

COUNTY OF ALBANY

BID FORM

BID IDENTIFICATION:

Title: MVP Arena Show Power and Transformer Replacement
Bid Number: 2024-137

THIS BID IS SUBMITTED TO:

Pamela O Neill, Purchasing Agent
Albany County Department of General Services
Purchasing Division
112 State Street, Room 1000
Albany, NY 12207

1. The undersigned BIDDER proposes and agrees, if this Bid is accepted, to enter into a Contract with the owner in the form included in the Contract Documents to complete all Work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Bid and in accordance with the Contract Documents.
2. BIDDER accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the Disposition of Bid Security. This Bid may remain open for ninety (90) days after the day of Bid opening. BIDDER will sign the Contract and submit the Contract Security and other documents required by the Contract Documents within fifteen days after the date of OWNER'S Notice of Award.
3. In submitting this Bid, BIDDER represents, as more fully set forth in this Contract, that:

- (a) BIDDER has examined copies of all the Contract Documents and of the following addenda: (If none, so state)

Date	Number
10/29/24	#1
10/30/24	#2
11/12/24	#3

(receipt of all of which is hereby acknowledged) and also copies of the Notice to Bidders and the Instructions to Bidders;

- (b) BIDDER has examined the site and locality where the Work is to be performed, the legal requirements (federal, state and local laws, ordinances, rules and regulations) and the conditions affecting cost, progress or performance of the Work and has made such independent investigations as BIDDER deems necessary;

(c) This Bid is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; BIDDER has not directly or indirectly induced or solicited any other BIDDER to submit a false or sham Bid; BIDDER has not solicited or induced any person, firm or a corporation to refrain from bidding; and BIDDER has not sought by collusion to obtain for himself any advantage over any other Bidder or over the owner.

4. BIDDER will complete the Work for the following prices(s): (Attach Bid Proposal)

5. BIDDER agrees to commence the Work within the number of calendar days or by the specific date indicated in the Contract. BIDDER agrees that the Work will be completed within the number of Calendar days or by the specific date indicated in the contract.

6. The following documents are attached to and made a condition of this Bid:

(a) Non-Collusive Bidding Certificate (Attachment "A")

(b) Acknowledgment by Bidder (Attachment "B")

(c) Vendor Responsibility Questionnaire (Attachment "C")

(d) Iranian Energy Divestment Certification (Attachment "D")

(e) MS-4-1 Certification Statement RE: Stormwater Discharges (Attachment "E")

(f) Bidder Qualification Questionnaire (Attachment "F")

(g) Non Interruption of Work Agreement (Attachment "G")

(h) Required Apprenticeship Training Program Documentation (refer to RFB Section 27)

7. Communication concerning this Bid shall be addressed to:

Spring Electric, Inc.

93 Blue Factory Rd.

Averill Park, NY 12018

Phone: 518-369-3426

8. Terms used in this Bid have the meanings assigned to them in the Contract and General Provisions.

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Conditions:

1. All bid prices must include all materials, labor, equipment, incidentals, and other associated costs.
2. Base Bid work shall carry a 10% Base Bid Contingency Allowance for additional work discovered during construction beyond scope of work indicated on drawings and specifications. Contractor shall receive advance approval from the County Engineer prior to performing any additional work.

<u>ELECTRICAL CONSTRUCTION</u> Lump Sum Base Bid (Price in Words): (Price in Numbers):	<u>Four hundred twenty six thousand</u> <u>and no cents.</u> \$ <u>426,000.00</u>
10% Contingency Allowance	\$ <u>42,600.00</u>
TOTAL BID (Base Bid + Contingency Allowance) (Price in Words): (Price in Numbers):	<u>Four hundred sixty eight thousand</u> <u>Six hundred dollars and no cents</u> \$ <u>468,600.00</u>

COUNTY OF ALBANY

BID FORM

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Bid Number: 2024-137

COMPANY:

Spring Electric, Inc.

ADDRESS:

93 Blue Factory Rd.

CITY, STATE, ZIP:

Averill Park, NY 12018

TEL. NO.:

518-369-3426

FAX NO.:

N/A

FEDERAL TAX ID NO.:

46-5490126

REPRESENTATIVE:

Vicki Spring

E-MAIL:

vvspring@springelectric.biz

SIGNATURE AND TITLE

Victoria J. Spring, President

DATE

11/14/24

AIA Document A310™ – 2010

Bid Bond

CONTRACTOR:

(Name, legal status and address)

Spring Electric, Inc.
93 Blue Factory Rd.
Averill Park, NY 12018

SURETY:

(Name, legal status and principal place of business)

OLD REPUBLIC INSURANCE COMPANY
631 Excel Drive, Suite 200
Mt. Pleasant, PA 15666

OWNER:

(Name, legal status and address)

County of Albany
112 State Street
Albany, NY 12207

BOND AMOUNT: Five Percent of Contract Amount (5%)

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

PROJECT:

(Name, location or address, and Project number, if any)

MVP Arena Show Power and Transformer Replacement, Transformer replacement

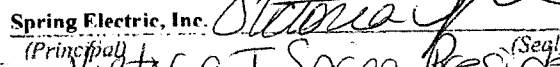
The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

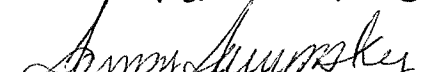
If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.


When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 14th day of November, 2024


(Witness) Patrick P. Spring, VP


(Principal) Victoria J. Spring, President (Seal)
(Title)


(Witness) Tammy Sawynsky

OLD REPUBLIC INSURANCE COMPANY
(Surety)  (Seal)
(Title) Gary A. Cardinale, Attorney-in-Fact

CAUTION: You should sign an original AIA Contract Document, on which this text appears in RED. An original assures that changes will not be obscured.

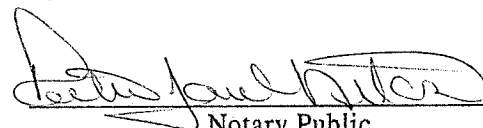
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ACKNOWLEDGMENT OF PRINCIPAL

STATE OF New York
COUNTY OF Albany) SS.:

On the 14th day of November in the year 2024, before me, the undersigned, personally appeared Victoria J. Spring, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

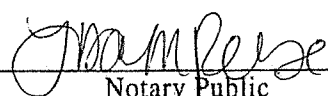


Notary Public
PATRICIA JANE NETOSKIE
Notary Public, State of New York
No. 01NE6330983
Qualified in Saratoga County
Commission Expires Sept. 28, 2027

ACKNOWLEDGMENT OF SURETY

STATE OF New York)
) SS.:
COUNTY OF Erie)

On the 14th day of November in the year 2024, before me, the undersigned, personally appeared Gary A. Cardinale, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity, and that by his/her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.



Notary Public

LISA M REESE
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01RE0014975
Qualified in Erie County
Commission Expires October 26, 2027

OLD REPUBLIC INSURANCE COMPANY
OF MT. PLEASANT, PENNSYLVANIA

STATUTORY FINANCIAL STATEMENT AS OF DECEMBER 31, 2023

ADMITTED ASSETS

Bonds	\$2,437,976,238
Common stocks	566,939,214
Cash, cash equivalents and short-term investments	120,772,726
Receivable for securities	8,372,909
Bonds, short-term investments and cash held under retrospective rating agreements	313,447,476
Premiums and considerations – uncollected premiums and agents' balances in the course of collection	661,669,481
Deferred premiums, agents' balances and installments booked but deferred and not yet due	8,211,420
Accrued retrospective premiums	215,155
Funds held by or deposited with reinsured companies	810,334
Other amounts receivable under reinsurance contracts	267,211
Amounts recoverable from reinsurers	161,419,217
Electronic data processing equipment and software	268,156
Investment income due and accrued	24,585,874
Current federal and foreign Income tax recoverable and interest	0
Receivables from parent, subsidiaries and affiliates	20,198,502
Other assets	9,102,263
TOTAL ADMITTED ASSETS	\$4,334,336,176

LIABILITIES AND SURPLUS

Losses	\$1,175,443,072
Reinsurance payable on paid losses and loss adjustment expenses	15,013,008
Loss adjustment expenses	219,817,905
Commissions payable, contingent commissions and other similar charges	11,532,037
Other expenses (excluding taxes, licenses and fees)	25,048,132
Taxes, licenses and fees (excluding federal income taxes)	60,868,361
Current federal income taxes	538,479
Net deferred tax liability	15,458,464
Unearned premiums	339,360,098
Dividends declared and unpaid - policyholders	1,324,248
Advance premium	56,528
Ceded reinsurance premiums payable (net of ceding commissions)	535,492,366
Funds held by company under reinsurance treaties	102,697,887
Amounts withheld or retained by company for account of others	326,945,427
Remittances and items not allocated	33,987,377
Provision for reinsurance	48,258,841
Payable to parent, subsidiaries and affiliates	1,677,450
Other liabilities	13,087,200
TOTAL LIABILITIES	\$2,926,606,880
Common capital stock	3,800,004
Surplus notes	155,000,000
Gross paid in and contributed surplus	103,869,422
Unassigned funds (surplus)	1,145,059,870
SURPLUS AS REGARDS POLICYHOLDERS	\$1,407,729,296
TOTAL LIABILITIES AND SURPLUS	\$4,334,336,176

Securities carried at \$461,912,884 are deposited with States or Other Authorities as required by law.

STATE OF WISCONSIN)
)SS
COUNTY OF WAUKESHA)

Alan P. Pavlic, Vice President, and Karen J. Haffner, Vice President of Old Republic Insurance Company of Mt. Pleasant, Pennsylvania being duly sworn, each for himself, deposes and says that they are the above described officers of the said company, and that on the 31st day of December, 2023, the company was actually possessed of the assets set forth in the foregoing statement and that such assets were available for the payment of losses and claims and held for the protection of its policyholders and creditors, except as here-in-before indicated, and that the foregoing statement is a correct exhibit of such assets and liabilities of the said company on the 31st day of December, 2023, according to the best of their information, knowledge and belief, respectively.

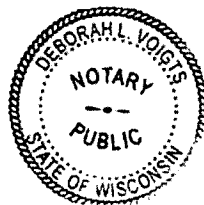
Alan P. Pavlic, Vice President

Karen J. Haffner, Vice President

Sworn to and subscribed before me this 4th day of March, 2024.

Notary Public, State of Wisconsin

My Commission expires: July 31, 2027





OLD REPUBLIC INSURANCE COMPANY

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That OLD REPUBLIC INSURANCE COMPANY, a Pennsylvania stock insurance corporation, does make, constitute and appoint: **GARY A. CARDINALE, SALVATORE CARDINALE, JOSEPH CARDINALE of CHEEKTOWAGA, NY**

its true and lawful Attorney(s)-in-Fact, with full power and authority for and on behalf of the Company as surety, to execute and deliver and affix the seal of the Company thereto (if a seal is required), bonds, undertakings, recognizances or other written obligations in the nature thereof, (other than self-insurance workers compensation bonds guaranteeing payment of benefits, or black lung bonds), as follows:

ALL WRITTEN INSTRUMENTS IN AN AMOUNT NOT TO EXCEED TEN MILLION (\$10,000,000) FOR ANY SINGLE OBLIGATION

and to bind OLD REPUBLIC INSURANCE COMPANY thereby, and all of the acts of said Attorneys-in-Fact, pursuant to these presents, are ratified and confirmed. This appointment is made under and by authority of the board of directors at a meeting held on December 10, 2019. This Power of Attorney is signed and sealed by facsimile under and by the authority of the following resolutions adopted by the board of directors of the OLD REPUBLIC INSURANCE COMPANY on December 10, 2019.

RESOLVED FURTHER, that the chairman, president or any vice president of the Company's surety division, in conjunction with the secretary or any assistant secretary of the Company, be and hereby are authorized and directed to execute and deliver, to such persons as such officers of the Company may deem appropriate, Powers of Attorney in the form presented to and attached to the minutes of this meeting, authorizing such persons to execute and deliver and affix the seal of the Company to bonds, undertakings, recognizances, and suretyship obligations of all kinds, other than bail bonds, bank depository bonds, mortgage deficiency bonds, mortgage guaranty bonds, guarantees of installment paper and not guaranty bonds. The said officers may revoke any Power of Attorney previously granted to any such person.

RESOLVED FURTHER that any bond, undertaking, recognizance, or suretyship obligation shall be valid and binding upon the Company (i) when signed by chairmen, president or any vice president of the Company's surety division and attested and sealed (if a seal be required) by any secretary or assistant secretary; or (ii) when signed by a duly authorized Attorney-in-Fact and sealed with the seal of the Company (if a seal be required).

RESOLVED FURTHER, that the signature of any officer designated above, and the seal of the Company, may be affixed by facsimile to any Power of Attorney or certification thereof authorizing the execution and delivery of any bond, undertaking, recognizance, or other suretyship obligations of the Company, and such signature and seal when so used shall have the same force and effect as though manually affixed.

IN WITNESS WHEREOF, OLD REPUBLIC INSURANCE COMPANY has caused these presents to be signed by its proper officer, and its corporate seal to be affixed this 26th day of July, 2023

Karen J. Haffner
Assistant Secretary



OLD REPUBLIC INSURANCE COMPANY

Alan Pavlic
Vice President

STATE OF WISCONSIN, COUNTY OF WAUKESHA - SS

On this 26th day of July, 2023, personally came before me, Alan Pavlic and Karen J. Haffner, to me known to be the individuals and officers of the OLD REPUBLIC INSURANCE COMPANY who executed the above instrument, and they each acknowledged the execution of the same, and being by me duly sworn, did severally depose and say: that they are the said officers of the corporation aforesaid, and that the seal affixed to the above instrument is the seal of the corporation, and that said corporate seal and their signatures as such officers were duly affixed and subscribed to the said instrument by the authority of the board of directors of said organization.



Kathryn R. Pearson

Notary Public

My Commission Expires: September 28, 2026

(Expiration of notary's commission does not invalidate this instrument)

CERTIFICATE

I, the undersigned, assistant secretary of the OLD REPUBLIC INSURANCE COMPANY, a Pennsylvania corporation, CERTIFY that the foregoing and attached Power of Attorney remains in full force and has not been revoked; and furthermore, that the Resolutions of the board of directors set forth in the Power of Attorney, are now in force.



Signed and sealed at the City of Brookfield, WI this 14th day of November, 2024.

Karen J. Haffner
Assistant Secretary

95-0027

ORSC 11008 (6-93)

WORLD WIDE BONDING AGENCY

ATTACHMENT "A"
NON-COLLUSIVE BIDDING CERTIFICATE PURSUANT TO
SECTION 103-D OF THE NEW YORK STATE GENERAL MUNICIPAL LAW

A. By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies as to its own organizations, under penalty of perjury, that to the best of knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor.

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not knowingly been disclosed by the bidder and will not knowingly be disclosed by the bidder, directly or indirectly, prior to opening, to any bidder or to any competitor.

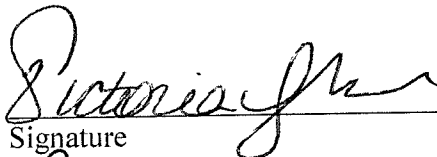
(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

A bid shall not be considered for award nor shall any award be made where (1), (2), and (3) above have not been complied with; provided, however, that in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons thereof. Where (1), (2), and (3) above have not been complied with, the bid shall not be considered for any award nor shall any award be made unless the head of the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

The fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customer of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph "A" above.

B. Any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a corporate bidder for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, local law, and where such bid contains the certification referred to in paragraph "A" of this section, shall be deemed to have been authorized by the Board of Directors of the bidder, and such authorization shall be deemed to include the submission of the bid and the inclusion therein of the certificate as to non-collusion as the act and deed of the corporation

11/14/24
Date


Signature

President
Title

Spring Electric Inc.
Company Name

ATTACHMENT "B"
ACKNOWLEDGMENT BY BIDDER

If Individual or Individuals:

STATE OF _____)
COUNTY OF _____) SS.:

On this _____ day of _____, 200__, before me personally appeared _____ to me known and known to me to be the same person(s) described in and who executed the within instrument, and he (or they severally) acknowledged to me that he (or they) executed the same.

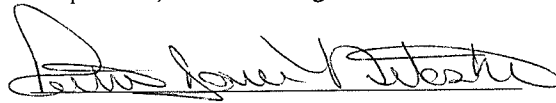
Notary Public, State of _____
Qualified in _____
Commission Expires _____

If Corporation:

STATE OF New York)
COUNTY OF Albany) SS.:

On this 14th day of November, 2009, before me personally appeared Victoria J. Spring to me known, who, being by me sworn, did say that he resides at (give address) Averill Park; that she is the (give title) President of the (name of corporation) Spring Electric INC., the corporation described in and which executed the above instrument; that he knows the seal of the corporation, and that the seal affixed to the instrument is such corporate seal; that it was so affixed by order of the board of directors of the corporation, and that he signed his name thereto by like order.

PATRICIA JANE NETOSKIE
Notary Public, State of New York
No. 01NE6330983
Qualified in Saratoga County
Commission Expires Sept. 28, 2027


Notary Public, State of New York
Qualified in Albany
Commission Expires 9/28/27

If Partnership:

STATE OF _____)
COUNTY OF _____) SS.:

On the _____ day of _____, 200__, before me personally came _____, to me known to be the individual who executed the foregoing, and who, being duly sworn, did depose and say that he / she is a partner of the firm of _____ and that he / she has the authority to sign the same, and acknowledged that he / she executed the same as the act and deed of said partnership.

Notary Public, State of _____
Qualified in _____
Commission Expires _____

**ATTACHMENT "C"
ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE**

1. VENDOR IS: <input checked="" type="checkbox"/> PRIME CONTRACTOR		
2. VENDOR'S LEGAL BUSINESS NAME Spring Electric Inc.		3. IDENTIFICATION NUMBERS a) FEIN # 46-5490126 b) DUNS # 252480081
4. D/B/A – Doing Business As (if applicable) & COUNTY FIELD:		5. WEBSITE ADDRESS (if applicable) springelectric.ny.com
6. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE 93 Blue Factory Rd. Averill Park, NY 12018		7. TELEPHONE NUMBER 518-369-3426
		8. FAX NUMBER N/A
9. ADDRESS OF PRIMARY PLACE OF BUSINESS/EXECUTIVE OFFICE <i>IN NEW YORK STATE, if different from above</i>		10. TELEPHONE NUMBER
		11. FAX NUMBER
12. AUTHORIZED CONTACT FOR THIS QUESTIONNAIRE Name Victoria J. Spring Title President Telephone Number 518-369-3426 Fax Number N/A e-mail vspring@springelectric.biz		
13. LIST ALL OF THE VENDOR'S PRINCIPAL OWNERS.		
a) NAME Victoria Spring	TITLE Pres/Treas	b) NAME Patrick Spring
		TITLE VP/Sec
c) NAME	TITLE	d) NAME
		TITLE
A DETAILED EXPLANATION IS REQUIRED FOR EACH QUESTION ANSWERED WITH A "YES," AND MUST BE PROVIDED AS AN ATTACHMENT TO THE COMPLETED QUESTIONNAIRE. YOU MUST PROVIDE ADEQUATE DETAILS OR DOCUMENTS TO AID THE COUNTY IN MAKING A DETERMINATION OF VENDOR RESPONSIBILITY. PLEASE NUMBER EACH RESPONSE TO MATCH THE QUESTION NUMBER.		
14. DOES THE VENDOR USE, OR HAS IT USED IN THE PAST FIVE (5) YEARS, ANY OTHER BUSINESS NAME, FEIN, OR D/B/A OTHER THAN THOSE LISTED IN ITEMS 2-4 ABOVE? List all other business name(s), Federal Employer Identification Number(s) or any D/B/A names and the dates that these names or numbers were/are in use. Explain the relationship to the vendor. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
15. ARE THERE ANY INDIVIDUALS NOW SERVING IN A MANAGERIAL OR CONSULTING CAPACITY TO THE VENDOR, INCLUDING PRICIPAL OWNERS AND OFFICERS, WHO NOW SERVE OR IN THE PAST ONE (1) YEARS HAVE SERVED AS: a) An elected or appointed public official or officer? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>List each individual's name, business title, the name of the organization and position elected or appointed to, and dates of service</i> b) An officer of any political party organization in Albany County, whether paid or unpaid? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>List each individuals name, business title or consulting capacity and the official political position held with applicable service dates.</i>		

15 A - Victoria Spring, Appointed Planning Board Member, Town of Poestenkill

<p>16. WITHIN THE PAST (5) YEARS, HAS THE VENDOR, ANY INDIVIDUALS SERVING IN MANAGERIAL OR CONSULTING CAPACITY, PRINCIPAL OWNERS, OFFICERS, MAJOR STOCKHOLDER(S) (10% OR MORE OF THE VOTING SHARES FOR PUBLICLY TRADED COMPANIES, 25% OR MORE OF THE SHARES FOR ALL OTHER COMPANIES), AFFILIATE OR ANY PERSON INVOLVED IN THE BIDDING OR CONTRACTING PROCESS:</p> <p>a) 1. been suspended, debarred or terminated by a local, state or federal authority in connection with a contract or contracting process;</p> <p>2. been disqualified for cause as a bidder on any permit, license, concession franchise or lease;</p> <p>3. entered into an agreement to a voluntary exclusion from bidding/contracting;</p> <p>4. had a bid rejected on an Albany County contract for failure to comply with the MacBride Fair Employment Principles;</p> <p>5. had a low bid rejected on a local, state or federal contract for failure to meet statutory affirmative action or M/WBE requirements on a previously held contract;</p> <p>6. had status as a Women's Business Enterprise, Minority Business Enterprise or Disadvantaged Business Enterprise, de-certified, revoked or forfeited;</p> <p>7. been subject to an administrative proceeding or civil action seeking specific performance or restitution in connection with any local, state or federal government contract;</p> <p>8. been denied an award of a local, state or federal government contract, had a contract suspended or had a contract terminated for non-responsibility; or</p> <p>9. had a local, state or federal government contract suspended or terminated for cause prior to the completion of the term of the contract.</p> <p>b) been indicted, convicted, received a judgment against them or a grant of immunity for any business-related conduct constituting a crime under local, state or federal law including but not limited to, fraud extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct?</p> <p>c) been issued a citation, notice, violation order, or are pending an administrative hearing or proceeding or determination of violations of:</p> <p>1. federal, state or local health laws, rules or regulations.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>17. IN THE PAST THREE (3) YEARS, HAS THE VENDOR OR ITS AFFILIATES 1 HAD ANY CLAIMS, JUDGMENTS, INJUNCTIONS, LIENS, FINES OR PENALTIES SECURED BY ANY GOVERNMENTAL AGENCY?</p> <p>Indicate if this is applicable to the submitting vendor or affiliate. State whether the situation(s) was a claim, judgment, injunction, lien or other with an explanation. Provide the name(s) and address(es) of the agency, the amount of the original obligation and outstanding balance. If any of these items are open, unsatisfied, indicate the status of each item as "open" or "unsatisfied."</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>18. DURING THE PAST THREE (3) YEARS, HAS THE VENDOR FAILED TO:</p> <p>a) file returns or pay any applicable federal, state or city taxes? <i>Identify the taxing jurisdiction, type of tax, liability year(s), and tax liability amount the vendor failed to file/pay and the current status of the liability.</i></p> <p>b) file returns or pay New York State unemployment insurance? <i>Indicate the years the vendor failed to file/pay the insurance and the current status of the liability.</i></p> <p>c) Property Tax <i>Indicate the years the vendor failed to file.</i></p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>19. HAVE ANY BANKRUPTCY PROCEEDINGS BEEN INITIATED BY OR AGAINST THE VENDOR OR ITS AFFILIATES 1 WITHIN THE PAST SEVEN (7) YEARS (WHETHER OR NOT CLOSED) OR IS ANY BANKRUPTCY PROCEEDING PENDING BY OR AGAINST THE VENDOR OR ITS AFFILIATES REGARDLESS OR THE DATE OF FILING?</p> <p>Indicate if this is applicable to the submitting vendor or affiliate. If it is an affiliate, include the affiliate's name and FEIN. Provide the court name, address and docket number. Indicate if the proceedings have been initiated, remain pending or have been closed. If closed, provide the date closed.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>20. IS THE VENDOR CURRENTLY INSOLVENT, OR DOES VENDOR CURRENTLY HAVE REASON TO BELIEVE THAT AN INVOLUNTARY BANKRUPTCY PROCEEDING MAY BE BROUGHT AGAINST IT? Provide financial information to support the vendor's current position, for example, Current Ratio, Debt Ratio, Age of Accounts Payable, Cash Flow and any documents that will provide the agency with an understanding of the vendor's situation.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>

21. IN THE PAST FIVE (5) YEARS, HAS THE VENDOR OR ANY AFFILIATES¹ :

Yes No

a) defaulted or been terminated on, or had its surety called upon to complete, any contract (public or private) awarded;

Indicate if this is applicable to the submitting vendor or affiliate. Detail the situation(s) that gave rise to the negative action, any corrective action taken by the vendor and the name of the contracting agency.

¹ "Affiliate" meaning: (a) any entity in which the vendor owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the vendor; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a vendor owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity's daily operations, that entity will be an "affiliate" for purposes of this questionnaire.

ALBANY COUNTY
VENDOR RESPONSIBILITY QUESTIONNAIRE

FEIN # 46-5490126

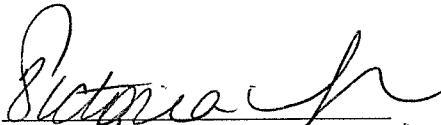
State of: New York,
County of: Albany) ss:

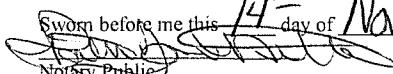
CERTIFICATION:

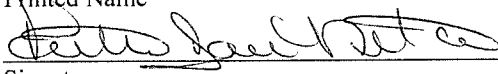
The undersigned: recognizes that this questionnaire is submitted for the express purpose of assisting the County of Albany in making a determination regarding an award of contract or approval of a subcontract; acknowledges that the County may in its discretion, by means which it may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may constitute a felony under Penal Law Section 210.40 or a misdemeanor under Penal Law Section 210.35 or Section 210.45, and may also be punishable by a fine and/or imprisonment of up to five years under 18 USC Section 1001 and may result in contract termination; and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete.

The undersigned certifies that he/she:

- Has not altered the content of the questions in the questionnaire in any manner;
- Has read and understands all of the items contained in the questionnaire and any pages attached by the submitting vendor;
- Has supplied full and complete responses to each item therein to the best of his/her knowledge, information ad belief;
- Is knowledgeable about the submitting vendor's business and operations;
- Understands that Albany County will rely on the information supplied in the questionnaire when entering into a contract with the vendor;
- Is under duty to notify the Albany County Purchasing Division of any material changes to the vendor's responses.

Name of Business Spring Electric, Inc. Signature of Owner 
Address 33 Blue Factory Rd Printed Name of Signatory Victoria J. Spring
City, State, Zip Averill Park NY 12018 Title President

Sworn before me this 14th day of November, 2007.

Notary Public

Patricia Jane Netoskie
Printed Name

Signature
11/14/07
Date

PATRICIA JANE NETOSKIE
Notary Public, State of New York
No. 01NE6330983
Qualified in Saratoga County
Commission Expires Sept. 28, 2007

Attachment "D"
Certification Pursuant to Section 103-g
Of the New York State
General Municipal Law

- A. By submission of this bid/proposal, each bidder/proposer and each person signing on behalf of any bidder/proposer certifies, and in the case of a joint bid, each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to paragraph (b) of subdivision 3 of Section 165-a of the New York State Finance Law.
- B. A Bid/Proposal shall not be considered for award, nor shall any award be made where the condition set forth in Paragraph A above has not been complied with; provided, however, that in any case the bidder/proposer cannot make the foregoing certification set forth in Paragraph A above, the bidder/proposer shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where Paragraph A above cannot be complied with, the Purchasing Unit to the political subdivision, public department, agency or official thereof to which the bid/proposal is made, or his designee, may award a bid/proposal, on a case by case business under the following circumstances:
1. The investment activities in Iran were made before April 12, 2012, the investment activities in Iran have not been expanded or renewed after April 12, 2012, and the Bidder/Proposer has adopted, publicized and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
 2. The political subdivision makes a determination that the goods or services are necessary for the political subdivision to perform its functions and that, absent such an exemption, the political subdivision would be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

11/14/24
Date

Victoria J. Am
Signature
President
Title
Spring Electric, Inc.
Company Name

ATTACHMENT "E"

Sheet MS4-1: Bidder/Proposer Certification Statement (to be used with Section 34 Part A –
General Contracts)

As a bidder seeking to provide services on behalf of Albany County, I certify under penalty of law that I understand and agree to comply with the terms and conditions of the New York State Pollutant Discharge Elimination System ("SPDES") General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (MS4 Permit) and Albany County Local Law 7 of 2007, and agree to implement any Best Management Practices or corrective actions identified by Albany County or an authorized representative thereof as necessary to maintain compliance. I understand that Albany County must comply with the terms and conditions of the aforementioned MS4 Permit, and that it is unlawful for any person to directly or indirectly cause or contribute to a violation of water quality standards. I am also aware that County Local Law 7 of 2007 prohibits any activities that cause or contribute to a violation of the County's SPDES permit. Further, I understand that any non-compliance by Albany County will not diminish, eliminate or lessen my own liability.

Name of Third Party Entity: Spring Electric, Inc.

Address: 93 Blue Factory Rd.
Averill Park, NY 12018

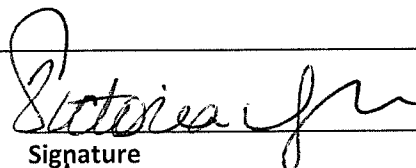
Phone Number(s): 518-369-3426

Description of activities to be performed by your firm or organization within Albany County are related to the Albany County Storm Water Management Program (SWMP) (include any activities that have the potential to generate or prevent pollution and/or affect water quality):

Electrical show power and Transformer
replacement

Description of where the work is to be performed within Albany County facilities:

MVP Arena



Signature

Victoria J. Spring

Printed Name

President

Title

11/14/24

Date

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

The undersigned guarantees the accuracy of all statements and answers herein contained. (Please print in ink or type in the spaces provided). Attach additional sheets if necessary. This statement of Bidder's qualifications is required of all Bidders. Additional data on Bidder's qualifications may be requested from selected Bidders after the Bid opening.

1. How many years has your firm been in business? 10 years

2. List up to three (3) projects of this nature that you have completed in the last three (3) years, and give the name, address and telephone number of a reference from each. Also give the completion date, the original contract bid price and the completed cost of each project listed.

1. Town of Colonie, MV WPCP Clarifier Rehabilitation
200 Onderdonk Ave, Latham Tucker Cox, 518-783-2766x1
6/24 513,500 487,113.11

2. Town of Colonie, MV WPCP Blower Replacement
200 Onderdonk Ave, Latham Tucker Cox, 518-783-2766x1
8/24 270,000 275,950

3. City of Albany, Feura Bush WFP Electrical
Old Quarry Rd, Feura Bush Rebecca Calder 518-434-5104
5/21 620,000 581,792

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

3. List projects presently under contract by your firm, the dollar volume of the contract and the percentage completion of the contract.

Town of Colonie - New Operations Facility

443,500 15%

Warren County - New Airport Hangar

123,900 5%

Town of East Greenbush - Commons Pump Station

64,100 0%

4. Has your firm ever failed to complete work awarded to it, if so, state where and why.

NO

5. Is your firm presently or has your firm ever been a party defendant in a lawsuit commenced against your firm alleging failure to properly complete work in accordance with the contract for same; if so, give details.

NO

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

6. Has your firm received two (2) final determinations within any consecutive six-year period, the second final determination occurring within the past five (5) years, that your firm willfully failed to pay the prevailing rate of wages or to provide supplements with Article 8 of the Labor Law, if so, give details.

NO

7. Do you plan to sublet any part of this work? If so, give details.

yes, Rigging

8. Give the name, address and telephone number of an individual who represents each of the following and whom the Owner may contact to investigate your financial responsibility: a surety, and a bank.

Sam Cardinale - World Wide Bonding
1-888-681-7685

Patty Netoskie - Saratoga National Bank
518-608-5756

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

9. Give a summary of your financial statement. (List assets and liabilities, use an insert sheet, if needed).

attached for FY 2023/2022

10. State the true, exact, correct and complete name of the partnership, corporation or trade name under which you do business, and the address of the place of business. (If a corporation, state the name and title of all officers. If a partnership, state the name of all partners. If a trade name, state the names of the individuals who do business under the trade name.) It is absolutely necessary that information be furnished.

Spring Electric, Inc.
Correct Name of Bidder

(a) The business is an S-Corporation (NY)

(b) The address of principal place of business is: _____

93 Blue Factory Rd., Averill Park, NY 12018

(c) The names of the corporate officers, or partners, or individuals doing business under a trade name, are as follows:

Victoria J. Spring - President / Treasurer
Patrick P. Spring - Vice President / Secretary

SPRING ELECTRIC, INC.

BALANCE SHEETS

DECEMBER 31, 2023 AND 2022

(See Independent Accountant's Review Report)

	<u>2023</u>	<u>2022</u>
ASSETS		
CURRENT ASSETS:		
Cash	\$ 65,358	\$ 18,308
Contracts receivable	109,674	236,248
Retainage receivable	37,976	43,216
Cost and estimated earnings in excess of billings on contracts in progress	207,635	279,856
Prepaid expenses	<u>30,060</u>	<u>-</u>
Total current assets	450,703	577,628
PROPERTY AND EQUIPMENT, net	<u>214,390</u>	<u>107,801</u>
	<u>\$ 665,093</u>	<u>\$ 685,429</u>
LIABILITIES AND OWNERS' EQUITY		
CURRENT LIABILITIES:		
Current portion of long-term debt	\$ 47,237	\$ 16,849
Accounts payable	6,323	87,146
Billings in excess of costs and estimated earnings on contracts in progress	4,876	57,357
Accrued expenses and other current liabilities	28,329	17,767
Due to owners	<u>2,253</u>	<u>2,335</u>
Total current liabilities	89,018	181,454
LONG-TERM LIABILITIES: Long-term debt, net of current portion	<u>466,366</u>	<u>402,177</u>
TOTAL LIABILITIES	<u>555,384</u>	<u>583,631</u>
OWNERS' EQUITY:		
Common stock - no par, 200 shares authorized, 200 shares issued and outstanding	-	-
Additional paid-in capital	11,632	11,632
Retained earnings	<u>98,077</u>	<u>90,166</u>
Total owners' equity	<u>109,709</u>	<u>101,798</u>
	<u>\$ 665,093</u>	<u>\$ 685,429</u>

The accompanying notes are an integral part of these statements.

ATTACHMENT "F"
BIDDER QUALIFICATION QUESTIONNAIRE

11. Is your firm qualified to do business in the State of New York? Yes X No ____.
If No, signing this qualification statement constitutes agreement to obtain such qualification prior to award of contract immediately upon owner's request.

Dated: 11/14/24

Spring Electric, Inc.
Firm

By Victoria J. Spring

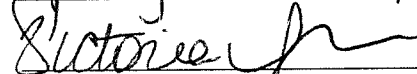
Victoria J. Spring
(Typed)

**ATTACHMENT "G"
NON-INTERRUPTION OF WORK AGREEMENT**

By submission of the bid for: Spring Electric Inc
MVP Arena Show Power and
Transformer Replacement

The bidder agrees that if this bid is accepted, he/she will not intentionally engage in any course of conduct or activity, or employ for the purposes of performing the public work, any subcontractors, employees, labor or materials which will or may result in the interruption of the performance of the public work due to labor strife or unrest by workmen employed by the bidder or by any of the trades working in or about the public works and/or premises where the work is being performed.

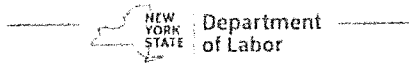
Firm: Spring Electric Inc.

By: 
(Signature)

Victoria J. Spring
(Typed)

Title: President

Date: 11/14/24



Kathy Hochul, Governor
Roberta Reardon, Commissioner

CHRIS PINHEIRO
Director
Apprenticeship Training

W. Averell Harriman State Office Campus
Building 12, Room 455/459, Albany, NY 12240

May 3, 2023

Victoria J Spring
President
Spring Electric, Inc
93 Blue Factory Road
Averill Park, NY 12018

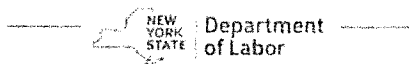
Sponsor Code: 22252/ATP Code: 17072

Dear Victoria J Spring:

Your Electrician apprenticeship training program was approved on 7/27/2018 contingent upon successful completion of a two-year probationary period. Due to the extenuating circumstances surrounding the COVID pandemic, your program was not able to be regularly monitored during the two-years probationary period by the New York State Department of Labor (Department), as required by Section 601.8 of the Apprenticeship Regulations. This letter is to notify you that your probationary period has ended, and your program is now in Active status.

Your Apprentice Training Representative (ATR) will contact you shortly to schedule a required monitoring visit if one has not already been conducted in the past six months. Assisting sponsors with the successful operation of registered programs and the timely completion of apprentices are of utmost importance to the Department. Please note, it is crucial that you communicate any concerns with your ATR during monitoring visits to ensure successful continuation of your program.

In accordance with the Apprenticeship Regulations, the Department will conduct regular visits to ensure your program remains in compliance. These visits will include a review of the overall progress of the program including: worksite training; related instruction; program operation and administration; Equal Employment Opportunity/Affirmative Action efforts; and a review of the program's completion/graduation rates. As you are aware, apprentice program completions are an important part of a program's performance and will continue to be monitored closely. The reviews will monitor compliance with the provisions set forth on the Apprentice Training



Kathy Hochul, Governor
Roberta Reardon, Commissioner

Program Registration Agreement (AT 10 Form), Labor Law Article 23, Apprenticeship Regulations Parts 600 and 601, and other Department requirements. These laws and regulations can be found on the Apprenticeship Training website at the following link:

<https://dol.ny.gov/apprenticeship-laws-regulations>

The Regulations provide that all apprenticeship training programs will undergo a recertification review which involves a complete evaluation and approval of your program. This recertification review will occur at or about the time the program completes its first training cycle and subsequently at least every five years thereafter.

If you have any questions, please contact your Apprentice Training Representative, Michael Ferraro, at (518)457-4497.

Sincerely,

Chris Pinheiro
Director
Apprenticeship Training

cc: Michael Ferraro