State of: New York)
) ss:
County of: Albany)

CERTIFICATION:

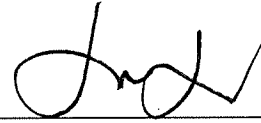
The undersigned: recognizes that this questionnaire is submitted for the express purpose of assisting the County of Albany in making a determination regarding an award of contract or approval of a subcontract; acknowledges that the County may in its discretion, by means which it may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may constitute a felony under Penal Law Section 210.40 or a misdemeanor under Penal Law Section 210.35 or Section 210.45, and may also be punishable by a fine and/or imprisonment of up to five years under 18 USC Section 1001 and may result in contract termination; and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete.

The undersigned certifies that he/she:

- Has not altered the content of the questions in the questionnaire in any manner;
- Has read and understands all of the items contained in the questionnaire and any pages attached by the submitting vendor;
- Has supplied full and complete responses to each item therein to the best of his/her knowledge, information ad belief;
- Is knowledgeable about the submitting vendor's business and operations;
- Understands that Albany County will rely on the information supplied in the questionnaire when entering into a contract with the vendor;
- Is under duty to notify the Albany County Purchasing Division of any material changes to the vendor's responses.

Name of Business AOW Construction, LLC

Signature of Owner

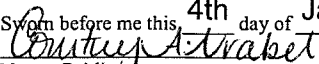


Address 30 Essex Street

Printed Name of Signatory James A. Urner, Jr.

City, State, Zip Albany, NY 12206

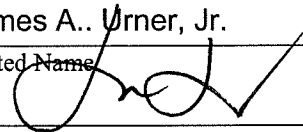
Title President

Sworn before me this 4th day of January, 2024.

Notary Public

Courtney A Vrabel
Notary Public, State of New York
Reg. No. 01VR6347773
Qualified in Schenectady County
Commission Expires 09/12/2024

James A.. Urner, Jr.

Printed Name




Signature

01/04/2024

Date

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.



Signature

President

Title

AOW Construction, LLC

Company Name

01/04/2024

Date

ATTACHMENT "E"

Sheet MS4-1: Bidder/Proposer Certification Statement (to be used with Section 34 Part A – General Contracts)

As a bidder seeking to provide services on behalf of Albany County, I certify under penalty of law that I understand and agree to comply with the terms and conditions of the New York State Pollutant Discharge Elimination System ("SPDES") General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4 Permit) and Albany County Local Law 7 of 2007, and agree to implement any Best Management Practices or corrective actions identified by Albany County or an authorized representative thereof as necessary to maintain compliance. I understand that Albany County must comply with the terms and conditions of the aforementioned MS4 Permit, and that it is unlawful for any person to directly or indirectly cause or contribute to a violation of water quality standards. I am also aware that County Local Law 7 of 2007 prohibits any activities that cause or contribute to a violation of the County's SPDES permit. Further, I understand that any non-compliance by Albany County will not diminish, eliminate or lessen my own liability.

Name of Third Party Entity: AOW Construction, LLC

Address: 30 Essex Street

Albany, NY 12206

Phone Number(s): 518-482-3400

Description of activities to be performed by your firm or organization within Albany County are related to the Albany County Storm Water Management Program (SWMP) (include any activities that have the potential to generate or prevent pollution and/or affect water quality):

Concrete foundations, drywall, ACT and minor carpentry.

Description of where the work is to be performed within Albany County facilities:

Albany County Shaker Place & D.P.W., Hill House Road, Albany, NY



Signature

James A. Urner, Jr.

Printed Name

President

Title

01/04/2024

Date

ATTACHMENT " F "
BIDDER QUALIFICATION QUESTIONNAIRE

The undersigned guarantees the accuracy of all statements and answers herein contained. (Please print in ink or type in the spaces provided). Attach additional sheets if necessary. This statement of Bidder's qualifications is required of all Bidders. Additional data on Bidder's qualifications may be requested from selected Bidders after the Bid opening.

1. How many years has your firm been in business? 4 years
2. List up to three (3) projects of this nature that you have completed in the last three (3) years, and give the name, address and telephone number of a reference from each. Also give the completion date, the original contract bid price and the completed cost of each project listed.

1. See Attached

2. _____

3. _____

ATTACHMENT " F "
BIDDER QUALIFICATION QUESTIONNAIRE

3. List projects presently under contract by your firm, the dollar volume of the contract and the percentage completion of the contract.

See Attached

4. Has your firm ever failed to complete work awarded to it, if so, state where and why.

No

5. Is your firm presently or has your firm ever been a party defendant in a lawsuit commenced against your firm alleging failure to properly complete work in accordance with the contract for same; if so, give details.

No

ATTACHMENT " F "
BIDDER QUALIFICATION QUESTIONNAIRE

6. Has your firm received two (2) final determinations within any consecutive six-year period, the second final determination occurring within the past five (5) years, that your firm willfully failed to pay the prevailing rate of wages or to provide supplements with Article 8 of the Labor Law, if so, give details.

No

7. Do you plan to sublet any part of this work? If so, give details.

No

8. Give the name, address and telephone number of an individual who represents each of the following and whom the Owner may contact to investigate your financial responsibility: a surety, and a bank.

Patty Erwin, Vice President, NBT Bank, North America, 80 Wolf Road, Suite 101, Albany, NY 12205 518-437-4107

Kevin Garrity, Senior Vice President, Surety & Construction Services, NFP, 159 Wolf Road, Albany, NY 12205 518-244-4284

ATTACHMENT " F "
BIDDER QUALIFICATION QUESTIONNAIRE

9. Give a summary of your financial statement. (List assets and liabilities, use an insert sheet, if needed).

See Attached

10. State the true, exact, correct and complete name of the partnership, corporation or trade name under which you do business, and the address of the place of business. (If a corporation, state the name and title of all officers. If a partnership, state the name of all partners. If a trade name, state the names of the individuals who do business under the trade name.) It is absolutely necessary that information be furnished.

AOW Construction

Correct Name of Bidder

(a) The business is a: **Coporation**

(b) The address of principal place of business is: **30 Essex Street, Albany, NY 12206**

- (c) The names of the corporate officers, or partners, or individuals doing business under a trade name, are as follows:

James A. Urner, Jr. - President, Craig Kennedy - VP,

Nicole Armsby - Treasurer & Debra Oliver - Secretary

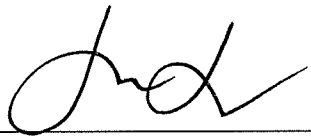
ATTACHMENT " F "
BIDDER QUALIFICATION QUESTIONNAIRE

11. Is your firm qualified to do business in the State of New York? Yes ☒ No ____.
If No, signing this qualification statement constitutes agreement to obtain such qualification prior to award of contract immediately upon owner's request.

AOW Construction, LLC

Firm

Dated: 01/04/2024

By 

James A. Urner, Jr.

(Typed)



Design-Construct
Construction Management
General Construction

AOW Construction
30 Essex Street
Albany, New York 12206

Major Projects Completed - Last 5 Years - Last Updated 30 September, 2023									
Project Name/Owner/Contact	AOW Construction Role	Contact Phone Number	Contact Email	Architect	Architect Phone Number	Contract Award Date	Date Completed	Contract Amount	% Complete with Own Forces
Martin Luther King Phase II / THA / Deb Witkowski	Subcontractor to AOW Associates	(518)273.3600	deb.witowski@troyhousing.org	RIDA Architecture	(518)713.4537	Aug-19	Nov-20	\$11,558,800	20%
SUNY Albany Campus Building 35 Renovation / SUNY / John Baldwin	Subcontractor to AOW Associates	(518)442.3874	jbaldwin@albany.edu	JMZ Architects & Planners	(518)793.0786	Apr-20	Jun-22	\$9,071,400	20%
Whiteface Mid-Station Lodge (Gilbane CM) / ORDA / Bob Hammond	Subcontractor to AOW Associates	(518)302.5332	bhammond@orda.org	AES Northeast	(518)561.1598	Jul-20	Apr-22	\$7,349,000	25%
Eastern Star Home/Jeffrey French	Subcontractor to AOW Associates	(315)736.9311	jfrench@eshomeny.org	John W Baumgarten Architect PC	(516)939.2333	May-18	Aug-21	\$5,840,700	15%
911 Public Safety Building / Albany County Sheriff / Michael Martin	Subcontractor to AOW Associates	(518)447.7032	mmartin@albanycounty.com	SEI Design Group	(585)442.7010	9/1/2021	Apr-23	\$4,964,100	20%
Fort Hudson Nursing Renovation / Fort Hudson Network/ Andrew Cruikshank	Subcontractor to AOW Associates	(518)747.2811	acruikshank@forthudson.com	Angerame Architects	(518)454.9333	Dec-16	Dec-20	\$4,904,100	20%
Wilton Primary Care Phases 2 & 3 / Saratoga Hospital / Jeff Casale	Prime	(518)583.8457	jcasale@saratogahospital.org	SMRT Architects & Engineers	(877)700.7678	Aug-21	Jun-22	\$4,036,500	30%



Design-Construct
Construction Management
General Construction

AOW Construction
30 Essex Street
Albany, New York 12206

Major Projects in Progress - Last Updated 30 September, 2023									
Project Name/Owner/Contact	AOW Construction Role	Contact Phone Number	Contact Email	Architect	Architect Phone Number	Contract Award Date	Contract Value	% Complete	Anticipated Completion Date
Steamboat Phase I Renovations / AHA / Tim O'Byrne	Prime	(518)641.7536	TOByrne@AlbanyHousing.org	SWBR	(315)488.5655	Oct-22	\$29,889,600	58%	Aug-24
Albany High School Phase 3 Addition and Renovation / Turner (CM) / Bill Steele	Subcontractor to AOW Associates	(518)419.0664	wsteele@tcco.com	CS Arch	(518)463.0868	Jul-20	\$27,749,500	99%	Oct-23
Albany High School Phase 4 Additions and Renovations / Turner (CM) / Bill Steele	Prime	(518)419.0664	wsteele@tcco.com	CS Arch	(518)463.0868	May-23	\$21,098,200	6%	Aug-25
Sunnyview Hospital Renovation / SPHP / Jonathan Otterbeck	Prime	(518)525.3110	Jonathan.Otterbeck@sphp.com	Hyman Hayes Associates	(518)452.3740	Feb-22	\$8,505,400	50%	Feb-24
Health & Stem Building / HVCC / Steve Cowan	Prime	(518)857.5409	s.cowan@hvcc.edu	Mosaic Associates	(518)479.4000	Apr-22	\$6,462,200	96%	Oct-23
SUNY Albany Lecture Center Phase IV / SUCF / Michelle Grant	Subcontractor to AOW Associates	(518)320.3235	Michelle.Grant@suny.edu	Architecture +	(518)272.4481	Jan-22	\$5,937,600	95%	Oct-23
Troy Housing Authority Conway Court/ Deb Witowski	Prime	(518)273.3600	deb.witowski@troyhousing.org	RIDA Architecture	(518)713.4537	May-23	\$5,447,400	0%	Dec-24
SUNY Albany Lecture Center Phase V / SUNY / John Baldwin	Prime	(518)442.3874	jbaldwin@albany.edu	Architecture +	(518)272.4481	Aug-23	\$5,337,800	0%	Nov-23
Farren Care Center Demolition / SPHP / Michael Tierney	Prime	(518)525.3111	Michael.Tierney@sphp.com	Cannon Design	(617)742.5440	Oct-22	\$5,092,200	80%	Nov-23
5th Floor Renovation / SUCF / Natalie Christman	Subcontractor to AOW Associates	(518)813.7196	natalie.christman@suny.edu	Holt Architects	(607)273.7600	Sep-22	\$4,336,400	88%	Nov-23
Saratoga Schenectady Gastroenterology Associates / Tom Pierson	Prime	(518)831.1500	TPierson@sagastro.com	The Architectural Collaborative	(518)796.6236	Mar-23	\$3,792,900	34%	Jan-24
Glen Eddy Modernization & ALU / SPHP / Michael Tierney	Prime	(518)525.3111	Michael.Tierney@sphp.com	Richard E Jones Associates	(518)793.1015	Sep-22	\$3,438,800	33%	Dec-23
Beverwyck Modernization / SPHP / David Wendth	Prime	(518)271.5024	David.Wendth@sphp.com	Richard E Jones Associates	(518)793.1015	Sep-22	\$3,267,300	71%	Nov-23
SUNY Albany Pool and Gym Renovation / SUCF / John Bossung	Subcontractor to AOW Associates	(518)320.3702	John.Bossung@suny.edu	Lacey, Thayer, Reilly, Wilson	(518)375.1485	Nov-21	\$3,507,700	86%	Oct-23
DMNA Headquarters Renovation / OGS / Peter Gartung	Subcontractor to AOW Associates	(607)206.9645	Peter.Gartung@ogs.ny.gov	Architecture +	(518)272.4481	Jun-22	\$3,108,200	85%	Nov-23

A.O.W. CONSTRUCTION LLC & AFFILIATE

Combined Balance Sheets

December 31, 2022 and 2021

	<u>2022</u>	<u>2021</u>
<u>Assets</u>		
Current assets:		
Cash	\$ 4,565,235	\$ 4,901,331
Restricted cash (Note 2)	-	1,715,318
Accounts receivable (Notes 3 and 13)	6,467,925	6,464,502
Contract assets (Notes 3 and 4)	3,193,871	2,619,191
Prepaid expenses	303,156	216,502
Total current assets	14,530,187	15,916,844
Property and equipment, net (Note 5)	431,743	104,878
Operating lease right-of-use assets, net (Note 6)	111,099	-
Goodwill, net (Note 7)	200,000	300,000
Receivable from related party (Note 9)	309,029	309,029
Total Assets	<u>\$ 15,582,058</u>	<u>\$ 16,630,751</u>
<u>Liabilities And Stockholder's And Members' Equity</u>		
Current liabilities:		
Accounts payable	\$ 4,387,583	\$ 2,453,277
Other payables and accrued expenses	1,710,559	3,202,614
Contract liabilities (Notes 3 and 4)	3,764,369	4,894,701
Current portion of operating lease liabilities (Note 6)	44,094	-
Due to related party (Note 9)	122,706	117,137
Total current liabilities	10,029,311	10,667,729
Operating lease liabilities (Note 6)	67,005	-
Total liabilities	10,096,316	10,667,729
Stockholder's and members' equity	5,485,742	5,963,022
Total Liabilities And Stockholder's And Members' Equity	<u>\$ 15,582,058</u>	<u>\$ 16,630,751</u>

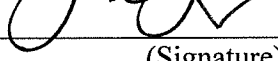
See independent accountants' review report and accompanying notes to combined financial statements

ATTACHMENT " G "
NON-INTERRUPTION OF WORK AGREEMENT

By submission of the bid for:

The bidder agrees that if this bid is accepted, he/she will not intentionally engage in any course of conduct or activity, or employ for the purposes of performing the public work, any subcontractors, employees, labor or materials which will or may result in the interruption of the performance of the public work due to labor strife or unrest by workmen employed by the bidder or by any of the trades working in or about the public works and/or premises where the work is being performed.

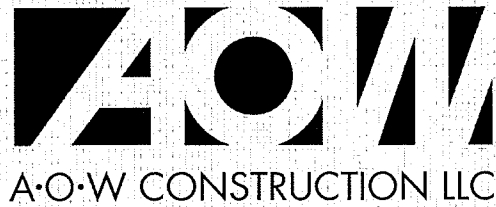
Firm: AOW Construction, LLC

By: 
(Signature)

James A. Urner, Jr.
(Typed)

Title: President

Date: 01/04/2024



January 4, 2024

Purchasing Department
Albany County Department of General Services
Purchasing Division
112 State Street, Room 1000
Albany, NY 12207
Attention: Pamela O'Neill

Re: Albany County New Vehicle Storage Facility

Ms. O'Neill,

AOW Construction, LLC is a management company and all on site labor will comply with the NYS apprenticeship program requirements.

Sincerely,

A handwritten signature in black ink, appearing to read 'J. Urner', with a long, sweeping horizontal stroke extending to the right.

James A. Urner, Jr.
President

**Request for Taxpayer
Identification Number and Certification**► Go to www.irs.gov/FormW9 for instructions and the latest information.Give Form to the
requester. Do not
send to the IRS.Print or type.
See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

AOW Construction, LLC

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only **one** of the following seven boxes.
☐ Individual/sole proprietor or single-member LLC
 ☐ C Corporation
 ☐ S Corporation
 ☐ Partnership
 ☐ Trust/estate

☒ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► **P**

Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is **not** disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

☐ Other (see instructions) ►

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) _____

Exemption from FATCA reporting code (if any) _____

(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.

30 Essex Street

6 City, state, and ZIP code

Albany, NY 12206

Requester's name and address (optional)

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number

				-			-				
--	--	--	--	---	--	--	---	--	--	--	--

or

Employer identification number

8	3	-	2	8	7	5	0	8	9
---	---	---	---	---	---	---	---	---	---

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

**Sign
Here**Signature of
U.S. person ►

Date ►

11/15/2022**General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
 - Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
 - Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
 - Form 1099-S (proceeds from real estate transactions)
 - Form 1099-K (merchant card and third party network transactions)
 - Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
 - Form 1099-C (canceled debt)
 - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding*, later.

NORTH ATLANTIC STATES REGIONAL COUNCIL OF CARPENTERS

United Brotherhood of Carpenters and Joiners of America

Joseph Byrne
Executive Secretary Treasurer



William Banfield
Assistant to the Executive Secretary Treasurer

January 5, 2024

To Whom it May Concern,

This letter is to confirm that AOW Construction, LLC is signatory to the North Atlantic States Regional Council of Carpenters Collective Bargaining Agreement and participates in a registered and approved New York State Apprenticeship Training Program with the North Atlantic Apprenticeship Training Program Fund.

If you require any additional information, please feel free to contact our office.

Sincerely,

Christopher Dugan
Business Manager
North Atlantic States Regional Council of Carpenters
cdugan@nasrcc.org
518-453-3619



LABORERS
LOCAL
UNION
190

LiUNA!

January 8, 2024

To Whom it May Concern,

This letter is to confirm that AOW Construction, LLC is affiliated with AOW Associates, Inc., signatory to the North Atlantic States Regional Council of Carpenters Collective Bargaining Agreement and participates in a registered and approved New York State Apprenticeship Training Program with the North Atlantic Apprenticeship Training Program Fund.

If you require any additional information, please feel free to contact our office.

Sincerely,

Anthony Fresina
Business Manager

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PHONE: (518) 465-1254
FAX: (518) 465-1257



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